CONTRACT DOCUMENTS FOR CONSTRUCTION OF

# CROSSTOWN AND SOUTH FAYETTE WATER TREATMENT PLANTS HOSELESS SOLIDS COLLECTION SYSTEM



PREPARED FOR

# FAYETTE COUNTY WATER SYSTEM FAYETTE COUNTY, GEORGIA

VOLUME 1 OF 2 SPECIFICATIONS

For information regarding this project, contact:

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Project No. D3101212

MAY 2021

**BID DOCUMENTS** 

### FAYETTE COUNTY WATER SYSTEM

#### **FAYETTE COUNTY, GEORGIA**

BIDDING REQUIREMENTS AND CONTRACT DOCUMENTS

for the construction of the

### **CROSSTOWN AND SOUTH FAYETTE WATER TREATMENT PLANTS**

### HOSELESS SOLIDS COLLECTION SYSTEM

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### CH2M HILL

### ATLANTA, GEORGIA

#### May 2021

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Project No. D3101212

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# PART 1

# **PROCUREMENT REQUIREMENTS**



# **Purchasing Department**

140 Stonewall Avenue West, Ste 204 Fayetteville, GA 30214 Phone: 770-305-5420 www.fayettecountyga.gov

May 14, 2020

# Subject: Invitation to Bid #1914-B Solids Handling: Crosstown and South Fayette Water Treatment Plants, Hoseless Settled Solids Collection Systems

Gentlemen/Ladies:

Fayette County, Georgia is seeking bids for a contract from qualified firms to construct and install twelve new hoseless settled solids collector mechanisms at the Crosstown and South Fayette Water Treatment Plants, in accordance with the information and specifications contained herein.

A <u>mandatory</u> pre-bid conference will be held at 9:00 a.m., Wednesday, June 2, at the Crosstown Water Treatment Plant, 3500 TDK Blvd in Peachtree City, GA 30269. After view Crosstown, we will travel to the South Fayette WTP, 880 Antioch Rd., Fayetteville, GA 30215. All companies and interested parties are invited and strongly urged to attend. This will be the opportunity to take measurements, pictures, voice all questions, concerns and comments about this Invitation to Bid and have them addressed. Firms that attend the conference and visit both sites will be authorized to submit bids.

Questions concerning this invitation to bid should be addressed to Natasha Duggan, Contract Administrator in writing via email to <a href="mailto:nduggan@fayettecountyga.gov">nduggan@fayettecountyga.gov</a> or fax to (770) 719-5534. Questions will be accepted until 3:00 pm, Wednesday, June, 9, 2021.

The Purchasing Department office hours are Monday through Friday 8:00 a.m. to 5:00 p.m. excluding holidays. The office telephone number is (770) 305-5420.

**Bids will be received at the address below until 12:00 p.m., Wednesday, June 30, 2021 in the Purchasing Department, Suite 204.** For bids that you drop off in person, there will be a large metal parcel drop box located outside the front door of the Purchasing Department. You must place your bid in the box no later than the date and time noted above. You may also submit your bids through the U. S. Postal Service, courier service (e.g. UPS or FedEx), or in person delivery. We cannot accept emailed or faxed bids. Bids must be signed to be considered. Late bids will not be considered.

A virtual bid opening will be held at 3:00 p.m., Wednesday, June 30, 2021. You may view the bid opening here:

https://fayettecountyga.gov/administration/BOC/county\_commission\_meetings.htm.

Please submit your bids to the following address:

Fayette County Purchasing Department 140 Stonewall Avenue West, Suite 204 Fayetteville, Georgia 30214

 Bid Number:
 1914-B Solids Handling

 Bid Name:
 Crosstown and South Fayette Water Treatment Plants, Hoseless Settled

 Solids Collection Systems

Your bid should be on the Bid Form included herein. All prices shall be F.O.B. Destination, Fayette County. Be sure to include the **bid number** and **bid name** along with your company's name and address on the **sealed** envelope in which the bid is returned. Please do not staple the bid pages together.

If you downloaded this Invitation to Bid from the **county's** website, it will be your responsibility to check the website for any addenda that might be issued for this solicitation. The county cannot not be responsible for a bidder not receiving information provided in any addenda.

Sincerely,

Ted L. Burgess

Director of Purchasing

TLB/nmd

## Fayette County, Georgia CHECKLIST OF REQUIRED DOCUMENTS

# (Be Sure to Return This Checklist and the Required Documents in the order listed below)

# ITB #1914-B Solids Handling: Crosstown and South Fayette Water Treatment Plants, Hoseless Settled Solids Collection Systems

Contractor Affidavit under O.C.G.A. § 13-10-91(b)(1)	
Non-Resident: Proof of authority to do business in Georgia (00 11 56.01-2) (Or written covenant to obtain such license)	
Bidder's Qualification Form (00 11 56.01 – 1, 2, 3, 4, 5, 6, 7)	
Affidavit for Corporation, Partnership or Individual (00 11 56.01 – 9, 10, 11, 12, 13)	
Bid Form (00 41 13 – 1, 2, 3, 4, 5, 6) includes Addendum Acknowledgment	
Bid Bond (00 61 13 – 1, 2)	
Statement of Non-collusion (00 45 54 – 1)	
List of exceptions, if any – (00 61 13.17)	
Evidence of Authority to Sign	
Copy of Contractor's License	
Copy of Utility Contractor's License	
COMPANY NAME:	

#### Contractor Affidavit under O.C.G.A. § 13-10-91(b)(l)

The undersigned contractor ("Contractor") executes this Affidavit to comply with O.C.G.A § 13-10-91 related to any contract to which Contractor is a party that is subject to O.C.G.A. § 13-10-91 and hereby verifies its compliance with O.C.G.A. § 13-10-91, attesting as follows:

- a) The Contractor has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program;
- b) The Contractor will continue to use the federal work authorization program throughout the contract period, including any renewal or extension thereof;
- c) The Contractor will notify the public employer in the event the Contractor ceases to utilize the federal work authorization program during the contract period, including renewals or extensions thereof;
- d) The Contractor understands that ceasing to utilize the federal work authorization program constitutes a material breach of Contract;
- e) The Contractor will contract for the performance of services in satisfaction of such contract only with subcontractors who present an affidavit to the Contractor with the information required by O.C.G.A. § 13-10-91(a), (b), and (c);
- f) The Contractor acknowledges and agrees that this Affidavit shall be incorporated into any contract(s) subject to the provisions of O.C.G.A. § 13-10-91 for the project listed below to which Contractor is a party after the date hereof without further action or consent by Contractor; and
- g) Contractor acknowledges its responsibility to submit copies of any affidavits, drivers' licenses, and identification cards required pursuant to O.C.G.A. § 13-10-91 to the public employer within five business days of receipt.

Federal Work Authorization User Identification Number	Date of Authorization
	1914-B: Hoseless Solids Sludge Collection
Name of Contractor	Name of Project
Name of Public Employer	
I hereby declare under penalty of perjury that the foregoin	ng is true and correct.
Executed on,, 20 in	_ (city), (state).
Signature of Authorized Officer or Agent	
Printed Name and Title of Authorized Officer or Agent	
SUBSCRIBED AND SWORN BEFORE ME ON THIS THE DAY OF, 20	_
NOTARY PUBLIC My Commission Expires:	-

The Owner requires prospective Bidders interested in bidding on the Work to provide a completed Bidder's Qualification Form with their Bid. If form is not received with Bid and in accordance with Instructions to Bidders, Bid will be considered nonconforming and nonresponsive.

# **BIDDER'S QUALIFICATION**

	A Corporation
Submitted By:	A Partnership
(Bidder)	An Individual
	(Circle One)
Principal Office	
Physical Address:	
Mailing Address (If different):	
Authorized Representative (Print or Type):	
Authorized Representative (Signature):	
Title:	
Email Address:	
Telephone Number:	
Fax Number:	
Cellular Number:	
If <b>Corporation</b> , provide the following:	
Date of Incorporation:	
State of Incorporation:	
Chief Executive Officer's Name:	
President's Name:	
Vice President's Name(s):	
Secretary's Name:	
Treasurer's Name:	
If a <b>Partnership</b> , provide the following:	
Date of organization:	
Is partnership general or limited?	
Name and address of each partner:	
If an Individual anamida the fall series	
If an <b>Individual</b> , provide the following: Name and business address:	
INALLY ALLY UNSILESS AUXIESS.	

Crosstown and South Fayette WTPs Hoseless Solids Collection System

### **Bidder's Surety:**

Firm Name:	
Address:	
Telephone Number:	
Contact Person:	

# **Bidder's Bank and Local Contact:**

Firm Name:	
Address:	
Telephone Number:	
Contact Person:	

## **Bidder's General Information:**

Utility and General Contractor License Number:
Years in business under license number:
If nonresident, proof of authority to do business in the State. Attach with form submission.
Primary type of work your company performs:
Number of people permanently employed:
Bonding Capacity: \$
Dollar volume presently under Contract:
Is this organization an equal employment opportunity employer?
Does this organization have a written drug and alcohol policy?
What type of scheduling techniques does this organization use, and for how long have you
been using them?

Does this organization have a written Quality Assurance/Quality Control Program?

# **Bidder's Business References**

1.	Architect/Engineer:
----	---------------------

Firm Name:
Address:
Telephone Number:
Contact Person:
Firm Name:
Firm Name: Address: Telephone Number:

2. Owner:

Company Name:		
Address:		
Telephone Number:		
Contact Person:		
Company Name:		
Address:		
Telephone Number:		
Contact Person:		

## **Bidder's Safety Questionnaire**

1. Provide your company's Experience Modification Rate (EMR) for the 3 most recent years.

Year	Rate
Year	Rate
Year	Rate

2. Provide your company's Lost Time Incident (LTIR) for the 3 most recent years.

Rate
Rate

3. The responsibility of maintaining your company's safety records/accident summaries, is assigned to:

	No	Yes	Annually	Monthly	Weekly
Safety Department					
Personnel					
Q.C. Office					
Insurance Group					
Other					

4. How often are field projects (OSHA 200) and accident reports/summaries sent to:

	Annually	Monthly	Weekly
Company President			
Safety Director			

5. Accident records/summaries totaled by:

	No	Yes	Annually	Monthly	Weekly
Entire Company					
Project					
Supervisor					
Foreman					

6. Accident cost totaled by:

	No	Yes	Annually	Monthly	Weekly
Entire Company					
Project					
Supervisor					
Foreman					

7. Does your company have an ongoing training program for:

		Yes	No
a.	HAZ-COM		
b.	Electrical Safety		
с.	Fire Protection		
d.	Emergency Aid Procedures		
e.	Emergency Procedures		
f.	New Worker Orientation		
g.	Proper Use of Personal Protection Equipment		
h.	Rigging and Crane Safety		
i.	Trenching Safety		

8. Does your company have a written safety program (Yes/No): \_\_\_\_\_.

9. Do all your company and field projects conduct:

		No	Yes	Annually	<b>Bi-Weekly</b>	Monthly
a.	Safety Inspections					
b.	Safety Meetings					
c.	Supervisor Meetings					
d.	Adhoc Investigations					

10. Using your last year's (OSHA 200) log fill in the following:

- a. Number of lost workday cases \_\_\_\_\_\_.
- b. Number of restricted workday cases \_\_\_\_\_\_.
- c. Number of cases requiring medical treatment \_\_\_\_\_.
- d. Number of fatalities \_\_\_\_\_\_.

### **Bidder's Experience Questionnaire**

- 1. How many years' experience in the proposed type and size of construction work has your organization had:
- 2. List the three (3) most recent projects your organization has had in construction work similar in type and size to the work proposed herein:

Contract Amount	Type of Work	Date Completed	Owner Name, Address, Telephone, and Contact Person

3. What other projects have your organization completed that may be of interest?

Contract Amount	Type of Work	Date Completed	Owner Name, Address, Telephone, and Contact Person

- 4. Have you ever failed to complete any work awarded to you? \_\_\_\_\_. If so, list below and state why? \_\_\_\_\_.
- 5. Have you ever been removed from a project? \_\_\_\_\_. If so, list below and state why?
- 6. What is the construction experience of the principal individuals of your organization?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude & Type of Work	In What Capacity

7. List the major items of equipment that this organization owns or leases (designate which) which will be available for use on the proposed project:

8.	List below the contracts that you, your company, or corporation were party, during the previous 10 years, were involved in litigation of any type:
9.	Are there any judgements, claims, arbitration proceedings, or lawsuits pending, outstanding, or threatened to which this organization, or an officer or partner in this organization has been a party?
10.	Has company ever been disbarred from Bidding? If so, list below and state why?
11.	Has the company ever been denied a bid, performance, or payment bond? If so, list below and state why?
12.	Has the company ever been involved in bankruptcy proceedings? If so, list below and state why?
13.	Will you, upon request, fill out a detailed financial statement and furnish any other information that may be required by the Owner?
	The undersigned hereby declares that the foregoing statements are true.
Da	ted at, 20
	Ву
	Title

Date \_\_\_\_\_

# **AFFIDAVIT FOR CORPORATION**

STATE OF}
} ss. COUNTY OF}
I,,
being duly sworn, depose and say that I am,
of the, the corporation described herein and which executed the foregoing statement; that I am
familiar with the books of the said corporation showing its financial condition; that the foregoing financial statement, taken from the books of said corporation, is a true and accurate statement of the financial condition of said corporation as of the date thereof, and that the answers to the interrogatories of the equipment questionnaire are correct and true as of the date of this affidavit; and that the statements and answers to the interrogatories of the foregoing experience questionnaire are correct and true as of the date of this affidavit.
(Officer must also sign here)
Subscribed and sworn to before me this day of, 20
My commission expires:
Notary Public

## AFFIDAVIT FOR PARTNERSHIP

STATE OF	}
COUNTY OF	} ss. }
I,	,

being duly sworn, depose and say that I am a member of the firm of \_\_\_\_\_\_

taken from the books of said firm, is a true and accurate statement of the financial condition of said firm as of the date thereof, and that the answers to the interrogatories contained therein are true; that the statements and answers to the interrogatories of the equipment questionnaire are correct and true as of the date of this affidavit; and that the statements and answers to the interrogatories of the foregoing experience questionnaire are correct and true as of the date of this affidavit.

	(Member of firm must also sign here)		
Subscribed and sworn to before me this	day of	, 20	
My commission expires:			
		Notary Public	

# AFFIDAVIT FOR INDIVIDUAL

STATE OF	}
	} ss.
COUNTY OF	}

I, \_\_\_\_\_\_\_, being duly sworn, depose and say that the foregoing financial statement, taken from my books, is a true and accurate statement of my financial condition as of the date thereof, and that the answers to the interrogatories contained therein are true; that the statements and answers to the interrogatories of the equipment questionnaire are correct and true as of the date of this affidavit; and that the statements and answers to the interrogatories of the foregoing experience questionnaire are correct and true as of the date of this affidavit.

	(Applicant must also sign here)	
Subscribed and sworn to before me this	day of	, 20
My commission expires:		
		Notary Public

**END OF SECTION** 

## **INSTRUCTIONS TO BIDDERS**

### 1. DEFINED TERMS

1.1. Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:

1.1.1. *Issuing Office*—The office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.

### 2. COPIES OF BIDDING DOCUMENTS

2.1. Complete sets of the Bidding Documents stated in the Invitation to Bid may be obtained from the Owner's Fayette County website at:

www.fayettecountyga.gov/purchasing/bids and proposals.htm

2.2. Complete sets of Bidding Documents shall be used in preparing Bids. Neither Owner nor Engineer assumes responsibility for errors or misinterpretations resulting from use of incomplete sets of Bidding Documents.

2.3. Owner and Engineer, in making copies of Bidding Documents made available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license or grant for any other use.

### 3. QUALIFICATIONS OF BIDDERS

3.1. In order to perform public work, Bidder and its Subcontractors, prior to award of Contract or as otherwise required by the jurisdiction, shall hold or obtain such licenses as required by State Statutes, and federal and local Laws and Regulations.

3.2. Bidder shall submit completed Bidder's Qualification Form with their Bid.

3.3. Bidder is advised to carefully review those portions of the Bid Form requiring representations and certifications.

# 4. EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA, AND SITE

4.1. Subsurface and Physical Conditions:

4.1.1. The Supplementary Conditions identify:

4.1.1.1. Those reports known to Owner of explorations and tests of subsurface conditions at or contiguous to the Site.

PW\DEN003\D3101212 MAY 12, 2021 ©COPYRIGHT 2021 CH2M HILL INSTRUCTIONS TO BIDDERS 00 21 13 - 1 4.1.1.2. Those drawings known to Owner of physical conditions relating to existing surface and subsurface structures at the Site (except Underground Facilities).

4.1.2. Copies of reports and drawings referenced will be made available by Owner on the Fayette County website. The "technical data" contained therein upon which Bidder is entitled to rely as provided in Paragraph 5.03 of the General Conditions has been identified and established in Paragraph 5.03 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any "technical data" or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

4.2. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner or others.

4.3. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraph 5.03 through Paragraph 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents as a result of any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.4. Access to each site will only be made available as part of the Pre-Bid Meeting. Bidders are not allowed to have any contact with Fayette County personnel (other than the Purchasing Department) during the bidding process.

4.5. Safety: Paragraph 7.12.C of the General Conditions indicates that if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.6. It is responsibility of each Bidder before submitting a Bid to:

4.6.1. Examine and carefully study the Bidding Documents, other related data identified in the Bidding Documents, and any Addenda.

4.6.2. Visit the Site as part of the Pre-Bid Meeting to become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

INSTRUCTIONS TO BIDDERS 00 21 13 - 2

4.6.3. Become familiar with and satisfy Bidder as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.

4.6.4. Carefully study all:

4.6.4.1. Reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) that have been identified in Paragraph 5.03 of the Supplementary Conditions as containing reliable "technical data".

4.6.5. Consider the information known to Bidder; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on:

4.6.5.1. Cost, progress, and performance of the Work.

4.6.5.2. Means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents.

4.6.5.3. Bidder's safety precautions and programs.

4.6.6. Agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price(s) Bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.

4.6.7. Promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in Bidding Documents and confirm that written resolution thereof by Engineer is acceptable to Bidder.

4.6.8. Determine Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance of the Work.

4.7. Submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this article; that without exception the Bid is premised upon performing and furnishing the Work required by Bidding Documents and applying specific means, methods, techniques, sequences,

and procedures of construction that may be shown or indicated or expressly required by Bidding Documents; that Bidder has given Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder; and that Bidding Documents are generally sufficient to indicate and convey understanding of terms and conditions for performing and furnishing the Work.

### 5. PREBID CONFERENCE

5.1. A prebid conference will be held at the time and place stated in the Invitation to Bid letter. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are required to attend and participate in the conference. Bids will not be accepted from Bidders that do not have a representative at the prebid conference. Fayette County Purchasing will post on its website to prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective.

### 6. INTERPRETATIONS AND ADDENDA

6.1. All questions about the meaning or intent of the Bidding Documents are to be submitted to Fayette County Purchasing in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda via the website. Questions received less than 21 days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

6.2. Addenda may also be issued to clarify, correct, or change the Bidding Documents as deemed advisable by Owner or Engineer.

## 7. BID SECURITY

7.1. Bid shall be accompanied by Bid security made payable to Owner in an amount of 5 percent of Bidder's maximum Bid price and in the form of a certified check, bank money order, or a penal Bid bond (on the attached form), issued by a surety meeting the requirements of Paragraph 6.01 of the General Conditions.

7.2. The Bid security of the Successful Bidder will be retained until such Bidder has executed the Contract Documents, furnished the required contract security and met the other conditions of the Notice of Award, whereupon the Bid security will be returned. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within the time period specified in Article Signing of Agreement, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner's exclusive remedy if Bidder defaults. Bid security of other Bidders whom

INSTRUCTIONS TO BIDDERS 00 21 13 - 4

PW\DEN003\D3101212 MAY 12, 2021 ©COPYRIGHT 2021 CH2M HILL Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of the 7th day after the Effective Date of the Agreement or the number of days specified for all Bids to remain subject to acceptance in Article Bids to Remain Subject to Acceptance, whereupon Bid security furnished by such Bidders will be returned.

7.3. Bid security of other Bidders whom Owner believes do not have a reasonable chance of receiving the award will be returned within 7 days after Bid opening.

### 8. CONTRACT TIMES AND LIQUIDATED DAMAGES

8.1. The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth below:

8.1.1. The Work at the South Fayette WTP shall be substantially completed within 273 calendar days from the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions; the Work at the South Fayette WTP shall be fully completed within 30 days following the date of Substantial Completion at the South Fayette WTP; the Work at the Crosstown WTP may begin following the date of Substantial Completion at the South Fayette WTP; the Work at the Crosstown WTP shall be substantially completed within 168 calendar days from the date of Substantial Completion at the South Fayette WTP; and the Work at Crosstown WTP shall be fully completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within 30 calendar days after the date when Substantial Completion at the Crosstown WTP is achieved.

### 8.2. Liquidated Damages:

8.2.1. Contractor and Owner recognize that time is of the essence of this Agreement and that Owner will suffer financial loss if the Work is not completed within the times specified in Paragraph Contract Times above, plus any extensions thereof allowed in accordance with Article 11 of the General Conditions. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty) Contractor shall pay Owner \$1,500 for each calendar day that expires after the time specified herein for Substantial Completion for both the Crosstown and South Fayette WTPs until the Work is substantially complete.

8.2.2. After Substantial Completion, if Contractor neglects, refuses, or fails to complete remaining Work within the Contract Time or any proper extension thereof granted by Owner, Contractor shall pay Owner \$500 for each calendar

day that expires after the time specified herein for final completion of the Work at the respective WTP. Final payment will not be made until the Work is fully complete at both the Crosstown and South Fayette WTPs.

# 9. SUBSTITUTE AND "OR-EQUAL" ITEMS

9.1. The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration of possible substitute or "or-equal" items. Whenever it is specified or described in the Bidding Documents that a substitute or "or-equal" item of material or equipment may be furnished or used by Contractor if acceptable to Engineer, application for such acceptance will not be considered by Engineer until after the Effective Date of the Agreement. For the hoseless solids collection systems, Bidder may propose an alternate as a Deductive Alternate and shall provide technical data as may be necessary to allow the Owner to evaluate the suitability of the proposed Alternate as part of the Bid. No additional named equipment or suppliers will be added during the Bid period. If a supplier's equipment is in compliance with all requirements of the technical specification, and if the use of substitute or "or-equal" is not excluded from the Specification, a supplier may bid his/her equipment to a Bidding Contractor. However, if the equipment is found to be unacceptable during review of submittals, the Contractor shall provide the named equipment/vendor at no additional cost to the Owner.

## 10. PREPARATION OF BID

10.1. With each copy of the Bidding Documents, Bidder will be furnished one separate unbound copy of the Bid Form, and, if applicable, the Bid Bond Form. No substitution of the Bid Form will be allowed.

10.2. All blanks on the Bid Form shall be completed by typing or printing with ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each Bid item listed therein or the words "No Bid," "No Change," or "Not Applicable" entered.

10.3. A Bid by a corporation shall be executed in the corporate name by the president or a vice president or other corporate officer accompanied by evidence of authority to sign. The corporate seal shall be affixed and attested by the secretary or an assistant secretary. The corporate address and state of incorporation shall be shown.

10.4. A Bid by a partnership shall be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The official address of the partnership shall be shown.

10.5. A Bid by an individual shall show the Bidder's name and official address.

INSTRUCTIONS TO BIDDERS 00 21 13 - 6

10.6. All names shall be typed or printed in ink below the signatures.

10.7. The Bid shall contain an acknowledgement of receipt of all Addenda; the numbers of which shall be filled in on the Bid Form.

10.8. Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.

10.9. The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number and class, if applicable, shall also be shown on the Bid Form.

## 11. BASIS OF BID; COMPARISON OF BIDS

11.1. Lump Sum:

11.1.1. Bidders shall submit a Bid on a lump sum basis as set forth in the Bid Form.

- 11.2. Allowances:
  - 11.2.1. Cash Allowance:

11.2.1.1. Bid price shall include such amounts as the Bidder deems proper for Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses on account of cash allowances.

11.2.1.2. As described in the Bid Form, General Conditions Paragraph 13.02, and Section 01 29 00, Payment Procedures.

## 12. SUBMISSION OF BID

12.1. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the following data:

- 12.1.1. Bidder's Qualification Form (00 11 56.01).
- 12.1.2. Statement of Noncollusion (00 45 54 1).

12.2. A Bid shall be submitted no later than the date and time prescribed, and at the place indicated in the Invitation to Bid. Enclose Bid in a plainly marked package with the Project title, name and address of Bidder, and Utility Contractor's License number on the outside of the package and accompanied by the Bid security and other required

PW\DEN003\D3101212 MAY 12, 2021 ©COPYRIGHT 2021 CH2M HILL INSTRUCTIONS TO BIDDERS 00 21 13 - 7 documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED."

## 13. MODIFICATION AND WITHDRAWAL OF BID

13.1. A Bid may be modified or withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids.

13.2. Bids may be withdrawn after the Bid opening only with written authorization from the Director of Purchasing.

## 14. OPENING OF BIDS

14.1. Bids will be opened at the time and place indicated in the Invitation to Bid and unless obviously nonresponsive, read aloud publicly. An abstract of the amounts of the base Bids will be made available to Bidders after the opening of Bids.

## 15. BIDS TO REMAIN SUBJECT TO ACCEPTANCE

15.1. All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

## 16. EVALUATION OF BIDS AND AWARD OF CONTRACT

16.1. More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

16.2. Owner may conduct such investigations as Owner deems necessary to establish responsibility, qualifications, and financial ability of Bidders, proposed Subcontractors, Suppliers, individuals, or entities proposed for those portions of the Work in accordance with the Contract Documents.

16.3. If the Contract is to be awarded, Owner will award the Contract to Bidder whose Bid is in the best interests of the Project.

## 17. CONTRACT SECURITY AND INSURANCE

17.1. Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to bonds and insurance. When Successful Bidder delivers executed Agreement to Owner, it shall be accompanied by such bonds.

INSTRUCTIONS TO BIDDERS 00 21 13 - 8

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## 18. SIGNING OF AGREEMENT

18.1. Pursuant to Code of Georgia 13-10-90 et. seq., the Georgia Security and Immigration Compliance Act of 2006, the following shall be completed prior to Award:

18.1.1. Contractor understands and agrees that compliance with the requirements of OCGA 13-10-90 and Georgia Department of Labor Rule 300-10-02 are conditions of this Agreement.

18.2. Pursuant to Code of Georgia 48-13, nonresident Contractor shall complete the following prior to Award:

18.2.1. Register with Commissioner and pay fee.

18.2.2. Execute and file with Commissioner, bond worth 10 percent of Contract, conditioned that state and local taxes will be paid.

18.2.3. Appoint, in writing, Secretary of State to be lawful agent upon whom all lawful processes, proceedings, or notices may be served.

18.3. When Owner issues a Notice of Award to Successful Bidder, it shall be accompanied by the required number of unsigned counterparts of the Agreement as part of full sets of the Contract Documents. Within 15 days thereafter, Successful Bidder shall sign and deliver the required number of counterparts of the Agreement and attached documents to Owner. Within 10 days thereafter, Owner shall deliver one fully signed counterpart to Successful Bidder with a complete set of the Drawings with appropriate identification.

## **END OF SECTION**

NOTE TO BIDDER: Use typewriter or ink for completing this Bid Form.

## **BID FORM**

## 1. BID RECIPIENT

1.1. This Bid is submitted to:

Owner:	Fayette County, Georgia
Address:	Purchasing Department, Stonewall Ave West
	Suite 204, Fayetteville, GA 30214
Project Identification:	Crosstown and South Fayette Water Treatment Plants,
r roject rachtmeation.	Hoseless Solids Collection System
Bid No.:	1914-B Solids Handling

1.2. The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

## 2. BIDDER'S ACKNOWLEDGEMENTS

2.1. Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

## 3. BIDDER'S REPRESENTATIONS

3.1. In submitting this Bid, Bidder represents that:

3.1.1. Bidder has examined and carefully studied the Bidding Documents, the other related data identified in the Bidding Documents, and the following Addenda, receipt of which is hereby acknowledged.

Addendum No.	Addendum Date	

(Bidder shall insert number of each Addendum received.)

3.1.2. Bidder has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

3.1.3. Bidder is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.

3.1.4. Bidder has carefully studied: i) reports of explorations and tests of subsurface conditions at or contiguous to the Site and drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) which have been identified in Paragraph 5.03 of the Supplementary Conditions as containing reliable "technical data,"; and ii) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in Paragraph 5.06 of the Supplementary Conditions as containing reliable "technical data."

3.1.5. Bidder has considered the information known to Bidder; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents; and (3) Bidder's safety precautions and programs.

3.1.6. Based on information and observations referred to in paragraph above, Bidder does not consider that further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price(s) Bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.

3.1.7. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.

3.1.8. Bidder has given Engineer written notice of conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by Engineer is acceptable to Bidder.

BID FORM 00 41 13 - 2

3.1.9. The Bidding Documents are generally sufficient to indicate and convey understanding of terms and conditions for the performance of the Work for which this Bid is submitted.

## 4. BIDDER'S CERTIFICATION

4.1. Bidder certifies:

4.1.1. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization or corporation;

4.1.2. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;

4.1.3. Bidder has not solicited or induced any individual or entity to refrain from bidding; and

4.1.4. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this paragraph:

4.1.4.1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process;

4.1.4.2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish Bid prices at artificial noncompetitive levels, or (c) to deprive Owner of the benefits of free and open competition;

4.1.4.3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, noncompetitive levels; and

4.1.4.4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

4.1.5. Required sales and use taxes are included in the stated Bid prices for the Work unless provision is made herein for the Bidder to separately itemize the estimated amount of sales tax or if Instructions to Bidders state Owner is tax exempt.

## 5. BASIS OF BIDS

5.1. Bidder shall complete the Work in accordance with the Contract Documents for the following price(s):

- 5.2. Lump Sum Bid Price: \$\_\_\_\_\_
- 5.3. Cash Allowance(s):

Item	Description	Allowance
1.	Unforeseen Conditions	\$10,000
2.	Materials Testing Lab	\$5,000
3.	Owner-Directed Changes	\$10,000
	<b>Total Amount For Allowances</b>	\$25,000

5.3.1. Cash allowances are included in the price(s) set forth above, and have been computed in accordance with Paragraph 13.02.B of the General Conditions.

## 5.4. Bid Summary

5.4.1.	Lump Sum Base Bid:	\$
5.4.2.	Cash Allowances:	\$25,000
5.4.3.	Total Bid (Sum of Above):	\$

5.5. Major Equipment Provider: Bidder shall indicate which of the major equipment suppliers will be used for the proposed Work by circling the selected equipment supplier:

Section Number	Manufacturer
44 42 63 Hoseless Sludge Collection System	<ul><li>A. Meurer Research Inc (MRI)</li><li>B. Jim Meyers and Sons (JMS)</li></ul>

5.5.1. Alternate Major Equipment Supplier: In lieu of twelve hoseless settled solids removal systems supplied by either Meurer Research Inc (MRI) or Jim Meyers and Sons (JMS), the Bidder proposes to provide twelve hoseless settled solids removal systems, meeting all requirements of the technical specifications, provided by an alternate equipment supplier. Bidder shall include with the Bid package all necessary technical information necessary for the Owner to evaluate the proposed alternate equipment supplier. The cost of

any and all modifications to the design or support facilities necessitated by acceptance by the Owner of an alternate major equipment supplier shall be included in the Bidder's offered deductive amount. If an alternate supplier is accepted by the Owner, the Base Bid shall be reduced by the following amount:

\$\_\_\_\_\_

## 6. TIME OF COMPLETION

6.1. Bidder agrees the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates, or within the number of calendar days, indicated in the Instructions to Bidders.

6.2. Bidder accepts the provisions stated in the Instructions to Bidders as to liquidated damages in the event of failure to complete the Work, and any specified Milestones, within the Contract Times.

## 7. DEFINED TERMS

7.1. The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

## 8. BID SUBMITTAL

8.1. This Bid submitted by:

If Bidder is:

## An Individual

Name (typed or printed):

By (signature): \_\_\_\_\_

Doing business as:

## A Partnership

	Partnership Name:(SEAL)
	By:
	By: (Signature of general partner – attach evidence of authority to sign)
	Name (typed or printed):
<u>A</u>	Corporation
	Corporation Name:(SEAL)
	State of Incorporation:
	Type (General Business, Professional, Service, Limited Liability):
	By:
	Name (typed or printed):
	Title: (CORPORATE SEAL)
	Attest:
	(Signature of Corporate Secretary)
	Date of Qualification to do business in Georgia is:
Bidder's Business A	 ddress:
Phone No.:	FAX No.:
E-mail:	
SUBMITTED on	, 20
Georgia Utility and	General Contractor's License No.:
Contractor's Licens	e Class (where applicable):
	END OF SECTION

### **BID BOND**

Any singular reference to Bidder, Surety, Owner, or other party shall be considered plural where applicable.

BIDDER (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

#### BID

Bid Due Date: June 30, 2021 Project (Brief Description Including Location): Crosstown and South Fayette Water Treatment Plants, Hoseless Settled Solids Collection System

#### BOND

Bond Number: Date (Not later than Bid due date):

Penal sum

(Words)

(Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Bid Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

(Seal)

#### BIDDER

Bidder's Name and Corporate Seal

By: \_\_\_\_

Signature and Title

Attest:

SURETY

(Seal)

Surety's Name and Corporate Seal

By: \_\_\_\_\_ Signature and Title

(Attach Power of Attorney)

Signature and Title

Attest: Signature and Title

Note: Above addresses are to be used for giving required notice.

PW\DEN003\D3101212 MAY 8, 2021 ©COPYRIGHT 2021 CH2M HILL **BID BOND** 00 43 13 - 1 1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Surety's liability.

2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.

3. This obligation shall be null and void if:

3.1. Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or

3.2. All Bids are rejected by Owner, or

3.3. Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).

4. Payment under this Bond will be due and payable upon default by Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.

5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from Bid due date without Surety's written consent. 6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after Bid due date.

7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.

8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

## **END OF SECTION**

## STATEMENT OF NONCOLLUSION

Each Bidder shall complete the following statement in accordance with OCGA 36-91-21(e):

STATE OF\_\_\_\_\_\_} } ss COUNTY OF\_\_\_\_\_\_}

That (s)he is the agent authorized by the Bidder to submit the attached bid. Affiant further states that the Bidder has not been a party to any collusion among Bidders in restraint of freedom of competition by agreement to bid at a fixed price or to refrain from bidding; or with any State, County, or City official or employee as to quantity, quality, or price in the prospective Contract, or any other terms of said prospective Contract; or in any discussions between Bidders and any State, County, or City official concerning exchange of money or other thing of value for special consideration in the letting of a contract.

Affiant further warrants that no person or selling agency has been employed or retained to solicit or secure such contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business.

	Name of Contractor	
	Bidder (Affiant)	
Subscribed and sworn to before me this	day of	, 20
My commission expires:		
	Notary Public	

**END OF SECTION** 

# PART 2

# **CONTRACTING REQUIREMENTS**

# **Conditions of the Contract**

### ADDITIONAL TERMS AND CONDITIONS ITB #1914-B: Solids Handling Crosstown and South Fayette Water Treatment Plants, Hoseless Settled Solids Collection System

- 1. **Definitions**: The term "contractor" as used herein and elsewhere in these specifications shall be used synonymously with the term "successful bidder." The term "county" shall mean Fayette County, Georgia.
- 2. Bid is Offer to Contract: Each bid constitutes an offer to become legally bound to a contract with the county, incorporating the invitation to bid and the bidder's bid. The binding offer includes compliance with all terms, conditions, special conditions, specifications, and requirements stated in the invitation to bid, except to the extent that a bidder takes written exception to such provisions. All such terms, conditions, special conditions, specifications, and requirements will form the basis of the contract. The bidder should take care to answer all questions and provide all requested information, and to note any exceptions in the bid submission. Failure to observe any of the instructions or conditions in this invitation to bid may result in rejection of the bid.
- 3. **Binding Offer**: Each bid shall constitute a firm offer that is binding for sixty (60) days from the date of the bid opening, unless the bidder takes exception to this provision in writing.
- 4. Bidder's Questions: The Fayette County Purchasing Department must receive questions about this invitation to bid in writing by the date specified in the Invitation to Bid cover letter. The county will post answers to questions and/or other information concerning the invitation to bid in the form of an addendum on the county's website at <u>www.fayettecountyga.gov</u>. It is the responsibility of the prospective bidder to check the website for any addenda issued for this invitation to bid.
- 5. **References**: Include with your bid a list of three (3) jobs that your company has done that are of the same or similar nature to the work described in this invitation to bid, on the form provided. Include all information as requested on the Bidder's Qualification Form.
- 6. **Bid Submission:** Submit your bid, along with any addenda issued by the county, in a sealed opaque envelope with the following information written on the outside of the envelope:
  - a. The bidder's company name,
  - b. The bid number, which is **#1914-B Solids Handling**, and
  - c. The "reference" which identifies the bid, which is **"Crosstown and South Fayette Water Treatment Plants, Hoseless Solids Collection System"**.
  - d. Utility Contractor's License Number

Mail or deliver one (1) <u>unbound</u> original bid (paperclip or binder clip acceptable, no staples), signed in ink by a company official authorized to make a legal and binding offer, and 1 PDF copy on a USB, to:

Fayette County Georgia Purchasing Department 140 Stonewall Avenue West, Suite 204 Fayetteville, GA 30214

Attention: Contracts Administrator

You may submit bids in person, by U.S. mail, or by a commercial carrier. Do not submit bids by facsimile, e-mail, or other electronic means. Once submitted, all bids become the property of Fayette County.

- 7. Bid Preparation Costs: The bidder shall bear all costs associated with preparing the bid.
- 8. Late Bids: Bids not received by the time and date of the scheduled bid opening will not be considered, unless the delay is a result of action or inaction by the county.
- 9. More than One Bid: Do not submit alternate bids or options, unless requested or authorized by the county in the Invitation to Bid. If a responder submits more than one bid without being requested or authorized to do so, the county may disqualify the bids from that responder, at the county's option.
- 10. **Bid Corrections or Withdrawals:** The bidder may correct a mistake, or withdraw a bid, before the bid opening by sending written notification to the Director of Purchasing. Bids may be withdrawn after the bid opening only with written authorization from the Director of Purchasing.
- 11. **Defects or Irregularities in Bids:** The county reserves the right to waive any defect or irregularity in any bid received. In case of an error in extension of prices or totals in the bid, the unit prices shall govern.
- 12. **Prices Held Firm**: Prices quoted shall be firm for the period of the contract, unless otherwise specified in the bid. All prices for commodities, supplies, equipment, or other products shall be quoted FOB Destination, Fayette County or job site.
- 13. **Samples**: When the county requires samples as part of the bid and vendor selection process, bidders must provide requested samples within the time allotted, and at no cost to the county unless otherwise specified. Any goods provided under contract shall conform to the sample submitted. The county will return samples only at the bidder's request, and at the bidder's expense, if they are not destroyed by testing.
- 14. **Non-Collusion**: By responding to this invitation to bid, the bidder represents that the bid is not made in connection with any competing bidder, supplier, or service provider submitting a separate response to this invitation to bid, and is in all respects fair and without collusion or fraud.
- 15. **Bid Evaluation:** Award will be made to the lowest responsive, responsible bidder, taking into consideration payment terms, vendor qualifications and experience, quality, references, any exceptions listed, and/or other factors deemed relevant in making the award. The county may make such investigation as it deems necessary to determine the ability of the bidder to perform, and the bidder shall furnish to the county all information and data for this purpose as the county may request. The county reserves the right to reject any bid item, any bid, or all bids, and to readvertise for bids.

- 16. **Payment Terms and Discounts**: The County's standard payment terms are Net 30. Any deviation from standard payment terms must be specified in the resulting contract, and both parties must agree on such deviation. Cash discounts offered will be a consideration in awarding the bid, but only if they give the county at least 15 days from receipt of invoice to pay. For taking discounts, time will be computed from the date of invoice acceptance by the County, or the date a correct invoice is received, whichever is the later date. Payment is deemed made, for the purpose of earning the discount, on the date of the check.
- 17. Trade Secrets Confidentiality: If any person or entity submits a bid or proposal that contains trade secrets, an affidavit shall be included with the bid or proposal. The affidavit shall declare the specific included information which constitutes trade secrets. Any trade secrets must be either (1) placed in a separate envelope, clearly identified and marked as such, or (2) at a minimum, marked in the affidavit or an attached document explaining exactly where such information is, and otherwise marked, highlighted, or made plainly visible. See O.C.G.A. § 50-18-72 (A)(34).
- 18. Trade Secrets Internal Use: In submitting a bid, the bidder agrees that the county may reveal any trade secret materials contained in the bid to all county staff and officials involved in the selection process, and to any outside consultant or other third parties who may assist in the selection process. The bidder agrees to hold harmless the county and each of its officers, employees, and agents from all costs, damages, and expenses incurred in connection with refusing to disclose any material which the bidder has designated as a trade secret.
- 19. Ethics Disclosure of Relationships: Before a proposed contract in excess of \$10,000.00 is recommended for award to the Board of Commissioners or the County Administrator, or before the County renews, extends, or otherwise modifies a contract after it has been awarded, the contractor must disclose certain relationships with any County Commissioner or County Official, or their spouse, mother, father, grandparent, brother, sister, son or daughter related by blood, adoption, or marriage (including in-laws). A relationship that must be reported exists if any of these individuals is a director, officer, partner, or employee, or has a substantial financial interest the business, as described in Fayette County Ordinance Chapter 2, Article IV, Division 3 (Code of Ethics).

If such relationship exists between your company and any individual mentioned above, relevant information must be presented in the form of a written letter to the Director of Purchasing. You must include the letter with any bid, proposal, or price quote you submit to the Purchasing Department.

In the event that a contractor fails to comply with this requirement, the County will take action as appropriate to the situation, which may include actions up to and including rejection of the bid or offer, cancellation of the contract in question, or debarment or suspension from award of a County contract for a period of up to three years.

20. **Contract Execution & Notice to Proceed**: After the Board of Commissioners makes an award, all required documents are received by the county, and the contract is fully executed with signature of both parties, the county will issue a written Notice to Proceed. The county shall not be liable for payment of any work done or any costs incurred by any bidder prior to the county issuing the Notice to Proceed.

- 21. **Unavailability of Funds**: This contract will terminate immediately and absolutely at such time as appropriated and otherwise unobligated funds are no longer available to satisfy the obligations of the county under the contract.
- 22. **Insurance**: The successful bidder shall procure and maintain the following insurance, to be in effect throughout the term of the contract, in at least the amounts and limits as follows:
  - a. **General Liability Insurance**: \$1,000,000 combined single limit per occurrence, including bodily and personal injury, destruction of property, and contractual liability.
  - b. **Automobile Liability Insurance**: \$1,000,000 combined single limit each occurrence, including bodily injury and property damage liability.
  - c. Worker's Compensation & Employer's Liability Insurance: Workers Compensation as required by Georgia statute.
  - d. **Builder's "All Risk" Insurance**: In the event the contractor is performing construction services under the contract, contractor shall procure and maintain "all-risk" builder's insurance, providing coverage for the work performed under the contract, and the materials, equipment or other items incorporated therein, while the same are located at the construction site, stored off-site, or at the place of manufacture. The policy limit shall be at least 100% of the value of the contract, including any additional costs which are normally insured under such policy.

Before a contract with the successful bidder is executed, the successful bidder shall provide Certificates of Insurance for all required coverage. The successful offeror can provide the Certificate of Insurance after award of the contract, but must be provided prior to execution of the contract document by both parties. The certificate shall list additional insured as follows:

> Fayette County, Georgia 140 Stonewall Avenue West Fayetteville, GA 30214

Jacobs Engineering Group 10 10<sup>th</sup> Street, NE Suite 1400 Atlanta, GA 30309

23. **Bid Bond**: You must include a bid bond with your bid, equal to five percent (5%) of the total amount bid. Bid bonds shall be provided by a surety which appears on Georgia's list of approved sureties administered by the State Insurance Commissioner, or the U.S. Treasury's list of approved bond sureties (Circular 570).

- 24. **Performance and Payment Bonds**: Prior to execution of a contract, the successful bidder shall submit performance and payment bonds each equal to 100 percent of the contract value, provided by a surety which appears on Georgia's list of approved sureties administered by the State Insurance Commissioner, or the U.S. Treasury's list of approved bond sureties (Circular 570).
- 25. **Building Permits**: Work performed for the county requiring building permits by licensed contractors will not have permit fees assessed, although any re-inspection fees for disapproved inspections will be the responsibility of the contractor prior to final inspections and the Certificate of Occupancy or Certificate of Completion being issued.
- 26. **Unauthorized Performance:** The County will not compensate the contractor for work performed unless the work is authorized under the contract, as initially executed or as amended.
- 27. **Assignment of Contract:** Assignment of any contract resulting from this invitation to bid will not be authorized, except with express written authorization from the County.
- 28. **Severability**: The invalidity of one or more of the phrases, sentences, clauses or sections contained in the contract shall not affect the validity of the remaining portion of the contract. If any provision of the contract is held to be unenforceable, then both parties shall be relieved of all obligations arising under such provision to the extent that the provision is unenforceable. In such case, the contract shall be deemed amended to the extent necessary to make it enforceable while preserving its intent.
- 29. **Delivery Failures:** If the contractor fails to deliver contracted goods or services within the time specified in the contract, or fails to replace rejected items in a timely manner, the county shall have authority to make open-market purchases of comparable goods or services. The county shall have the right to invoice the contractor for any excess expenses incurred, or deduct such amount from monies owed the contractor. Such purchases shall be deducted from contracted quantities.
- 30. **Substitution of Contracted Items:** The contractor shall be obligated to deliver products awarded in this contract in accordance with terms and conditions specified herein. If a contractor is unable to deliver the products under the contract, it shall be the contractor's responsibility to obtain prior approval of the ordering agency to deliver an acceptable substitute at the same price quoted in the contractor's original bid. In the event any contractor consistently needs to substitute or refuses to substitute products, the County reserves the right to terminate the contract or invoke the "Delivery Failures" clause stated herein.
- 31. **Inspection and Acceptance of Deliveries**: The county reserves the right to inspect all goods and products delivered. The county will decide whether to accept or reject items delivered. The inspection shall be conclusive except with respect to latent defects, fraud, or such gross mistakes as shall amount to fraud. Final inspection resulting in acceptance or rejection of the products will be made as soon as practicable, but failure to inspect shall not be construed as a waiver by the county to claim reimbursement or damages for such products which are later found to be in non-conformance with specifications. Should public necessity demand it, the county reserves the right to use or consume articles delivered which are substandard in quality, subject to an adjustment in price to be determined by the Purchasing Director.

- 32. **Termination for Convenience**: The county may terminate the contract for its convenience at any time with 10 days' written notice to the contractor. In the event of termination for convenience, the county will pay the contractor for services performed. The county will compensate partially completed performance based upon a signed statement of completion submitted by the contractor, which shall itemize each element of performance completed.
- 33. **Force Majeure**: Neither party shall be deemed to be in breach of the contract to the extent that performance of its obligations is delayed, restricted, or prevented by reason of any act of God, natural disaster, act of government, or any other act or condition beyond the reasonable control of the party in question.
- 34. **Governing Law**: This agreement shall be governed in accordance with the laws of the State of Georgia. The parties agree to submit to the jurisdiction in Georgia, and further agree that any cause of action arising under this agreement shall be required to be brought in the appropriate venue in Fayette County, Georgia.

### PERFORMANCE BOND FORM

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

## CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

Fayette County 140 Stonewall Avenue West, Suite 204 Fayetteville, GA 30214

CONTRACT

Date: Amount: Description: Crosstown and South Fayette Water Treatment Plants, Hoseless Solids Collection System

BOND

Bond Number: Date (Not earlier than Contract Date): Amount: Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Performance Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

Company:

Signature: \_\_\_\_\_(Seal)
Name and Title

Surety's Name and Corporate Seal

\_(Seal)

By: \_\_\_\_\_\_ Signature and Title

(Attach Power of Attorney)

(Space is provided below for signatures of additional parties, if required.)

Attest: \_\_\_\_\_ Signature and Title

## Crosstown and South Fayette WTPs Hoseless Solids Collection System

CONTRACTOR AS PRINCIPAL		SURETY	
Company:			
Signature: Name and Title	(Seal)	Surety's Name and Corporate Seal	(Seal)
		By: Signature and Title	
		(Attach Power of Attorney)	
		Attest:	

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner for the performance of the Contract, which is incorporated herein by reference.

2. If Contractor performs the Contract, Surety and Contractor have no obligation under this Bond, except to participate in conferences as provided in Paragraph 3.1.

3. If there is no Owner Default, Surety's obligation under this Bond shall arise after:

3.1. Owner has notified Contractor and Surety, at the addresses described in Paragraph 10 below, that Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with Contractor and Surety to be held not later than 15 days after receipt of such notice to discuss methods of performing the Contract. If Owner, Contractor and Surety agree, Contractor shall be allowed a reasonable time to perform the Contract, but such an agreement shall not waive Owner's right, if any, subsequently to declare a Contractor Default; and

3.2. Owner has declared a Contractor Default and formally terminated Contractor's right to complete the Contract. Such Contractor Default shall not be declared earlier than 20 days after Contractor and Surety have received notice as provided in Paragraph 3.1; and

3.3. Owner has agreed to pay the Balance of the Contract Price to:

1. Surety in accordance with the terms of the Contract;

2. Another contractor selected pursuant to Paragraph 4.3 to perform the Contract.

4. When Owner has satisfied the conditions of Paragraph 3, Surety shall promptly and at Surety's expense take one of the following actions:

4.1. Arrange for Contractor, with consent of Owner, to perform and complete the Contract; or

4.2. Undertake to perform and complete the Contract itself, through its agents or through independent contractors; or

4.3. Obtain bids or negotiated proposals from qualified contractors acceptable to Owner for a contract for performance and completion of the Contract, arrange for a contract to be prepared for execution by Owner and Contractor selected with Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Contract, and pay to Owner the amount of damages as described in Paragraph 6 in excess of the Balance of the Contract Price incurred by Owner resulting from Contractor Default; or

4.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

1. After investigation, determine the amount for which it may be liable to Owner and, as soon as practicable after the amount is determined, tender payment therefor to Owner; or

2. Deny liability in whole or in part and notify Owner citing reasons therefor.

5. If Surety does not proceed as provided in Paragraph 4 with reasonable promptness, Surety shall be deemed to be in default on this Bond 15 days after receipt of an additional written notice from Owner to Surety demanding that Surety perform its obligations under this Bond, and Owner shall be entitled to enforce any remedy available to Owner. If Surety proceeds as provided in Paragraph 4.4, and Owner refuses the payment tendered or Surety has denied liability, in whole or in part, without further notice Owner shall be entitled to enforce any remedy available to Owner.

6. After Owner has terminated Contractor's right to complete the Contract, and if Surety elects to act under Paragraph 4.1, 4.2, or 4.3 above, then the responsibilities of Surety to Owner shall not be greater than those of Contractor under the Contract, and the responsibilities of Owner to Surety shall not be greater than those of Owner under the Contract. To a limit of the amount of this Bond, but subject to commitment by Owner of the Balance of the Contract Price to mitigation of costs and damages on the Contract, Surety is obligated without duplication for:

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL 6.1. The responsibilities of Contractor for correction of defective Work and completion of the Contract;

6.2. Additional legal, design professional, and delay costs resulting from Contractor's Default, and resulting from the actions or failure to act of Surety under Paragraph 4; and

6.3. Liquidated damages, or if no liquidated damages are specified in the Contract, actual damages caused by delayed performance or non-performance of Contractor.

7. Surety shall not be liable to Owner or others for obligations of Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than Owner or its heirs, executors, administrators, or successors.

8. Surety hereby waives notice of any change, including changes of time, to Contract or to related subcontracts, purchase orders, and other obligations.

9. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the Work or part of the Work is located and shall be instituted within two years after Contractor Default or within two years after Contractor ceased working or within two years after Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable. 10. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the address shown on the signature page.

11. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

12. Definitions.

12.1. Balance of the Contract Price: The total amount payable by Owner to Contractor under the Contract after all proper adjustments have been made, including allowance to Contractor of any amounts received or to be received by Owner in settlement of insurance or other Claims for damages to which Contractor is entitled, reduced by all valid and proper payments made to or on behalf of Contractor under the Contract.

12.2. Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

12.3. Contractor Default: Failure of Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Contract.

12.4. Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

FOR INFORMATION ONLY – Name, Address and Telephone Surety Agency or Broker Owner's Representative (engineer or other party)

## **END OF SECTION**

## **PAYMENT BOND FORM**

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

Fayette County 140 Stonewell Avenue West, Suite 204 Fayetteville, Georgia 30214

CONTRACT

Date: Amount: Description: Crosstown and South Fayette Water Treatment Plants, Hoseless Solids Collection System

BOND

Bond Number: Date (Not earlier than Contract Date): Amount: Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Payment Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

Company:

Signature: \_\_\_\_\_(Seal)
Name and Title

Surety's Name and Corporate Seal

\_(Seal)

By: \_\_\_\_\_\_ Signature and Title

(Attach Power of Attorney)

(Space is provided below for signatures of additional parties, if required.)

Attest: \_\_\_\_\_ Signature and Title

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL PAYMENT BOND FORM 00 61 13.16 - 1

## Crosstown and South Fayette WTPs Hoseless Solids Collection System

CONTRACTOR AS PRINCIPAL		SURETY	
Company:			
Signature:	(Seal)		(Seal)
Name and Title		Surety's Name and Corporate Seal	
		By: Signature and Title	
		(Attach Power of Attorney)	
		Attest:	
		Signature and Title	

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner to pay for labor, materials, and equipment furnished by Claimants for use in the performance of the Contract, which is incorporated herein by reference.

2. With respect to Owner, this obligation shall be null and void if Contractor:

2.1. Promptly makes payment, directly or indirectly, for all sums due Claimants, and

2.2. Defends, indemnifies, and holds harmless Owner from all claims, demands, liens, or suits alleging non-payment by Contractor by any person or entity who furnished labor, materials, or equipment for use in the performance of the Contract, provided Owner has promptly notified Contractor and Surety (at the addresses described in Paragraph 12) of any claims, demands, liens, or suits and tendered defense of such claims, demands, liens, or suits to Contractor and Surety, and provided there is no Owner Default.

3. With respect to Claimants, this obligation shall be null and void if Contractor promptly makes payment, directly or indirectly, for all sums due.

4. Surety shall have no obligation to Claimants under this Bond until:

4.1. Claimants who are employed by or have a direct contract with Contractor have given notice to Surety (at the addresses described in Paragraph 12) and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.

4.2. Claimants who do not have a direct contract with Contractor:

1. Have furnished written notice to Contractor and sent a copy, or notice thereof, to Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials or equipment were furnished or supplied, or for whom the labor was done or performed; and 2. Have either received a rejection in whole or in part from Contractor, or not received within 30 days of furnishing the above notice any communication from Contractor by which Contractor had indicated the claim will be paid directly or indirectly; and

3. Not having been paid within the above 30 days, have sent a written notice to Surety and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to Contractor.

5. If a notice by a Claimant required by Paragraph 4 is provided by Owner to Contractor or to Surety, that is sufficient compliance.

6. Reserved.

7. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety.

8. Amounts owed by Owner to Contractor under the Contract shall be used for the performance of the Contract and to satisfy claims, if any, under any performance bond. By Contractor furnishing and Owner accepting this Bond, they agree that all funds earned by Contractor in the performance of the Contract are dedicated to satisfy obligations of Contractor and Surety under this Bond, subject to Owner's priority to use the funds for the completion of the Work.

9. Surety shall not be liable to Owner, Claimants, or others for obligations of Contractor that are unrelated to the Contract. Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10. Surety hereby waives notice of any change, including changes of time, to the Contract or to related Subcontracts, purchase orders and other obligations.

11. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the Work or part of the Work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Paragraph 4.1 or Paragraph 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the addresses shown on the signature page. Actual receipt of notice by Surety, Owner, or Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory Bond and not as a common law bond. 14. Upon request of any person or entity appearing to be a potential beneficiary of this Bond, Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

15. Definitions:

15.1. Claimant: An individual or entity having a direct contract with Contractor, or with a first-tier subcontractor of Contractor, to furnish labor, materials, or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Contract, architectural and engineering services required for performance of the Work of Contractor and Contractor's Subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

15.2. Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

15.3. Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

FOR INFORMATION ONLY – Name, Address and Telephone Surety Agency or Broker: Owner's Representative (engineer or other party):

## **END OF SECTION**

## **EXCEPTIONS TO SPECIFICATIONS**

ITB #1914-B Solids Handling: Crosstown and South Fayette Water Treatment Plants, Hoseless Solids Collection System

Please list below any exception or clarifications to the Specifications of this Bid. Explain any exceptions in full.

Company Name:

## STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



#### Issued and Published Jointly by



American Council of Engineering Companies





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#### **ARTICLE 1 – DEFINITIONS AND TERMINOLOGY**

#### 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
  - 1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  - 2. Agreement—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
  - 3. Application for Payment—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  - 5. *Bidder*—An individual or entity that submits a Bid to Owner.
  - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  - 7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  - 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued

on or after the Effective Date of the Contract.

- 9. *Change Proposal*—A written request by duly submitted Contractor, in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a setoff against payments due; or seeking other relief with respect to the terms of the Contract.
- 10. Claim—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer has declined to address. A demand for money or services by a third party is not a Claim.
- 11. Constituent of Concern-Asbestos, petroleum, radioactive materials. polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. ("CERCLA"); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. ("RCRA"); (d) the Toxic Substances Control Act, 15 U.S.C.

§§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.

- 12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
- 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. *Cost of the Work*—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. *Engineer*—The individual or entity named as such in the Agreement.
- 21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 22. *Hazardous Environmental Condition* The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and

contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.

- 23. Laws and Regulations; Laws or Regulations—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
- 26. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
- 27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
- 30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
- 31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing

the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.

- 32. Resident Project Representative—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or "RPR" includes any assistants or field staff of Resident Project Representative.
- 33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals and the performance of related construction activities.
- 35. Schedule of Values—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 36. Shop Drawings—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
- 37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
- 38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems,

standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.

- 39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 40. Substantial Completion-The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" "substantially and completed" as applied to all or part of the Work refer to Substantial Completion thereof.
- 41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
- 42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
- 44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made

available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.

- 45. Underground Facilities—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, steam, gases, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
- 46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
- 47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
- 48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.
- 1.02 Terminology
  - A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
  - B. Intent of Certain Terms or Adjectives:
    - 1. The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect

or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.

- C. Day:
  - 1. The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. Defective:
  - 1. The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
    - a. does not conform to the Contract Documents; or
    - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
    - c. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. Furnish, Install, Perform, Provide:
  - 1. The word "furnish," when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.

- 2. The word "install," when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
- 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a wellknown technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

# **ARTICLE 2 – PRELIMINARY MATTERS**

# 2.01 Delivery of Bonds and Evidence of Insurance

- A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor's Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
- C. Evidence of Owner's Insurance: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of

insurance required to be provided by Owner under Article 6.

## 2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

# 2.03 Before Starting Construction

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  - 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.
- 2.04 Preconstruction Conference; Designation of Authorized Representatives
  - A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph

2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.

B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

#### 2.05 Initial Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
  - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  - 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

# 2.06 *Electronic Transmittals*

A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.

- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

# ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

- 3.01 Intent
  - A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
  - B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
  - C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
  - D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
  - E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- 3.02 *Reference Standards* 
  - A. Standards Specifications, Codes, Laws and Regulations
    - 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference

standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.

- No provision of any such standard 2. specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.
- 3.03 *Reporting and Resolving Discrepancies* 
  - A. Reporting Discrepancies:
    - Contractor's Verification of Figures and 1. Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to field measurements. applicable Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
    - 2. Contractor's Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract

Documents and (a) any applicable Law Regulation, (b) actual field or conditions. any standard (c) specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation bv Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

- 3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.
- B. Resolving Discrepancies:
  - 1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
    - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
    - the provisions of any Laws or b. Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).
- 3.04 *Requirements of the Contract Documents* 
  - A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under

the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.

- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.
- 3.05 *Reuse of Documents* 
  - A. Contractor and its Subcontractors and Suppliers shall not:
    - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
    - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
  - B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude

Contractor from retaining copies of the Contract Documents for record purposes.

#### ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

- 4.01 Commencement of Contract Times; Notice to Proceed
  - A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.
- 4.02 *Starting the Work* 
  - A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.
- 4.03 Reference Points
  - A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.
- 4.04 *Progress Schedule* 
  - A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
    - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.

- 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

# 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. abnormal weather conditions;

- 3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
- 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.
- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.
- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

#### ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

- 5.01 Availability of Lands
  - A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
  - B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.

- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.
- 5.02 Use of Site and Other Areas
  - A. Limitation on Use of Site and Other Areas:
    - Contractor shall confine construction 1. equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
    - If a damage or injury claim is made by 2. the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for Contractor is responsible, which Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and officers. directors. the members. partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all

court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work*: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning*: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures*: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.
- 5.03 Subsurface and Physical Conditions
  - A. *Reports and Drawings*: The Supplementary Conditions identify:
    - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
    - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
    - 3. Technical Data contained in such reports and drawings.
  - B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions

with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:

- 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
- 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
- 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

#### 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
  - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
  - 2. is of such a nature as to require a change in the Drawings or Specifications; or
  - 3. differs materially from that shown or indicated in the Contract Documents; or
  - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- Β. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. **Owner's Statement to Contractor Regarding** Site Condition: After receipt of Engineer's written findings, conclusions. and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Possible Price and Times Adjustments:
  - 1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
    - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will

be subject to the provisions of Paragraph 13.03; and,

- c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
  - the existence of such condition b. reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required bv the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

# 5.05 Underground Facilities

A. *Contractor's Responsibilities*: The information and data shown or indicated in the Contract Documents with respect to existing

Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:

- 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
- 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
  - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
  - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
  - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
  - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.
- C. *Engineer's Review*: Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to

which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Possible Price and Times Adjustments:
  - 1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
    - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
    - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
    - d. Contractor gave the notice required in Paragraph 5.05.B.

- 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
- 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
- 5.06 Hazardous Environmental Conditions at Site
  - A. *Reports and Drawings*: The Supplementary Conditions identify:
    - 1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
    - 2. Technical Data contained in such reports and drawings.
  - Reliance by Contractor on Technical Data R Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data. Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
    - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
    - 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or

- 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates Hazardous Environmental а Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered

written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.

- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members. partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and

hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.

K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

# **ARTICLE 6 – BONDS AND INSURANCE**

6.01 *Performance, Payment, and Other Bonds* 

- Contractor shall furnish a performance bond A. and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one vear after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- All bonds shall be in the form prescribed by B. the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by

an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-infact signed the accompanying bond.

- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

#### 6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is maintaining the policies, coverages, and

endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements. and documentation of and applicable self-insured retentions deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- Owner shall deliver to Contractor, with copies D. to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of and endorsements. policies and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other

party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.

- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

# 6.03 *Contractor's Insurance*

- A. *Workers' Compensation*: Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
  - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
  - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).
  - 4. Foreign voluntary worker compensation (if applicable).
- B. Commercial General Liability—Claims Covered: Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
  - 1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
  - 2. claims for damages insured by reasonably available personal injury liability coverage.
  - claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. Commercial General Liability—Form and Content: Contractor's commercial liability policy shall be written on a 1996 (or later) ISO

commercial general liability form (occurrence form) and include the following coverages and endorsements:

- 1. Products and completed operations coverage:
  - a. Such insurance shall be maintained for three years after final payment.
  - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
- 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
- 3. Broad form property damage coverage.
- 4. Severability of interest.
- 5. Underground, explosion, and collapse coverage.
- 6. Personal injury coverage.
- 7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
- For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. Automobile liability: Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. Umbrella or excess liability: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage

afforded shall follow form as to each and every one of the underlying policies.

- F. Contractor's pollution liability insurance: Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.
- G. Additional insureds: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions: include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- Contractor's professional liability insurance: H. If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
  - 1. include at least the specific coverages provided in this Article.

- 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
- 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
- 4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
- 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

# 6.04 Owner's Liability Insurance

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability

policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

# 6.05 Property Insurance

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
  - include the Owner and Contractor as 1 named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07. and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
  - 2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work. temporary buildings. falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and mischief; malicious mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.

- cover, as insured property, at least the 3. following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work construction. including under scaffolding, form work, fences, shoring, falsework, and temporary structures.
- 4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).
- 5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
- 6. extend to cover damage or loss to insured property while in transit.
- 7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- 8. allow for the waiver of the insurer's subrogation rights, as set forth below.
- 9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
- 10. not include a co-insurance clause.
- 11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
- 12. include performance/hot testing and start-up.
- 13. be maintained in effect, subject to the provisions herein regarding Substantial

Completion and partial occupancy or use of the Work by Owner, until the Work is complete.

- B. Notice of Cancellation or Change: All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles*: The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- Partial Occupancy or Use by Owner: If D. Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance*: If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

#### 6.06 Waiver of Rights

- All policies purchased in accordance with A. Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents. consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
  - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
  - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.

- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- Contractor shall be responsible for assuring D. agreement under which a that the Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees. agents. consultants. and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.
- 6.07 Receipt and Application of Property Insurance Proceeds
  - A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
  - B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.

C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

# ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

#### 7.01 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.
- 7.02 Labor; Working Hours
  - A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
  - B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.
- 7.03 Services, Materials, and Equipment
  - A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or

not such items are specifically called for in the Contract Documents.

- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.
- 7.04 *"Or Equals"* 
  - Whenever an item of material or equipment is A. specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
    - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
      - a. in the exercise of reasonable judgment Engineer determines that:
        - 1) it is at least equal in materials of construction, quality, durability, appearance,

strength, and design characteristics;

- it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
- it has a proven record of performance and availability of responsive service; and
- it is not objectionable to Owner.
- b. Contractor certifies that, if approved and incorporated into the Work:
  - there will be no increase in cost to the Owner or increase in Contract Times; and
  - it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "orequal", which will be evidenced by an approved Shop Drawing or other written advise communication. Engineer will Contractor in writing of any negative determination.
- D. *Effect of Engineer's Determination*: Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request*: If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may

request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

- 7.05 *Substitutes* 
  - A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
    - 1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
    - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
    - 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
      - a. shall certify that the proposed substitute item will:
        - 1) perform adequately the functions and achieve the results called for by the general design,
        - 2) be similar in substance to that specified, and
        - 3) be suited to the same use as that specified.
      - b. will state:
        - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,

- 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
- whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
- c. will identify:
  - 1) all variations of the proposed substitute item from that specified, and
  - available engineering, sales, maintenance, repair, and replacement services.
- d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- Engineer's Evaluation and Determination: B. Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.

- D. *Reimbursement of Engineer's Cost*: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- E. Effect of Engineer's Determination: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

# 7.06 Concerning Subcontractors, Suppliers, and Others

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed

acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.

- Owner may require the replacement of any E. Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor. Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of

Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.

- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.
- O. Nothing in the Contract Documents:
  - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
  - 2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.
- 7.07 Patent Fees and Royalties
  - A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual

knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.

- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the directors, members, officers. partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.
- 7.08 Permits
  - Unless otherwise provided in the Contract A. Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of

utility owners for connections for providing permanent service to the Work.

- 7.09 *Taxes* 
  - A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.
- 7.10 Laws and Regulations
  - A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
  - If Contractor performs any Work or takes any R other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the partners. officers. directors. members, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
  - C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of

such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

# 7.11 *Record Documents*

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

# 7.12 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and

replacement of their property or work in progress.

- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).
- G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.
- 7.13 Safety Representative
  - A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

#### 7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

# 7.15 Emergencies

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.
- 7.16 Shop Drawings, Samples, and Other Submittals
  - A. Shop Drawing and Sample Submittal Requirements:
    - 1. Before submitting a Shop Drawing or Sample, Contractor shall have:
      - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
      - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
      - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
      - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques,

sequences, and procedures of construction, and safety precautions and programs incident thereto.

- 2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
- 3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.
- B. Submittal Procedures for Shop Drawings and Samples: Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.
  - 1. Shop Drawings:
    - a. Contractor shall submit the number of copies required in the Specifications.
    - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.
  - 2. Samples:
    - a. Contractor shall submit the number of Samples required in the Specifications.
    - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which

intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.

- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals*: Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. Engineer's Review:
  - 1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
  - 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
  - 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
  - 4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the

requirements of the Contract Documents in a Field Order.

- 5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
- 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
- 7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.
- 8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.
- E. Resubmittal Procedures:
  - 1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
  - shall furnish required 2. Contractor submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
  - 3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to

Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

- 7.17 Contractor's General Warranty and Guarantee
  - A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
  - B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
    - 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
    - 2. normal wear and tear under normal usage.
  - C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
    - 1. observations by Engineer;
    - 2. recommendation by Engineer or payment by Owner of any progress or final payment;
    - the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
    - 4. use or occupancy of the Work or any part thereof by Owner;
    - 5. any review and approval of a Shop Drawing or Sample submittal;
    - 6. the issuance of a notice of acceptability by Engineer;
    - 7. any inspection, test, or approval by others; or
    - 8. any correction of defective Work by Owner.
  - D. If the Contract requires the Contractor to accept the assignment of a contract entered

into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

- 7.18 Indemnification
  - To the fullest extent permitted by Laws and A. Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
  - B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by anv employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
  - C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees,

agents, consultants and subcontractors arising out of:

- 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
- 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.
- 7.19 Delegation of Professional Design Services
  - A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
  - B. professional design If services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.
  - C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
  - D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract

Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.

E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

# **ARTICLE 8 – OTHER WORK AT THE SITE**

- 8.01 Other Work
  - A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
    - B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
    - C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
  - D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other

work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

## 8.02 Coordination

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
  - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.
- 8.03 Legal Relationships
  - A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such

equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.
- If Contractor damages, delays, disrupts, or D. interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors,

members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

# **ARTICLE 9 – OWNER'S RESPONSIBILITIES**

- 9.01 Communications to Contractor
  - A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.
- 9.02 Replacement of Engineer
  - A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.
- 9.03 Furnish Data
  - A. Owner shall promptly furnish the data required of Owner under the Contract Documents.
- 9.04 Pay When Due
  - A. Owner shall make payments to Contractor when they are due as provided in the Agreement.
- 9.05 Lands and Easements; Reports, Tests, and Drawings
  - A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
  - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
  - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.
- 9.06 Insurance
  - A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

- 9.07 Change Orders
  - A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.
- 9.08 Inspections, Tests, and Approvals
  - A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.
- 9.09 Limitations on Owner's Responsibilities
  - A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- 9.10 Undisclosed Hazardous Environmental Condition
  - A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.
- 9.11 Evidence of Financial Arrangements
  - A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).
- 9.12 Safety Programs
  - A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
  - B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

## ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

- 10.01 *Owner's Representative* 
  - A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

#### 10.02 Visits to Site

- Engineer will make visits to the Site at A. intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.
- 10.03 Project Representative
  - A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

- 10.04 *Rejecting Defective Work* 
  - A. Engineer has the authority to reject Work in accordance with Article 14.
- 10.05 Shop Drawings, Change Orders and Payments
  - A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
  - B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
  - C. Engineer's authority as to Change Orders is set forth in Article 11.
  - D. Engineer's authority as to Applications for Payment is set forth in Article 15.
- 10.06 Determinations for Unit Price Work
  - A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.
- 10.07 Decisions on Requirements of Contract Documents and Acceptability of Work
  - A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.
- 10.08 Limitations on Engineer's Authority and Responsibilities
  - A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.

- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- Engineer's review of the final Application for D. Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.
- 10.09 Compliance with Safety Program
  - A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

#### ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

## 11.01 Amending and Supplementing Contract Documents

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
  - 1. Change Orders:
    - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order

also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.

- b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
- Work Change Directives: A Work 2. Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.
- 3. *Field Orders*: Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor

believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

#### 11.02 Owner-Authorized Changes in the Work

Without invalidating the Contract and without A. notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

#### 11.03 Unauthorized Changes in the Work

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.
- 11.04 Change of Contract Price
  - A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
  - B. An adjustment in the Contract Price will be determined as follows:

- 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
- 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
- 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).
- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
  - 1. a mutually acceptable fixed fee; or
  - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
    - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
    - where one or more tiers of c. subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and 11.01.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee

plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;

- d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
- e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

#### 11.05 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

#### 11.06 Change Proposals

Contractor shall submit a Change Proposal to Α. Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

- 1. Procedures: Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.
- 2. Engineer's Action: Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
- 3. *Binding Decision*: Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals*: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

- 11.07 Execution of Change Orders
  - A. Owner and Contractor shall execute appropriate Change Orders covering:
    - 1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
    - 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
    - 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
    - 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.
  - B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.
- 11.08 Notification to Surety
  - A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

#### ARTICLE 12 – CLAIMS

- 12.01 Claims
  - A. *Claims Process*: The following disputes between Owner and Contractor shall be

submitted to the Claims process set forth in this Article:

- 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
- 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
- 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- Submittal of Claim: The party submitting a Β. Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution*: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
- D. Mediation:
  - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
  - 2. If Owner and Contractor agree to mediation, then after 60 days from such

agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. Denial of Claim: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

#### ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

- 13.01 Cost of the Work
  - A. Purposes for Determination of Cost of the Work: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:

- 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
- 2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included*: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
  - Payroll costs for employees in the direct 1. employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include. without limitation. superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.
  - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case

the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.

- Payments made by Contractor to 3. Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
- 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
- 5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of

transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.

- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property established insurance in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that

Contractor is required by the Contract Documents to purchase and maintain.

- C. *Costs Excluded*: The term Cost of the Work shall not include any of the following items:
  - Pavroll costs and other compensation of 1. Contractor's officers, executives. principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the schedule agreed upon of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.
- D. *Contractor's Fee*: When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of

Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.

- E. *Documentation*: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.
- 13.02 Allowances
  - A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
  - B. *Cash Allowances*: Contractor agrees that:
    - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
    - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
  - C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
  - D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.
- 13.03 Unit Price Work
  - A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.

- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

#### ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

- 14.01 Access to Work
  - A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable

times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

#### 14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval

prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.
- 14.03 Defective Work
  - A. *Contractor's Obligation*: It is Contractor's obligation to assure that the Work is not defective.
  - B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
  - C. *Notice of Defects*: Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
  - D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
  - E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
  - F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to

defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

#### 14.04 Acceptance of Defective Work

- If, instead of requiring correction or removal A. and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.
- 14.05 Uncovering Work
  - A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
  - B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
  - C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose,

or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.

- 1. If it is found that the uncovered Work is defective. Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, and testing, and inspection, of replacement satisfactory or reconstruction (including but not limited to all costs of repair or replacement of of others); and work pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
- 2. If the uncovered Work is not found to be defective. Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof. then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.
- 14.06 Owner May Stop the Work
  - A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.
- 14.07 Owner May Correct Defective Work
  - A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other

provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.

- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

#### **ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD**

- 15.01 Progress Payments
  - A. *Basis for Progress Payments*: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

- B. Applications for Payments:
  - At least 20 days before the date 1. established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
  - 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
  - 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. Review of Applications:
  - Engineer will, within 10 days after 1. receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to indicating in Contractor writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
  - 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation

by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:

- a. the Work has progressed to the point indicated;
- b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
- c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work, or

- b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
- c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
- d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
- e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
  - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.
- D. Payment Becomes Due:
  - 1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-

offs) will become due, and when due will be paid by Owner to Contractor.

- E. Reductions in Payment by Owner:
  - 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
    - claims have been made against a. Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or account damages on of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
    - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
    - c. Contractor has failed to provide and maintain required bonds or insurance;
    - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
    - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
    - f. the Work is defective, requiring correction or replacement;
    - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
    - h. the Contract Price has been reduced by Change Orders;

- i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
- j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
- Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
- 1. there are other items entitling Owner to a set off against the amount recommended.
- If Owner imposes any set-off against 2. payment, whether based on its own knowledge the or on written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
- 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

#### 15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

#### 15.03 Substantial Completion

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a

permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.

- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

#### 15.04 Partial Use or Occupancy

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its purpose intended without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
  - 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that

part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.

4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

#### 15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 15.06 Final Payment

- A. Application for Payment:
  - 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered. in accordance with the Contract all maintenance Documents. and instructions. operating schedules. guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.11), and other documents. Contractor may make application for final payment.
  - 2. The final Application for Payment shall be accompanied (except as previously delivered) by:
    - a. all documentation called for in the Contract Documents;
    - b. consent of the surety, if any, to final payment;

- c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
- d. a list of all disputes that Contractor believes are unsettled; and
- e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- In lieu of the releases or waivers of Liens 3. specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Application and Acceptance:
  - If, on the basis of Engineer's observation 1. of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are

necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

- C. *Completion of Work*: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.
- D. Payment Becomes Due: Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.
- 15.07 Waiver of Claims
  - A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
  - B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.
- 15.08 Correction Period
  - A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the

Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:

- 1. correct the defective repairs to the Site or such other adjacent areas;
- 2. correct such defective Work;
- 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
- 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- If Contractor does not promptly comply with B. the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this paragraph are in addition to all other obligations and

warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

## ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

- 16.01 Owner May Suspend Work
  - At any time and without cause, Owner may A. suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Change Proposal seeking Anv such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.
- 16.02 Owner May Terminate for Cause
  - A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
    - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
    - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
    - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
    - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
  - B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
    - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and

- 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.
- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

- 16.03 Owner May Terminate For Convenience
  - A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
    - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
    - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
    - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
  - B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.
- 16.04 Contractor May Stop Work or Terminate
  - A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
  - B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such

amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

#### **ARTICLE 17 – FINAL RESOLUTION OF DISPUTES**

#### 17.01 Methods and Procedures

- A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this Article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
  - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes*: For any dispute subject to resolution under this Article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
  - 2. agree with the other party to submit the dispute to another dispute resolution process; or
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

#### ARTICLE 18 – MISCELLANEOUS

- 18.01 Giving Notice
  - A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
    - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or

2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

#### 18.02 Computation of Times

A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

#### 18.03 *Cumulative Remedies*

- The duties and obligations imposed by these Α. General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.
- 18.04 *Limitation of Damages* 
  - A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.
- 18.05 No Waiver
  - A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.
- 18.06 Survival of Obligations
  - A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or

termination or completion of the Contract or termination of the services of Contractor.

#### 18.07 Controlling Law

A. This Contract is to be governed by the law of the state in which the Project is located.

#### 18.08 Headings

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

## SUPPLEMENTARY CONDITIONS

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract as indicated below. All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof. The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

SC-1.01. Renumber Paragraph 1.01.A.38 to 1.01.A.38.a, and add the following new paragraphs:

1.01.A.38.b. *Specialist*—The term Specialist refers to a person, partnership, firm, or corporation of established reputation (or if newly organized, whose personnel have previously established a reputation in the same field), which is regularly engaged in, and which maintains a regular force of workers skilled in either (as applicable) manufacturing or fabricating items required by the Contract Documents, or otherwise performing Work required by the Contract Documents. Where the Specifications require the installation by a Specialist, that term shall also be deemed to mean either the manufacturer of the item, a person, partnership, firm, or corporation licensed by the manufacturer, or a person, partnership, firm, or corporation who will perform the Work under the manufacturer's direct supervision.

1.01.A.38.c. *Standard Specifications*—Wherever in these Contract Documents reference is made to the Standard Specifications, said reference shall be understood as referring to the Technical Specifications which applicable parts are incorporated herein and made a part of these Documents by specific reference thereto. If requirements contained in the Standard Specifications are modified by or are in conflict with supplemental information in these Contract Documents, the requirements of these Contract Documents shall prevail.

#### SC-1.01. Add the following language at the end of Paragraph 1.01.A.40:

Substantial Completion is further defined as (i) that degree of completion of the Project's operating facilities or systems sufficient to provide Owner the full time, uninterrupted, and continuous beneficial operation of the Work; and (ii) required functional, performance and acceptance, or startup testing has been successfully demonstrated for components, devices, equipment, and instrumentation and control to the satisfaction of Engineer in accordance with the requirements of the Specifications.

SC-2.01 Delete Paragraph 2.01.B. and Paragraph 2.01.C. in their entirety and insert the following in their place:

2.01.B. Evidence of Contractor's Insurance: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner copies of the policies of insurance (including all endorsements, and identification of applicable self-insured retentions and deductibles) required to be provided by Contractor in Section Conditions of the Contract. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

SC-2.02. Delete Paragraph 2.02.A. in its entirety and insert the following new paragraph in its place:

2.02.A. Owner shall furnish to Contractor one copy of conformed Contract Documents incorporating and integrating all Addenda and any amendments negotiated prior to the Effective Date of the Contract (including one fully executed counterpart of the Agreement) and one copy in electronic portable document format (PDF). One of the two copies shall be used by the Contractor as the record document set, and the Contractor shall indicate on this set all changes made during construction.

SC-3.01. Delete Paragraph 3.01.C in its entirety.

SC-3.01. Add the following new paragraph immediately after Paragraph 3.01.E:

3.01.F. Sections of Division 01, General Requirements, govern the execution of the Work of all sections of the Specifications.

SC-4.01 Delete Paragraph 4.01.A in its entirety and insert the following new paragraph in its place:

4.01.A. The Contract Times will commence to run on the date indicated in the Notice to Proceed, which will also serve as the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening, unless mutually acceptable to both the Owner and the Contractor to extend the time longer than sixty days, or the thirtieth day after the Effective Date of the Contract, whichever is earlier.

SC-5.03 Add the following new paragraphs immediately after Paragraph 5.03.B:

5.03.C. The following reports of explorations and tests of subsurface conditions at or adjacent to the Site are known to Owner:

5.03.C.1. Report dated December 1990 prepared by ATEC Associates, Inc, entitled "Subsurface Investigation and Geotechnical Engineering Evaluation, 4.0 MGD County Water Treatment Plant Expansion". Report dated 1997 prepared by Mallett and Associates, Inc, entitled "Report of Subsurface Exploration and Geotechnical Engineering Evaluation, Proposed South Fayette Water Treatment Plant, Antioch Road, Fayette County, Georgia, PCG Project No. 97128". The Technical Data contained in such report upon whose accuracy Contractor may rely are those indicated in the definition of Technical Data in the General Conditions.

5.03.D. The following drawings of physical conditions relating to existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities) are known to Owner:

5.03.D.1. Drawings dated January 1985 prepared by Mallett and Associates, Inc, entitled "Contract 1 - 4.0 MGD Water Treatment Plant, As-Builts".

5.03.D.2. Drawings dated January 1995 prepared by Mallett and Associates, Inc, entitled "Water Treatment Plant Expansion for Fayette County Water System, As-Builts".

5.03.D.3. Drawings dated January 2002 prepared by Mallett and Associates, Inc., entitled "South Fayette County Water Treatment Plant, As-Builts".

5.03.D.4. Drawings dated July 2015 prepared by CH2M HILL, entitled "Crosstown Water Treatment Plant Improvements".

5.03.D.1.a. All of the information in such drawings constitutes Technical Data on whose accuracy Contractor may rely.

5.03.E. Contractor may access copies of reports and drawings identified in SC-5.03.C and SC-5.03.D that were not included with the Bidding Documents at the Fayette County website.

SC-5.06. Delete Paragraph 5.06.A and Paragraph 5.06.B in their entirety and insert the following in their place:

5.06.A. No reports or drawings related to Hazardous Environmental Conditions are known to Owner.

SC-6.01. Change the first sentence in Paragraph 6.01.A and replace it as follows:

Contractor shall furnish a Performance Bond and a Payment Bond, each in an amount equal to the Contract Price, as security for the faithful performance and payment of all the Contractor's obligations under the Contract.

SC-6.01. Change Paragraph 6.01.D to read as follows:

"...above, then Contractor shall promptly notify Owner and Engineer and shall, within 10 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the requirements of Paragraph 6.01.B.

SC-6.02. Delete Article 6.02 in its entirety.

SC-6.03. Delete Article 6.03 in its entirety.

SC-6.04. Delete Article 6.04 in its entirety.

SC-6.05. Delete Article 6.05 in its entirety.

SC-6.06. Delete Article 6.06 in its entirety.

SC-6.07. Delete Article 6.07 in its entirety.

SC-7.02. Add the following language to the end of Paragraph 7.02.B:

7.02.B.1. Contractor and Subcontractor regular working hours consist of 8 working hours within a 9-hour period between 7:00 a.m. and 6:00 p.m., excluding Sundays and holidays. Overtime work is work in excess of 40 hours per week.

SC-7.02. Add the following new paragraph immediately after Paragraph 7.02.B:

7.02.C. Contractor shall be responsible for the cost of any overtime pay or other expense incurred by the Owner for Engineer's services (including those of the Resident Project Representative, if any), Owner's representative, and construction observation services, occasioned by the performance of Work on Saturday, Sunday, any legal holiday, or as overtime on any regular work day. If Contractor is responsible but does not pay, or if the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15.

SC-7.05. Add the following language at the end of Paragraph 7.05.D:

Reimbursement rates for Engineer or their officers, directors, members, partners, employees, agents, and other consultants and subcontractors for evaluation of proposed substitutes shall be on the basis established in Paragraph 15.01.E. of these General Conditions.

SC-7.06. Add the following language at the end of Paragraph 7.06.A:

Contractor shall perform a minimum of 25 percent of the onsite labor with its own employees.

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7.08. Add the following new paragraph(s) immediately after Paragraph 7.08.A:

7.08.B. Owner will obtain and pay for the following construction permits and licenses:

7.08.B.1. Georgia EPD7.08.B.2. Land Disturbance Permit. The project area is less than one acre; the Land Disturbance Permit is not required by either EPD or Fayette County.

SC-7.10. Add the following new paragraph(s) immediately after Paragraph 7.10.C:

7.10.D. While not intended to be inclusive of all Laws or Regulations for which Contractor may be responsible under Paragraph 7.10, the following Laws or Regulations are included as mandated by statute or for the convenience of Contractor:

7.10.D.1. Security and Immigration Act: Contractor and its Subcontractors shall register and comply with OCGA 13-10-90 et. seq. and Georgia Department of Labor Chapter 300-10-1.

SC-10.03. Add the following new paragraphs immediately after Paragraph 10.03.A:

10.03.B. Resident Project Representative (RPR) will be furnished by Engineer. The responsibilities, authority, and limitations of the RPR are limited to those of Engineer in accordance with Paragraph 10.08 and as set forth elsewhere in the Contract Documents and are further limited and described below.

10.03.C. Responsibilities and Authority:

10.03.C.1. Schedules: Review and monitor Progress Schedule, Schedule of Submittals, and Schedule of Values prepared by Contractor and consult with Engineer concerning acceptability.

10.03.C.2. Conferences and Meetings: Conduct or attend meetings with Contractor, such as preconstruction conferences, progress meetings, Work conferences and other Project related meetings.

10.03.C.3. Liaison: (i) Serve as Engineer's liaison with Contractor, working principally through Contractor's authorized representative, and assist in understanding the intent of the Contract Documents; (ii) assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's onsite operations; (iii) assist in obtaining from Owner additional details or information when required for proper execution of the Work.

10.03.C.4. Interpretation of Contract Documents: Inform Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.

10.03.C.5. Submittals: Receive submittals that are furnished at the Site by Contractor, and notify Engineer of availability for examination. Advise Engineer and Contractor of the commencement of any Work or arrival of materials and equipment at Site, when recognized, requiring a Shop Drawing or Sample if the submittal has not been approved by Engineer.

10.03.C.6. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and provide recommendations to Engineer; transmit to Contractor, in writing, decisions as issued by Engineer.

10.03.C.7. Review of Work and Rejection of Defective Work: (i) Conduct onsite observations of the Work in progress to assist Engineer in determining if the Work is, in general, proceeding in accordance with the Contract Documents; (ii) inform Engineer and Contractor whenever RPR believes that any Work is defective; (iii) advise Engineer whenever RPR believes that any Work will not produce a completed Project that conforms generally to the Contract Documents or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged or does not meet the requirements of any inspection test, or approval required to be made; and advise Engineer of that part of the Work in progress that RPR believes should be corrected or rejected or uncovered for observation, or requires special testing, inspection, or approval.

10.03.C.8. Inspections, Tests, and System Startups: (i) Verify tests, equipment and systems startups and operating and maintenance training are conducted in the presence of appropriate personnel, and that Contractor maintains adequate records thereof; (ii) observe, record, and report to Engineer appropriate details relative to the test procedures and system startups; and (iii) accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the results of these inspections, and report to Engineer.

10.03.C.9. Records: (i) Maintain records for use in preparing Project documentation; (ii) keep a diary or log book recording pertinent Site conditions, activities, decisions and events; (iii) record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of Contractors, Subcontractors, and major Suppliers of materials and equipment.

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10.03.C.10. Reports: (i) Furnish Engineer periodic reports of progress of the Work and of Contractor's compliance with the Progress Schedule and Schedule of Submittals; (ii) immediately notify Engineer of the occurrence of Site accidents, emergencies, acts of God endangering the Work, damage to property by fire or other causes, or the discovery of any Hazardous Environmental Condition; and (iii) assist Engineer in drafting proposed Change Orders, Work Change Directives, and Field Orders; obtain backup material from Contractor as appropriate.

10.03.C.11. Payment Requests: Review Applications for Payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

10.03.C.12. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify materials and equipment certificates and operation and maintenance manuals and other data required by Specifications to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents been delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.

10.03.C.13. Completion: (i) Participate in a Substantial Completion inspection; assist in determination of Substantial Completion and the preparation of lists of items to be completed or corrected; (ii) Participate in a final inspection in the company of Engineer, Owner, and Contractor and prepare a final list of items to be completed and deficiencies to be remedied; and (iii) observe whether items on final list have been completed or corrected, and make recommendations to Engineer concerning acceptance.

10.03.D. Limitations of Authority: Resident Project Representative will not:

10.03.D.1. have authority to authorize a deviation from Contract Documents or substitution of materials or equipment, unless authorized by Engineer; or

10.03.D.2. exceed the limitations of Engineer's authority as set forth in Contract Documents; or

10.03.D.3. undertake any of the responsibilities of Contractor, Subcontractors, Suppliers, or Contractor's authorized representative; or

10.03.D.4. advise on, issue directions relative to, or assume control over an aspect of the means, methods, techniques, sequences, or procedures of Contractor's work unless such advice or directions are specifically required by the Contract Documents; or

10.03.D.5. advise on, issue directions regarding, or assume control over safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor; or

10.03.D.6. participate in specialized field or laboratory tests or inspections conducted offsite by others, except as specifically authorized by Engineer; or

10.03.D.7. accept Shop Drawings or Samples from anyone other than Contractor; or

10.03.D.8. authorize Owner to occupy the Project in whole or in part.

SC-10.08. Add the following new paragraph immediately after Paragraph 10.08.E:

10.08.F. Contractors, Subcontractors, Suppliers, and others on the Project, or their sureties, shall maintain no direct action against Engineer, its officers, employees, affiliated corporations, and subcontractors, for any Claim arising out of, in connection with, or resulting from the engineering services performed. Only the Owner will be the beneficiary of any undertaking by Engineer.

SC-11.04. Add the following new paragraph immediately after Paragraph 11.04.C:

11.04.D. In the event Contractor submits request for additional compensation as a result of a change or differing Site conditions, or as a result of delays, acceleration, or loss of productivity, Owner reserves right, upon written request, to audit and inspect Contractor's books and records relating to the Project. Upon written request for an audit, Contractor shall make its books and records available within 14 days of request. Owner shall specifically designate identity of auditor. As part of audit, Contractor shall make available its books and records relating to the Project, including but not limited to Bidding Documents, cost reports, payroll records, material invoices, subcontracts, purchase orders, daily timesheets, and daily diaries. Audit shall be limited to those cost items which are sought by Contractor in a change order or claim submission to Owner.

SC-13.01. Delete Paragraph 13.01.B.5.c in its entirety and insert the following in its place:

13.01.B.5.c. Construction Equipment and Machinery:

13.01.B.5.c.(1) Rentals of construction equipment and machinery, and the parts thereof in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly,

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dismantling, and removal thereof. Such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.

13.01.B.5.c.(2) Costs for equipment and machinery owned by Contractor will be paid at a rate shown for such equipment in the Rental Rate Blue Book published by Equipment Watch. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs. Costs will include the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of such equipment or machinery, or parts thereof, shall cease to accrue when the use thereof is no longer necessary for the changed Work. Equipment or machinery with a value of less than \$1,000 will be considered small tools.

SC-14.02. Delete Paragraph 14.02.B in its entirety and insert the following in its place:

14.02.B. Contractor shall retain an independent testing laboratory or testing agency, to be selected by the Owner, and shall be responsible for arranging and shall pay for specified tests, inspections, and approvals, including tests, inspections, and approvals to be paid for on a cash allowance basis, required for Owner's and Engineer's acceptance of the Work at the Site except:

14.02.B.1. costs incurred in connection with tests or inspections pursuant to Paragraph 14.02.C shall be paid for as provided in said paragraph; and

14.02.B.2. as otherwise specifically provided in the Contract Documents.

SC-14.02. Add the following language at the end of Paragraph 14.02.D:

Tests required by Contract Documents to be performed by Contractor that require test certificates be submitted to Owner or Engineer for acceptance shall be made by an independent testing laboratory or agency licensed or certified in accordance with Laws and Regulations and applicable state and local statutes. In the event state license or certification is not required, testing laboratories or agencies shall meet the following applicable requirements:

14.02.D.6. Basic requirements of ASTM E329, "Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection" as applicable.

14.02.D.7. Calibrate testing equipment at reasonable intervals by devices of accuracy, traceable to the National Institute of Standards and Technology or accepted values of natural physical constants.

SC-15.01. Delete Paragraph 15.01.D.1 in its entirety and insert the following in its place:

15.01.D.1. Thirty days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended will (subject to the provisions of Paragraph 15.01.E.) become due and when due will be paid by Owner to Contractor.

SC 15.03.B. Add the following new subparagraph to Paragraph 15.03.B:

SC 15.03.B.1. If some or all of the Work has been determined not to be at a point of Substantial Completion and will require re-inspection or re-testing by Engineer, the cost of such re-inspection or re-testing, including the cost of time, travel and living expenses, shall be paid by Contractor to Owner. If Contractor does not pay, or the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15.

## **END OF SECTION**

# PART 3

## **SPECIFICATIONS**

## SECTION 01 11 00 SUMMARY OF WORK

## PART 1 GENERAL

## 1.01 WORK COVERED BY CONTRACT DOCUMENTS

- A. The completed Work will provide Owner with new hoseless settled solids collection mechanisms in each of eight existing sedimentation basins at the Crosstown WTP and four existing sedimentation basins at the South Fayette WTP.
- PART 2 PRODUCTS (NOT USED)
- PART 3 EXECUTION (NOT USED)

## **END OF SECTION**

## SECTION 01 26 00 CONTRACT MODIFICATION PROCEDURES

## PART 1 GENERAL

### 1.01 PROPOSAL REQUESTS

- A. Owner may, in anticipation of ordering an addition, deletion, or revision to the Work, request Contractor to prepare a detailed proposal of cost and times to perform contemplated change.
- B. Proposal request will include reference number for tracking purposes and detailed description of and reason for proposed change, and such additional information as appropriate and as may be required for Contractor to accurately estimate cost and time impact on Project.
- C. Proposal request is for information only; Contractor is neither authorized to execute proposed change nor to stop Work in progress as result of such request.
- D. Contractor's written proposal shall be transmitted to Engineer promptly, but not later than 14 days after Contractor's receipt of Owner's written request.
   Proposal shall remain firm for a maximum period of 45 days after receipt by Engineer.
- E. Owner's request for proposal or Contractor's failure to submit such proposal within the required time period will not justify a Claim for an adjustment in Contract Price or Contract Times (or Milestones).

#### 1.02 CLAIMS

- A. Include, at a minimum:
  - 1. Specific references including (i) Drawing numbers, (ii) Specification section and article/paragraph number, and (iii) Submittal type, Submittal number, date reviewed, Engineer's comment, as applicable, with appropriate attachments.
  - 2. Stipulated facts and pertinent documents, including photographs and statements.
  - 3. Interpretations relied upon.
  - 4. Description of (i) nature and extent of Claim, (ii) who or what caused the situation, (iii) impact to the Work and work of others, and (iv) discussion of claimant's justification for requesting a change to price or times or both.

- 5. Estimated adjustment in price claimant believes it is entitled to with full documentation and justification.
- Requested Change in Contract Times: Include at least (i) Progress Schedule documentation showing logic diagram for request, (ii) documentation that float times available for Work have been used, and (iii) revised activity logic with durations including sub-network logic revisions, duration changes, and other interrelated schedule impacts, as appropriate.
- 7. Documentation as may be necessary as set forth below for Work Change Directive, and as Engineer may otherwise require.

## 1.03 WORK CHANGE DIRECTIVES

- A. Procedures:
  - 1. Engineer will:
    - a. Initiate, including a description of the Work involved and any attachments.
    - b. Affix signature, demonstrating Engineer's recommendation.
    - c. Transmit three copies to Owner for authorization.
  - 2. Owner will:
    - a. Affix signature, demonstrating approval of the changes involved.
    - b. Return two copies to Engineer, who will retain one copy and forward one copy to Contractor.
  - 3. Upon completion of Work covered by the Work Change Directive or when final Contract Times and Contract Price are determined, Contractor shall submit documentation for inclusion in a Change Order.
  - 4. Contractor's documentation shall include but not be limited to:
    - a. Appropriately detailed records of Work performed to enable determination of value of the Work.
    - b. Full information required to substantiate resulting change in Contract Times and Contract Price for Work. On request of Engineer, provide additional data necessary to support documentation.
    - c. Support data for Work performed on a unit price or Cost of the Work basis with additional information such as:
      - 1) Dates Work was performed, and by whom.
      - 2) Time records, wage rates paid, and equipment rental rates.
      - 3) Invoices and receipts for materials, equipment, and subcontracts, all similarly documented.
- B. Effective Date of Work Change Directive: Date of signature by Owner, unless otherwise indicated thereon.

## 1.04 CHANGE ORDERS

- A. Procedure:
  - 1. Engineer will prepare four copies of proposed Change Order and transmit such with Engineer's written recommendation and request to Contractor for signature.
  - 2. Contractor shall, upon receipt, either: (i) promptly sign copies, retaining one for its file, and return remaining three copies to Engineer for Owner's signature, or (ii) return unsigned three copies with written justification for not executing Change Order.
  - 3. Engineer will, upon receipt of Contractor signed copies, promptly forward Engineer's written recommendation and partially executed three copies for Owner's signature, or if Contractor fails to execute the Change Order, Engineer will promptly so notify Owner and transmit Contractor's justification to Owner.
  - 4. Upon receipt of Contractor-executed Change Order, Owner will promptly either:
    - a. Execute Change Order, retaining one copy for its file and returning two copies to Engineer; or
    - b. Return to Engineer unsigned copies with written justification for not executing Change Order.
  - 5. Upon receipt of Owner-executed Change Order, Engineer will transmit one copy to Contractor and retain one copy, or if Owner fails to execute the Change Order, Engineer will promptly so notify Contractor and transmit Owner's justification to Contractor.
  - 6. Upon receipt of Owner-executed Change Order, Contractor shall:
    - a. Perform Work covered by Change Order.
    - b. Revise Schedule of Values to adjust Contract Price and submit with next Application for Payment.
    - c. Revise Progress Schedule to reflect changes in Contract Times, if any, and to adjust times for other items of Work affected by change.
    - d. Enter changes in Project record documents after completion of change related Work.
- B. In signing a Change Order, Owner and Contractor acknowledge and agree that:
  - Stipulated compensation (Contract Price or Contract Times, or both) set forth includes payment for (i) the Cost of the Work covered by the Change Order, (ii) Contractor's fee for overhead and profit, (iii) interruption of Progress Schedule, (iv) delay and impact, including cumulative impact, on other Work under the Contract Documents, and (v) extended overheads.

- 2. Change Order constitutes full mutual accord and satisfaction for the change to the Work.
- 3. Unless otherwise stated in the Change Order, all requirements of the original Contract Documents apply to the Work covered by the Change Order.

## 1.05 COST OF THE WORK

- A. In determining the supplemental costs allowed in paragraph 13.01.B.5 of the General Conditions for rental equipment and machinery, the following will apply.
- B. Rental of construction equipment and machinery and the parts thereof having a replacement value in excess of \$1,000, whether owned by Contractor or rented or leased from others, shall meet the following requirements:
  - 1. Full rental costs for leased equipment shall not exceed rates listed in the Rental Rate Blue Book published by Equipment Watch, as adjusted to the regional area of the Project. Owned equipment costs shall not exceed the single shift rates established in the Cost Reference Guide (CRG) published by Equipment Watch. The most recent published edition in effect at commencement of actual equipment use shall be used.
  - 2. Rates shall apply to equipment in good working condition. Equipment not in good condition, or larger than required, may be rejected by Engineer or accepted at reduced rates.
  - 3. Leased Equipment: For equipment leased or rented in arm's length transactions from outside vendors, maximum rates shall be determined by the following actual usage/Payment Category:
    - a. Less than 8 hours: Hourly rate.
    - b. 8 or more hours but less than 7 days: Daily rate.
    - c. 7 or more days but less than 30 days: Weekly rate.
    - d. 30 days or more: Monthly rate.
  - 4. Arm's length rental and lease transactions are those in which the firm involved in the rental or lease of equipment is not associated with, owned by, have common management, directorship, facilities and/or stockholders with the firm renting the equipment.
  - 5. Financial arrangements associated with rental and lease transactions that provide Contractor remuneration or discounts not visible to the Owner must be disclosed and integrated with charged rates.

- 6. Leased Equipment in Use: Actual equipment use time documented by Engineer shall be the basis that equipment was on and utilized at the Project Site. In addition to the leasing rate above, equipment operational costs shall be paid at the estimated hourly operating cost rate set forth in the Rental Rate Blue Book if not already included in the lease rate. Hours of operation shall be based upon actual equipment usage to the nearest quarter hour, as recorded by Engineer.
- 7. Leased Equipment, When Idle (Standby): Idle or standby equipment is equipment onsite or in transit to and from the Work Site and necessary to perform the Work under the modification, but not in actual use. Idle equipment time, as documented by Engineer, shall be paid at the leasing rate determined above, excluding operational costs.
- 8. Owned and Other Equipment in Use: Equipment rates for owned equipment or equipment provided in other than arm's length transaction shall not exceed the single shift total hourly costs rate developed in accordance with the CRG and as modified herein for multiple shifts. This total hourly rate will be paid for each hour the equipment actually performs work. Hours of operation shall be based upon actual equipment usage as recorded by Engineer. This rate shall represent payment in full for Contractor's direct costs.
- 9. Owned and Other Equipment, When Idle (Standby): Equipment necessary to be onsite to perform the Work on single shift operations, but not utilized, shall be paid for at the ownership hourly expense rate developed in accordance with the CRG, provided its presence and necessity onsite has been documented by Engineer. Payment for idle time of portions of a normal workday, in conjunction with original contract Work, will not be allowed. In no event shall idle time claimed in a day for a particular piece of equipment exceed the normal Work or shift schedule established for the Project. It is agreed that this rate shall represent payment in full for Contractor's direct costs. When Engineer determines that the equipment is not needed to continuously remain at the Work Site, payment will be limited to actual hours in use.
- 10. Owned and Other Equipment, Multiple Shifts: For multiple shift operations, the CRG single shift total hourly costs rate shall apply to the operating equipment during the first shift. For subsequent shifts, up to two in a 24-hour day, operating rate shall be the sum of the total hourly CRG operating cost and 60 percent of the CRG ownership and overhaul expense. Payment for idle or standby time for second and third shifts shall be 20 percent of the CRG ownership and overhaul expense.
- 11. When necessary to obtain owned equipment from sources beyond the Project limits, the actual cost to transfer equipment to the Site and return it to its original location will be allowed as an additional item of expense. Move-in and move-out allowances will not be made for equipment brought to the Project if the equipment is also used on original Contract or related Work.

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- 12. If the move-out destination is not to the original location, payment for move-out will not exceed payment for move-in.
- 13. If move is made by common carrier, the allowance will be the amount paid for the freight. If equipment is hauled with Contractor's own forces, rental will be allowed for the hauling unit plus the hauling unit operator's wage. If equipment is transferred under its own power, the rental will be 75 percent of the appropriate total hourly costs for the equipment, without attachments, plus the equipment operator's wage.
- 14. Charges for time utilized in servicing equipment to ready it for use prior to moving and similar charges will not be allowed.
- 15. When a breakdown occurs on any piece of owned equipment, payment shall cease for that equipment and any other owned equipment idled by the breakdown.
- 16. If any part of the Work is shut down by Owner, standby time will be paid during nonoperating hours if diversion of equipment to other Work is not practicable. Engineer reserves the right to cease standby time payment when an extended shutdown is anticipated.
- 17. If a rate has not been established in the CRG for owned equipment, Contractor may:
  - a. If approved by Engineer, use the rate of the most similar model found, considering such characteristics as manufacturer, capacity, horsepower, age, and fuel type, or
  - b. Request Equipment Watch to furnish a written response for a rate on the equipment, which shall be presented to Engineer for approval; or
  - c. Request Engineer to establish a rate.

## 1.06 FIELD ORDER

- A. Engineer will issue Field Orders, with three copies to Contractor.
- B. Effective date of the Field Order shall be the date of signature by Engineer, unless otherwise indicated thereon.
- C. Contractor shall acknowledge receipt by signing and returning one copy to Engineer.
- D. Field Orders will be incorporated into subsequent Change Orders, as a no-cost change to the Contract.

# PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## **END OF SECTION**

CONTRACT MODIFICATION PROCEDURES 01 26 00 - 6

## SECTION 01 29 00 PAYMENT PROCEDURES

# PART 1 GENERAL

#### 1.01 SUBMITTALS

- A. Informational Submittals: Final Application for Payment.
- B. Action Submittals:
  - 1. Schedule of Values: Submit on Contractor's standard form.
  - 2. Application for Payment form.
  - 3. Stored Materials form.

#### 1.02 CASH ALLOWANCES

- A. Cash allowances will be administered in accordance with Paragraph 13.02 of General Conditions.
- B. Submit, with application for payment, invoice showing date of purchase, from whom the purchase was made, the date of delivery of the product or service, and the price, including delivery to the Site and applicable taxes.

## 1.03 SCHEDULE OF VALUES

- A. Upon request of Engineer, provide documentation to support the accuracy of the Schedule of Values.
- B. Lump Sum Work:
  - 1. Reflect specified cash allowances, as applicable.
  - 2. List bonds and insurance premiums, mobilization, demobilization, preliminary and detailed progress schedule preparation, equipment testing, facility startup, and contract closeout separately.
  - 3. Break down by Division 02 through 49.
- C. An unbalanced or front-end loaded schedule will not be acceptable.
- D. Summation of the complete Schedule of Values representing all the Work shall equal the Contract Price.

## 1.04 APPLICATION FOR PAYMENT

- A. Transmittal Summary Form: Attach one Summary Form with each detailed Application for Payment for each schedule and include Request for Payment of Materials and Equipment on Hand as applicable. Execute certification by authorized officer of Contractor.
- B. Use detailed Application for Payment Form provided by Engineer.
- C. Include accepted Schedule of Values.
- D. Include separate line item for each Change Order and Work Change Directive executed prior to date of submission. Provide further breakdown of such as requested by Engineer.
- E. Preparation:
  - 1. Round values to nearest dollar.
  - 2. Submit Application for Payment, including a Transmittal Summary Form, a listing of materials on hand, and such supporting data as may be requested by Engineer.

## 1.05 PAYMENT

A. Payment for all Lump Sum Work shown or specified in Contract Documents is included in the Contract Price. Payment will be based on a percentage complete basis for each line item of the accepted Schedule of Values.

## 1.06 NONPAYMENT FOR REJECTED OR UNUSED PRODUCTS

- A. Payment will not be made for following:
  - 1. Loading, hauling, and disposing of rejected material.
  - 2. Quantities of material wasted or disposed of in manner not called for under Contract Documents.
  - 3. Rejected loads of material, including material rejected after it has been placed by reason of failure of Contractor to conform to provisions of Contract Documents.
  - 4. Material not unloaded from transporting vehicle.
  - 5. Defective Work not accepted by Owner.
  - 6. Material remaining on hand after completion of Work.

## 1.07 PARTIAL PAYMENT FOR STORED MATERIALS AND EQUIPMENT

- A. Partial Payment: No partial payments will be made for materials and equipment delivered or stored unless Shop Drawings and preliminary operation and maintenance data is acceptable to Engineer.
- B. Final Payment: Will be made only for products incorporated in Work; remaining products, for which partial payments have been made, shall revert to Contractor unless otherwise agreed, and partial payments made for those items will be deducted from final payment.

#### PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## **END OF SECTION**

### SECTION 01 31 13 PROJECT COORDINATION

## PART 1 GENERAL

#### 1.01 SUBMITTALS

- A. Informational:
  - 1. Photographs: In accordance with Article Construction Photographs.

#### 1.02 UTILITY NOTIFICATION AND COORDINATION

- A. Coordinate the Work with various utilities within Project limits. Notify applicable utilities prior to commencing Work, if damage occurs, or if conflicts or emergencies arise during the Work.
  - 1. Water Department: Owner.

#### 1.03 WORK SEQUENCING/CONSTRAINTS

- A. Include the following work sequences in the Progress Schedule:
  - 1. Work is to be first performed at the South Fayette WTP. Work at the Crosstown WTP shall not commence prior to completion of the work at the South Fayette WTP.
  - 2. Work will be limited to two sedimentation basins at a time. Work must be completed in a given pair of sedimentation basins prior to beginning work in the next pair of basins.
  - 3. The existing air compressor system and all controls required for operating the existing solids collection systems for each WTP must remain in service until the final pair of sedimentation basins are taken off-line for replacement of the respective solids collection system.

#### 1.04 FACILITY OPERATIONS

- A. Continuous operation of Owner's facilities is of critical importance. Schedule and conduct activities to enable existing facilities to operate continuously, unless otherwise specified.
- B. Perform Work continuously during critical connections and changeovers, and as required to prevent interruption of Owner's operations.
- C. When necessary, plan, design, and provide various temporary services, utilities, connections, temporary piping and heating, access, and similar items to maintain continuous operations of Owner's facility.

- D. Do not close lines, open or close valves, or take other action which would affect the operation of existing systems, except as specifically required by the Contract Documents and after authorization by Owner and Engineer. Such authorization will be considered within 48 hours after receipt of Contractor's written request.
- E. Process or Facility Shutdown: Power outages will be considered upon 48 hours written request to Owner and Engineer. Describe the reason, anticipated length of time, and areas affected by the outage. Provide temporary provisions for continuous power supply to critical facility components.
- F. Do not proceed with Work affecting a facility's operation without obtaining Owner's and Engineer's advance approval of the need for and duration of such Work.
- G. Relocation of Existing Facilities:
  - 1. During construction, it is expected that minor relocations of Work will be necessary.
  - 2. Provide complete relocation of existing structures and Underground Facilities, including piping, utilities, equipment, structures, electrical conduit wiring, electrical duct bank, and other necessary items.
  - 3. Use only new materials for relocated facility. Match materials of existing facility, unless otherwise shown or specified.
  - 4. Perform relocations to minimize downtime of existing facilities.
  - 5. Install new portions of existing facilities in their relocated position prior to removal of existing facilities, unless otherwise accepted by Engineer.

## 1.05 ADJACENT FACILITIES AND PROPERTIES

- A. Examination:
  - 1. After Effective Date of the Agreement and before Work at Site is started, Contractor, Engineer, and Owner shall make a thorough examination of pre-existing conditions including existing buildings, structures, and other improvements in vicinity of Work, as applicable, which could be damaged by construction operations.
  - 2. Periodic reexamination shall be jointly performed to include, but not limited to, cracks in structures, settlement, leakage, and similar conditions.
- B. Documentation: Record and submit documentation of observations made on examination inspections in accordance with Article Construction Photographs.

## 1.06 CONSTRUCTION PHOTOGRAPHS

- A. General:
  - 1. Photographically document all phases of the Project including preconstruction, construction progress, and post-construction.
  - 2. Engineer shall have right to select subject matter and vantage point from which photographs are to be taken.
  - 3. Digital Images: No post-session electronic editing of images is allowed. Stored image shall be actual image as captured without cropping or other edits.
- B. Preconstruction and Post-Construction:
  - 1. After Effective Date of the Agreement and before Work at each Site is started, and again upon issuance of Substantial Completion at each Site, take a minimum of 12 photographs of Site.
  - 2. Format: Digital, minimum resolution of 1680 by 2240 pixels and 24-bit, millions of color.
- C. Construction Progress Photos:
  - 1. Photographically demonstrate progress of construction, showing every aspect of Site and adjacent properties as well as interior and exterior of new or impacted structures.
  - 2. Weekly: Take ten photographs using digital, minimum resolution of 1680 by 2240 pixels and 24-bit, millions of color. Submit with Payment Application.

## 1.07 REFERENCE POINTS AND SURVEYS

- A. Contractor's Responsibilities:
  - 1. Provide layout required to layout the Work.
  - 2. Check and establish exact location of existing facilities prior to construction of new facilities and any connections thereto.
  - 3. In event of discrepancy in data or staking provided by Owner, request clarification before proceeding with Work.
  - 4. On request of Engineer, submit documentation.
  - 5. Provide competent employee(s), tools, stakes, and other equipment and materials as Engineer may require to:
    - a. Establish control points and lines.
    - b. Check layout.

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# PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

#### 3.01 CUTTING, FITTING, AND PATCHING

- A. Cut, fit, adjust, or patch Work and work of others, including excavation and backfill as required, to make Work complete.
- B. Obtain prior written authorization of Engineer before commencing Work to cut or otherwise alter:
  - 1. Structural or reinforcing steel, structural column or beam, elevated slab, trusses, or other structural member.
  - 2. Weather-resistant or moisture-resistant elements.
  - 3. Efficiency, maintenance, or safety of element.
  - 4. Work of others.
- C. Refinish surfaces to provide an even finish.
  - 1. Refinish continuous surfaces to nearest intersection.
  - 2. Refinish entire assemblies.
  - 3. Finish restored surfaces to such planes, shapes, and textures that no transition between existing work and the Work is evident in finished surfaces.
- D. Restore existing work, Underground Facilities, and surfaces that are to remain in completed Work including concrete-embedded piping, conduit, and other utilities as specified and as shown on Drawings.
- E. Make restorations with new materials and appropriate methods as specified for new Work of similar nature; if not specified, use recommended practice of manufacturer or appropriate trade association.
- F. Fit Work airtight to pipes, sleeves, ducts, conduit, and other penetrations through surfaces and fill voids.
- G. Remove specimens of installed Work for testing when requested by Engineer.

# **END OF SECTION**

## SECTION 01 31 19 PROJECT MEETINGS

# PART 1 GENERAL

#### 1.01 GENERAL

A. Engineer will schedule physical arrangements for meetings throughout progress of the Work, prepare meeting agenda with regular participant input and distribute with written notice of each meeting, preside at meetings, record minutes to include significant proceedings and decisions, and reproduce and distribute copies of minutes within 5 days after each meeting to participants and parties affected by meeting decisions.

#### 1.02 PRECONSTRUCTION CONFERENCE

- A. Contractor shall be prepared to discuss the following subjects, as a minimum:
  - 1. Required schedules.
  - 2. Status of Bonds and insurance.
  - 3. Sequencing of critical path work items.
  - 4. Progress payment procedures.
  - 5. Project changes and clarification procedures.
  - 6. Use of Site, access, office and storage areas, security and temporary facilities.
  - 7. Major product delivery and priorities.
  - 8. Contractor's safety plan and representative.
- B. Attendees will include:
  - 1. Owner's representatives.
  - 2. Contractor's office representative.
  - 3. Contractor's resident superintendent.
  - 4. Contractor's quality control representative.
  - 5. Subcontractors' representatives whom Contractor may desire or Engineer may request to attend.
  - 6. Engineer's representatives.
  - 7. Others as appropriate.

#### 1.03 PRELIMINARY SCHEDULES REVIEW MEETING

A. As set forth in General Conditions and Section 01 32 00, Construction Progress Documentation.

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#### 1.04 PROGRESS MEETINGS

- A. Engineer will schedule regular progress meetings at Site, conducted monthly or as necessary to review the Work progress, Progress Schedule, Schedule of Submittals, Application for Payment, contract modifications, and other matters needing discussion and resolution.
- B. Attendees will include:
  - 1. Owner's representative(s), as appropriate.
  - 2. Contractor, Subcontractors, and Suppliers, as appropriate.
  - 3. Engineer's representative(s).
  - 4. Others as appropriate.

#### 1.05 PREINSTALLATION MEETINGS

- A. When required in individual Specification sections, convene at Site prior to commencing the Work of that section.
- B. Require attendance of entities directly affecting, or affected by, the Work of that section.
- C. Notify Engineer 4 days in advance of meeting date.
- D. Provide suggested agenda to Engineer to include reviewing conditions of installation, preparation and installation or application procedures, and coordination with related Work and work of others.

#### 1.06 FACILITY STARTUP MEETINGS

- A. Schedule and attend a minimum of one facility startup meeting at each WTP prior to the startup of the solids collection system installed in the first two sedimentation basins. The meetings shall be held prior to submitting Facility Startup Plan, as specified in Section 01 91 14, Equipment Testing and Facility Startup, and shall include preliminary discussions regarding such plan.
- B. Agenda items shall include, but not be limited to, content of Facility Startup Plan, coordination needed between various parties in attendance, and potential problems associated with startup.
- C. Attendees will include:
  - 1. Contractor.
  - 2. Contractor's designated quality control representative.

- 3. Subcontractors and equipment manufacturer's representatives whom Contractor deems to be directly involved in facility startup.
- 4. Engineer's representatives.
- 5. Owner's operations personnel.
- 6. Others as required by Contract Documents or as deemed necessary by Contractor.

#### 1.07 OTHER MEETINGS

- A. In accordance with Contract Documents and as may be required by Owner and Engineer.
- PART 2 PRODUCTS (NOT USED)
- PART 3 EXECUTION (NOT USED)

# **END OF SECTION**

#### SECTION 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION

# PART 1 GENERAL

## 1.01 SUBMITTALS

- A. Informational Submittals:
  - 1. Preliminary Progress Schedule: Submit within time specified in paragraph 2.03 of the General Conditions.
  - 2. Detailed Progress Schedule:
    - a. Submit initial Detailed Progress Schedule within 60 days after Effective Date of the Agreement.
    - b. Submit an Updated Progress Schedule at each update, in accordance with Article Detailed Progress Schedule.
  - 3. Submit with Each Progress Schedule Submission:
    - a. Contractor's certification that Progress Schedule submission is actual schedule being used for execution of the Work.
    - b. Progress Schedule: One legible copy.
    - c. Narrative Progress Report: Same number of copies as specified for Progress Schedule.
  - 4. Prior to final payment, submit a final Updated Progress Schedule.

## 1.02 PRELIMINARY PROGRESS SCHEDULE

- A. In addition to basic requirements outlined in General Conditions, show a detailed schedule, beginning with Notice to Proceed, for minimum duration of 90 days, and a summary of balance of Project through Final Completion.
- B. Show activities including, but not limited to the following:
  - 1. Notice to Proceed.
  - 2. Permits.
  - 3. Submittals, with review time.
  - 4. Early procurement activities for long lead equipment and materials.
  - 5. Initial Site work.
  - 6. Earthwork.
  - 7. Specified Work sequences and construction constraints.
  - 8. Contract Completion Date.
  - 9. Major structural, mechanical, equipment, electrical, architectural, and instrumentation and control Work.
  - 10. System startup summary.

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- 11. Project close-out summary.
- 12. Demobilization summary.
- C. Update Preliminary Progress Schedule monthly as part of progress payment process. Failure to do so may result in the Owner withholding all or part of the monthly progress payment until the Preliminary Progress Schedule is updated in a manner acceptable to Engineer.
- D. Format: In accordance with Article Progress Schedule—Bar Chart.

#### 1.03 DETAILED PROGRESS SCHEDULE

- A. In addition to requirements of General Conditions, submit Detailed Progress Schedule beginning with Notice to Proceed and continuing through Final Completion.
- B. Show the duration and sequences of activities required for complete performance of the Work reflecting means and methods chosen by Contractor.
- C. When accepted by Engineer, Detailed Progress Schedule will replace Preliminary Progress Schedule and become Baseline Schedule. Subsequent revisions will be considered as Updated Progress Schedules.
- D. Format: In accordance with Article Progress Schedule—Bar Chart.
- E. Update as necessary to reflect actual progress and occurrences to date, including weather delays.

#### 1.04 PROGRESS SCHEDULE—BAR CHART

- A. General: Comprehensive bar chart schedule, generally as outlined in Associated General Contractors of America (AGC) 580, "Construction Project Planning and Scheduling Guidelines." If a conflict occurs between the AGC publication and this Specification, this Specification shall govern.
- B. Format:
  - 1. Unless otherwise approved, white paper, 11-inch by 17-inch sheet size.
  - 2. Title Block: Show name of Project and Owner, date submitted, revision or update number, and name of scheduler.
  - 3. Identify horizontally, across the top of the schedule, the time frame by year, month, and day.
  - 4. Identify each activity with a unique number and a brief description of the Work associated with that activity.
  - 5. Legend: Describe standard and special symbols used.

- C. Contents: Identify, in chronological order, those activities reasonably required to complete the Work, including as applicable, but not limited to:
  - 1. Obtaining permits, submittals for early product procurement, and long lead time items.
  - 2. Mobilization and other preliminary activities.
  - 3. Initial Site work.
  - 4. Specified Work sequences and constraints, including Substantial Completion date(s).
  - 5. Subcontract Work.
  - 6. Major equipment design, fabrication, factory testing, and delivery dates.
  - 7. Sitework.
  - 8. Concrete Work.
  - 9. Structural steel Work.
  - 10. Equipment Work.
  - 11. Electrical Work.
  - 12. Instrumentation and control Work.
  - 13. Equipment and system startup and test activities.
  - 14. Project closeout and cleanup.
  - 15. Demobilization.

# 1.05 PROGRESS OF THE WORK

- A. Updated Progress Schedule shall reflect:
  - 1. Progress of Work to within 5 working days prior to submission.
  - 2. Approved changes in Work scope and activities modified since submission.
  - 3. Delays in Submittals or resubmittals, deliveries, or Work.
  - 4. Adjusted or modified sequences of Work.
  - 5. Other identifiable changes.
  - 6. Revised projections of progress and completion.
  - 7. Report of changed logic.
- B. Produce detailed subschedules during Project, upon request of Owner or Engineer, to further define critical portions of the Work such as facility shutdowns.
- C. If an activity is not completed by its latest scheduled completion date and this failure is anticipated to extend Contract Times, submit, within 7 days of such failure, a written statement as to how nonperformance will be corrected to return Project to acceptable current Progress Schedule. Actions by Contractor to complete the Work within Contract Times will not be justification for adjustment to Contract Price or Contract Times.

D. Owner may order Contractor to increase plant, equipment, labor force, or working hours if Contractor fails to: Satisfactorily execute Work as necessary to prevent delay to overall completion of Project, at no additional cost to Owner.

## 1.06 NARRATIVE PROGRESS REPORT

- A. Format:
  - 1. Organize same as Progress Schedule.
  - 2. Identify, on a cover letter, reporting period, date submitted, and name of author of report.
- B. Contents:
  - 1. Number of days worked over the period, work force on hand, construction equipment on hand (including utility vehicles such as pickup trucks, maintenance vehicles, stake trucks).
  - 2. General progress of Work, including a listing of activities started and completed over the reporting period, mobilization/demobilization of subcontractors, and major milestones achieved.
  - 3. Contractor's plan for management of Site (for example, lay down and staging areas, construction traffic), use of construction equipment, buildup of trade labor, and identification of potential Contract changes.
  - 4. Identification of new activities and sequences as a result of executed Contract changes.
  - 5. Documentation of weather conditions over the reporting period, and any resulting impacts to the work.
  - 6. Description of actual or potential delays, including related causes, and the steps taken or anticipated to mitigate their impact.
  - 7. Changes to activity logic.
  - 8. Changes to the critical path.
  - 9. Identification of, and accompanying reason for, any activities added or deleted since the last report.
  - 10. Steps taken to recover the schedule from Contractor-caused delays.

## 1.07 SCHEDULE ACCEPTANCE

- A. Engineer's acceptance will demonstrate agreement that:
  - 1. Proposed schedule is accepted with respect to:
    - a. Contract Times, including Final Completion, are within the specified times.
    - b. Specified Work sequences and constraints are shown as specified.

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- c. Access restrictions are accurately reflected.
- d. Startup and testing times are as specified.
- e. Submittal review times are as specified.
- f. Startup testing duration is as specified and timing is acceptable.
- 2. In all other respects, Engineer's acceptance of Contractor's schedule indicates that, in Engineer's judgment, schedule represents reasonable plan for constructing Project in accordance with the Contract Documents. Engineer's review will not make any change in Contract requirements. Lack of comment on any aspect of schedule that is not in accordance with the Contract Documents will not thereby indicate acceptance of that change, unless Contractor has explicitly called the nonconformance to Engineer's attention in submittal. Schedule remains Contractor's responsibility and Contractor retains responsibility for performing all activities, for activity durations, and for activity sequences required to construct Project in accordance with the Contract Documents.
- B. Unacceptable Preliminary Progress Schedule:
  - 1. Make requested corrections; resubmit within 10 days.
  - 2. Until acceptable to Engineer as Baseline Progress Schedule, continue review and revision process, including updating schedule on a monthly basis to reflect actual progress and occurrences to date.
- C. Unacceptable Detailed Progress Schedule:
  - 1. Make requested corrections; resubmit within 10 days.
  - 2. Until acceptable to Engineer as Baseline Progress Schedule, continue review and revision process.
- D. Narrative Report: All changes to activity duration and sequences, including addition or deletion of activities subsequent to Engineer's acceptance of Baseline Progress Schedule, shall be delineated in Narrative Report current with proposed Updated Progress Schedule.

#### 1.08 ADJUSTMENT OF CONTRACT TIMES

- A. Reference General Conditions and Section 01 26 00, Contract Modification Procedures.
- B. Evaluation and reconciliation of Adjustments of Contract Times shall be based on the Updated Progress Schedule at the time of proposed adjustment or claimed delay.

- C. Schedule Contingency:
  - 1. Contingency, when used in the context of the Progress Schedule, is time between Contractor's proposed Completion Time and Contract Completion Time.
  - 2. Contingency included in Progress Schedule is a Project resource available to both Contractor and Owner to meet Contract Milestones and Contract Times. Use of Schedule contingency shall be shared to the proportionate benefit of both parties.
  - 3. Use of schedule contingency suppression techniques such as preferential sequencing and extended activity times is prohibited.
  - 4. Pursuant to Contingency sharing provisions of this specification, no time extensions will be granted, nor will delay damages be paid until a delay occurs which (i) consumes all available contingency time, and (ii) extends Work beyond the Contract Completion date.
- D. Claims Based on Contract Times:
  - 1. Where Engineer has not yet rendered formal decision on Contractor's Claim for adjustment of Contract Times, and parties are unable to agree as to amount of adjustment to be reflected in Progress Schedule, reflect an interim adjustment in the Progress Schedule as acceptable to Engineer.
  - 2. It is understood and agreed that such interim acceptance will not be binding on either Contractor or Owner, and will be made only for the purpose of continuing to schedule Work until such time as formal decision has been rendered as to an adjustment, if any, of the Contract Times.
  - 3. Revise Progress Schedule prepared thereafter in accordance with Engineer's formal decision.

# PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

## END OF SECTION

## SECTION 01 33 00 SUBMITTAL PROCEDURES

# PART 1 GENERAL

#### 1.01 DEFINITIONS

- A. Action Submittal: Written and graphic information submitted by Contractor that requires Engineer's approval.
- B. Informational Submittal: Information submitted by Contractor that requires Engineer's review and determination that submitted information is in accordance with the Conditions of the Contract.

#### 1.02 PROCEDURES

- A. Direct submittals to Engineer at the following, unless specified otherwise.
  - 1. Available at preconstruction conference.
- B. Electronic Submittals: Submittals shall be made in electronic format.
  - 1. Each submittal shall be an electronic file in Adobe Acrobat Portable Document Format (PDF). Use the latest version available at time of execution of the Agreement.
  - 2. Electronic files that contain more than 10 pages in PDF format shall contain internal bookmarking from an index page to major sections of the document.
  - 3. PDF files shall be set to open "Bookmarks and Page" view.
  - 4. Add general information to each PDF file, including title, subject, author, and keywords.
  - 5. PDF files shall be set up to print legibly at 8.5-inch by 11-inch, 11-inch by 17-inch, or 22-inch by 34-inch. No other paper sizes will be accepted.
  - 6. Submit new electronic files for each resubmittal.
  - 7. Include a copy of the Transmittal of Contractor's Submittal form, located at end of section, with each electronic file.
  - 8. Engineer will reject submittal that is not electronically submitted, unless specifically accepted.
  - 9. Provide Engineer with authorization to reproduce and distribute each file as many times as necessary for Project documentation.
  - 10. Detailed procedures for handling electronic submittals will be discussed at the preconstruction conference.

- C. Transmittal of Submittal:
  - 1. Contractor shall:
    - a. Review each submittal and check for compliance with Contract Documents.
    - b. Stamp each submittal with uniform approval stamp before submitting to Engineer.
      - Stamp to include Project name, submittal number, Specification number, Contractor's reviewer name, date of Contractor's approval, and statement certifying submittal has been reviewed, checked, and approved for compliance with Contract Documents.
      - 2) Engineer will not review submittals that do not bear Contractor's approval stamp and will return them without action.
  - 2. Complete, sign, and transmit with each submittal package, one Transmittal of Contractor's Submittal form attached at end of this section.
  - 3. Identify each submittal with the following:
    - a. Numbering and Tracking System:
      - 1) Sequentially number each submittal.
      - 2) Resubmission of submittal shall have original number with sequential alphabetic suffix.
    - b. Specification section and paragraph to which submittal applies.
    - c. Project title and Engineer's project number.
    - d. Date of transmittal.
    - e. Names of Contractor, Subcontractor or Supplier, and manufacturer as appropriate.
  - 4. Identify and describe each deviation or variation from Contract Documents.
- D. Format:
  - 1. Do not base Shop Drawings on reproductions of Contract Documents.
  - 2. Package submittal information by individual Specification section. Do not combine different Specification sections together in submittal package, unless otherwise directed in Specification.
  - 3. Present in a clear and thorough manner and in sufficient detail to show kind, size, arrangement, and function of components, materials, and devices, and compliance with Contract Documents.
  - 4. Index with labeled tab dividers in orderly manner.
- E. Timeliness: Schedule and submit in accordance with requirements of individual Specification sections.

SUBMITTAL PROCEDURES 01 33 00 - 2

- F. Processing Time:
  - 1. Time for review shall commence on Engineer's receipt of submittal.
  - 2. Engineer will act upon Contractor's submittal and transmit response to Contractor not later than 21 calendar days after receipt, unless otherwise specified.
  - 3. Resubmittals will be subject to same review time.
  - 4. No adjustment of Contract Times or Price will be allowed as a result of delays in progress of Work caused by rejection and subsequent resubmittals.
- G. Resubmittals: Each resubmittal shall include a listing of review comments made on the previous submittal with a response to each comment, Clearly identify each correction or change made.
- H. Incomplete Submittals:
  - 1. Engineer will return entire submittal for Contractor's revision if preliminary review deems it incomplete.
  - 2. When any of the following are missing, submittal will be deemed incomplete:
    - a. Contractor's review stamp; completed and signed.
    - b. Transmittal of Contractor's Submittal; completed and signed.
- I. Submittals not required by Contract Documents:
  - 1. Will not be reviewed and will be returned stamped "Not Subject to Review."
  - 2. Engineer will keep one copy and return submittal to Contractor.

#### 1.03 ACTION SUBMITTALS

- A. Prepare and submit Action Submittals required by individual Specification sections.
- B. Shop Drawings:
  - 1. Identify and Indicate:
    - a. Applicable Contract Drawing and Detail number, products, units and assemblies, and system or equipment identification or tag numbers.
    - b. Equipment and Component Title: Identical to title shown on Drawings.

- c. Critical field dimensions and relationships to other critical features of Work. Note dimensions established by field measurement.
- d. Project-specific information drawn accurately to scale.
- 2. Manufacturer's standard schematic drawings and diagrams as follows:
  - a. Modify to delete information that is not applicable to the Work.
  - b. Supplement standard information to provide information specifically applicable to the Work.
- 3. Product Data: Provide as specified in individual specifications.
- C. Action Submittal Dispositions: Engineer will review, comment, stamp, and distribute as noted:
  - 1. Approved:
    - a. Contractor may incorporate product(s) or implement Work covered by submittal.
    - b. Distribution: Electronic.
  - 2. Approved as Noted:
    - a. Contractor may incorporate product(s) or implement Work covered by submittal, in accordance with Engineer's notations.
    - b. Distribution: Electronic.
  - 3. Partial Approval, Resubmit as Noted:
    - a. Make corrections or obtain missing portions, and resubmit.
    - b. Except for portions indicated, Contractor may begin to incorporate product(s) or implement Work covered by submittal, in accordance with Engineer's notations.
    - c. Distribution: Electronic.
  - 4. Revise and Resubmit:
    - a. Contractor may not incorporate product(s) or implement Work covered by submittal.
    - b. Distribution: Electronic.

## 1.04 INFORMATIONAL SUBMITTALS

- A. General:
  - 1. Refer to individual Specification sections for specific submittal requirements.
  - 2. Engineer will review each submittal. If submittal meets conditions of the Contract, Engineer will forward copy to appropriate parties. If Engineer determines submittal does not meet conditions of the Contract and is therefore considered unacceptable, Engineer will retain one copy and return remaining copy with review comments to Contractor, and require that submittal be corrected and resubmitted.

- B. Certificates:
  - 1. General:
    - a. Provide notarized statement that includes signature of entity responsible for preparing certification.
    - b. Signed by officer or other individual authorized to sign documents on behalf of that entity.
  - 2. Welding: In accordance with individual Specification sections.
  - 3. Installer: Prepare written statements on manufacturer's letterhead certifying installer complies with requirements as specified in individual Specification section.
  - 4. Material Test: Prepared by qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements.
  - 5. Certificates of Successful Testing or Inspection: Submit when testing or inspection is required by Laws and Regulations or governing agency or specified in individual Specification sections.
  - 6. Manufacturer's Certificate of Compliance: In accordance with Section 01 61 00, Common Product Requirements.
  - 7. Manufacturer's Certificate of Proper Installation: In accordance with Section 01 43 33, Manufacturers' Field Services.
- C. Construction Photographs: In accordance with Section 01 31 13, Project Coordination, and as may otherwise be required in Contract Documents.
- D. Closeout Submittals: In accordance with Section 01 77 00, Closeout Procedures.
- E. Manufacturer's Instructions: Written or published information that documents manufacturer's recommendations, guidelines, and procedures in accordance with individual Specification section.
- F. Operation and Maintenance Data: As required in Section 01 78 23, Operation and Maintenance Data.
- G. Payment:
  - 1. Application for Payment: In accordance with Section 01 29 00, Payment Procedures.
  - 2. Schedule of Values: In accordance with Section 01 29 00, Payment Procedures.
- H. Quality Control Documentation: As required in Section 01 45 16.13, Contractor Quality Control.

- I. Schedules:
  - 1. Progress Schedules: In accordance with Section 01 32 00, Construction Progress Documentation.
- J. Special Guarantee: Supplier's written guarantee as required in individual Specification sections.
- K. Statement of Qualification: Evidence of qualification, certification, or registration as required in Contract Documents to verify qualifications of professional land surveyor, engineer, materials testing laboratory, specialty Subcontractor, trade, Specialist, consultant, installer, and other professionals. Reference paragraph 1.01.A.38.b of Supplementary Conditions for definition of Specialist.
- L. Submittals Required by Laws, Regulations, and Governing Agencies:
  - 1. Promptly submit promptly notifications, reports, certifications, payrolls, and otherwise as may be required, directly to the applicable federal, state, or local governing agency or their representative.
  - 2. Transmit to Engineer for Owner's records one copy of correspondence and transmittals (to include enclosures and attachments) between Contractor and governing agency.
- M. Test, Evaluation, and Inspection Reports:
  - 1. General: Shall contain signature of person responsible for test or report.
  - 2. Factory:
    - a. Identification of product and Specification section, type of inspection or test with referenced standard or code.
    - b. Date of test, Project title and number, and name and signature of authorized person.
    - c. Test results.
    - d. If test or inspection deems material or equipment not in compliance with Contract Documents, identify corrective action necessary to bring into compliance.
    - e. Provide interpretation of test results, when requested by Engineer.
    - f. Other items as identified in individual Specification sections.
  - 3. Field:
    - a. As a minimum, include the following:
      - 1) Project title and number.
      - 2) Date and time.
      - 3) Record of temperature and weather conditions.
      - 4) Identification of product and Specification section.

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- 5) Type and location of test, Sample, or inspection, including referenced standard or code.
- 6) Date issued, testing laboratory name, address, and telephone number, and name and signature of laboratory inspector.
- 7) If test or inspection deems material or equipment not in compliance with Contract Documents, identify corrective action necessary to bring into compliance.
- 8) Provide interpretation of test results, when requested by Engineer.
- 9) Other items as identified in individual Specification sections.
- N. Testing and Startup Data: In accordance with Section 01 91 14, Equipment Testing and Facility Startup.
- O. Training Data: In accordance with Section 01 43 33, Manufacturers' Field Services.

# 1.05 SUPPLEMENTS

- A. The supplement listed below, following "End of Section", is part of this Specification.
  - 1. Form: Transmittal of Contractor's Submittal.
- PART 2 PRODUCTS (NOT USED)
- PART 3 EXECUTION (NOT USED)

# **END OF SECTION**

TRANSMITTAL OF CONTRACTOR'S SUBMITTAL (ATTACH TO EACH SUBMITTAL)			
	DATE:		
TO:	Submittal No.: New Submittal Resubmittal Project: Project No.: Specification Section No.: (Cover only one section with each transmittal) Schedule Date of Submittal:		
SUBMITTAL TYPE: Shop Drawing	Sample Informational		

#### The following items are hereby submitted:

Number of Copies	Description of Item Submitted (Type, Size, Model Number, Etc.)	Spec. and Para. No.	Drawing or Brochure Number	Contains Variation to Contract	
				No	Yes

Contractor hereby certifies that (i) Contractor has complied with the requirements of Contract Documents in preparation, review, and submission of designated Submittal and (ii) the Submittal is complete and in accordance with the Contract Documents and requirements of laws and regulations and governing agencies.

By:

Contractor (Authorized Signature)

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL SUBMITTAL PROCEDURES 01 33 00 SUPPLEMENT 1 - 1

### SECTION 01 42 13 ABBREVIATIONS AND ACRONYMS

# PART 1 GENERAL

# 1.01 REFERENCE TO STANDARDS AND SPECIFICATIONS OF TECHNICAL SOCIETIES

- A. Reference to standards and specifications of technical societies and reporting and resolving discrepancies associated therewith shall be as provided in Article 3 of the General Conditions, and as may otherwise be required herein and in the individual specification sections.
- B. Work specified by reference to published standard or specification of government agency, technical association, trade association, professional society or institute, testing agency, or other organization shall meet requirements or surpass minimum standards of quality for materials and workmanship established by designated standard or specification.
- C. Where so specified, products or workmanship shall also meet or exceed additional prescriptive or performance requirements included within Contract Documents to establish a higher or more stringent standard of quality than required by referenced standard.
- D. Where two or more standards are specified to establish quality, product and workmanship shall meet or exceed requirements of most stringent.
- E. Where both a standard and a brand name are specified for a product in Contract Documents, proprietary product named shall meet or exceed requirements of specified reference standard.
- F. Copies of standards and specifications of technical societies:
  - 1. Copies of applicable referenced standards have not been bound in these Contract Documents.
  - 2. Where copies of standards are needed by Contractor, obtain a copy or copies directly from publication source and maintain in an orderly manner at the Site as Work Site records, available to Contractor's personnel, Subcontractors, Owner, and Engineer.

#### 1.02 ABBREVIATIONS

A. Abbreviations for trade organizations and government agencies: Following is a list of construction industry organizations and government agencies to which references may be made in the Contract Documents, with abbreviations used.

1.	AA	Aluminum Association
2.	AABC	Associated Air Balance Council
3.	AAMA	American Architectural Manufacturers
		Association
4.	AASHTO	American Association of State Highway and
		Transportation Officials
5.	ABMA	American Bearing Manufacturers' Association
6.	ACI	American Concrete Institute
7.	AEIC	Association of Edison Illuminating Companies
8.	AGA	American Gas Association
9.	AGMA	American Gear Manufacturers' Association
10.	AI	Asphalt Institute
11.	AISC	American Institute of Steel Construction
12.	AISI	American Iron and Steel Institute
13.	AITC	American Institute of Timber Construction
14.	ALS	American Lumber Standards
15.	AMCA	Air Movement and Control Association
16.	ANSI	American National Standards Institute
17.	APA	APA – The Engineered Wood Association
18.	API	American Petroleum Institute
19.	APWA	American Public Works Association
20.	AHRI	Air-Conditioning, Heating, and Refrigeration
		Institute
21.	ASA	Acoustical Society of America
22.	ASABE	American Society of Agricultural and
		Biological Engineers
23.	ASCE	American Society of Civil Engineers
24.	ASHRAE	American Society of Heating, Refrigerating and
		Air-Conditioning Engineers, Inc.
25.	ASME	American Society of Mechanical Engineers
26.	ASNT	American Society for Nondestructive Testing
27.	ASSE	American Society of Sanitary Engineering
28.	ASTM	ASTM International
29.	AWI	Architectural Woodwork Institute
30.	AWPA	American Wood Preservers' Association
31.	AWPI	American Wood Preservers' Institute
32.	AWS	American Welding Society
33.	AWWA	American Water Works Association

ABBREVIATIONS AND ACRONYMS 01 42 13 - 2

34.	BHMA	Builders Hardware Manufacturers' Association
35.	CBM	Certified Ballast Manufacturer
36.	CDA	Copper Development Association
	CGA	Compressed Gas Association
38.	CISPI	Cast Iron Soil Pipe Institute
39.	CMAA	Crane Manufacturers' Association of America
40.	CRSI	Concrete Reinforcing Steel Institute
41.	CS	Commercial Standard
42.	CSA	Canadian Standards Association
43.	CSI	Construction Specifications Institute
44.	DIN	Deutsches Institut für Normung e.V.
45.	DIPRA	Ductile Iron Pipe Research Association
46.	EIA	Electronic Industries Alliance
47.	EJCDC	Engineers Joint Contract Documents'
		Committee
48.	ETL	Electrical Test Laboratories
49.	FAA	Federal Aviation Administration
50.	FCC	Federal Communications Commission
51.	FDA	Food and Drug Administration
52.	FEMA	Federal Emergency Management Agency
53.	FIPS	Federal Information Processing Standards
54.	FM	FM Global
55.	Fed. Spec.	Federal Specifications (FAA Specifications)
56.	FS	Federal Specifications and Standards
56.	FS	Federal Specifications and Standards (Technical Specifications)
56. 57.	FS GA	-
		(Technical Specifications)
57.	GA	(Technical Specifications) Gypsum Association
57. 58.	GA GANA	(Technical Specifications) Gypsum Association Glass Association of North America
57. 58. 59.	GA GANA HI	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute
57. 58. 59. 60.	GA GANA HI HMI	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute
57. 58. 59. 60. 61.	GA GANA HI HMI IBC	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute International Building Code
<ol> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> </ol>	GA GANA HI HMI IBC ICBO	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute International Building Code International Conference of Building Officials
<ol> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> <li>63.</li> </ol>	GA GANA HI HMI IBC ICBO ICC	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute International Building Code International Conference of Building Officials International Code Council
<ul> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> <li>63.</li> <li>64.</li> </ul>	GA GANA HI HMI IBC ICBO ICC ICEA	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute International Building Code International Conference of Building Officials International Code Council Insulated Cable Engineers' Association
<ol> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> <li>63.</li> <li>64.</li> <li>65.</li> </ol>	GA GANA HI HMI IBC ICBO ICC ICEA IFC	(Technical Specifications) Gypsum Association Glass Association of North America Hydraulic Institute Hoist Manufacturers' Institute International Building Code International Conference of Building Officials International Code Council Insulated Cable Engineers' Association International Fire Code
<ol> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> <li>63.</li> <li>64.</li> <li>65.</li> </ol>	GA GANA HI HMI IBC ICBO ICC ICEA IFC	<ul> <li>(Technical Specifications)</li> <li>Gypsum Association</li> <li>Glass Association of North America</li> <li>Hydraulic Institute</li> <li>Hoist Manufacturers' Institute</li> <li>International Building Code</li> <li>International Conference of Building Officials</li> <li>International Code Council</li> <li>Insulated Cable Engineers' Association</li> <li>International Fire Code</li> <li>Institute of Electrical and Electronics Engineers,</li> </ul>
<ul> <li>57.</li> <li>58.</li> <li>59.</li> <li>60.</li> <li>61.</li> <li>62.</li> <li>63.</li> <li>64.</li> <li>65.</li> <li>66.</li> </ul>	GA GANA HI HMI IBC ICBO ICC ICEA IFC IEEE	<ul> <li>(Technical Specifications)</li> <li>Gypsum Association</li> <li>Glass Association of North America</li> <li>Hydraulic Institute</li> <li>Hoist Manufacturers' Institute</li> <li>International Building Code</li> <li>International Conference of Building Officials</li> <li>International Code Council</li> <li>Insulated Cable Engineers' Association</li> <li>International Fire Code</li> <li>Institute of Electrical and Electronics Engineers, Inc.</li> </ul>
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74.	ISO	International Organization for Standardization
75.	ITL	Independent Testing Laboratory
76.	JIC	Joint Industry Conferences of Hydraulic Manufacturers
77.	MIA	Marble Institute of America
78.	MIL	Military Specifications
	MMA	Monorail Manufacturers' Association
	MSS	Manufacturer's Standardization Society
81.	NAAMM	National Association of Architectural Metal
01.		Manufacturers
82.	NACE	NACE International
83.	NBGQA	National Building Granite Quarries Association
84.	NEBB	National Environmental Balancing Bureau
85.	NEC	National Electrical Code
86.	NECA	National Electrical Contractor's Association
87.	NEMA	National Electrical Manufacturers' Association
	NESC	National Electrical Safety Code
	NETA	InterNational Electrical Testing Association
	NFPA	National Fire Protection Association
	NHLA	National Hardwood Lumber Association
92.	NICET	National Institute for Certification in
12.	MCLI	Engineering Technologies
93.	NIST	National Institute of Standards and Technology
94.	NRCA	National Roofing Contractors Association
	NRTL	Nationally Recognized Testing Laboratories
	NSF	NSF International
	NSPE	National Society of Professional Engineers
	NTMA	National Terrazzo and Mosaic Association
	NWWDA	National Wood Window and Door Association
	OSHA	Occupational Safety and Health Act (both
100.	OSIIII	Federal and State)
101.	PCI	Precast/Prestressed Concrete Institute
101.		Porcelain Enamel Institute
102.		Plastic Pipe Institute
104.		Product Standards Section-U.S. Department of
1011	15	Commerce
105	RMA	Rubber Manufacturers' Association
	RUS	Rural Utilities Service
	SAE	SAE International
	SDI	Steel Deck Institute
	SDI	Steel Door Institute
110.		Steel Joist Institute
	SMACNA	Sheet Metal and Air Conditioning Contractors
	21,111101111	National Association

ABBREVIATIONS AND ACRONYMS 01 42 13 - 4

112. SPI	Society of the Plastics Industry
113. SSPC	The Society for Protective Coatings
114. STI/SPFA	Steel Tank Institute/Steel Plate Fabricators
	Association
115. SWI	Steel Window Institute
116. TEMA	Tubular Exchanger Manufacturers' Association
117. TCA	Tile Council of North America
118. TIA	Telecommunications Industry Association
119. UBC	Uniform Building Code
120. UFC	Uniform Fire Code
121. UL	formerly Underwriters Laboratories Inc.
122. UMC	Uniform Mechanical Code
123. USBR	U.S. Bureau of Reclamation
124. WCLIB	West Coast Lumber Inspection Bureau
125. WI	Wood Institute
126. WWPA	Western Wood Products Association

- PART 2 PRODUCTS (NOT USED)
- PART 3 EXECUTION (NOT USED)

## **END OF SECTION**

#### SECTION 01 43 33 MANUFACTURERS' FIELD SERVICES

## PART 1 GENERAL

#### 1.01 DEFINITIONS

A. Person-Day: One person for 8 hours within regular Contractor working hours.

#### 1.02 SUBMITTALS

- A. Informational Submittals:
  - 1. Training Schedule: Submit, in accordance with requirements of this Specification, not less than 21 days prior to start of equipment installation into the first pair of sedimentation basins and revise as necessary for acceptance. Separate training sessions shall be provided at each of the two water treatment plants.
  - 2. Lesson Plan: Submit, in accordance with requirements of this Specification, proposed lesson plan not less than 21 days prior to scheduled training and revise as necessary for acceptance.
  - 3. Training Session Recordings: Furnish Owner with two complete sets of recordings fully indexed and cataloged with printed label stating session and date recorded.

## 1.03 QUALIFICATION OF MANUFACTURER'S REPRESENTATIVE

- A. Authorized representative of the manufacturer shall be factory trained and experienced in the technical applications, installation, operation, and maintenance of respective equipment, subsystem, or system, with full authority by the equipment manufacturer to issue the certifications required of the manufacturer. Additional qualifications may be specified in the individual Specification section.
- B. Representative subject to acceptance by Owner and Engineer. No substitute representatives will be allowed unless prior written approval by such has been given.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

#### 3.01 FULFILLMENT OF SPECIFIED MINIMUM SERVICES

A. Furnish manufacturers' services, when required by an individual Specification section, to meet the requirements of this section.

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- B. Where time is necessary in excess of that stated in the Specifications for manufacturers' services, or when a minimum time is not specified, time required to perform specified services shall be considered incidental.
- C. Schedule manufacturer's services to avoid conflict with other onsite testing or other manufacturers' onsite services.
- D. Determine, before scheduling services, that conditions necessary to allow successful testing have been met.
- E. Only those days of service approved by Engineer will be credited to fulfill specified minimum services.
- F. When specified in individual Specification sections, manufacturer's onsite services shall include:
  - 1. Assistance during product (system, subsystem, or component) installation to include observation, guidance, instruction of Contractor's assembly, erection, installation or application procedures.
  - 2. Inspection, checking, and adjustment as required for product (system, subsystem, or component) to function as warranted by manufacturer and necessary to furnish Manufacturer's Certificate of Proper Installation.
  - 3. Providing, on a daily basis, copies of manufacturers' representatives field notes and data to Engineer.
  - 4. Revisiting the Site as required to correct problems and until installation and operation are acceptable to Engineer.
  - 5. Resolution of assembly or installation problems attributable to or associated with respective manufacturer's products and systems.
  - 6. Assistance during functional and performance testing, and facility startup and evaluation.
  - 7. Training of Owner's personnel in the operation and maintenance of respective product as required.

# 3.02 MANUFACTURER'S CERTIFICATE OF PROPER INSTALLATION

- A. When so specified, a Manufacturer's Certificate of Proper Installation form, a copy of which is attached to this section, shall be completed and signed by equipment manufacturer's representative.
- B. Such form shall certify signing party is a duly authorized representative of manufacturer, is empowered by manufacturer to inspect, approve, and operate their equipment and is authorized to make recommendations required to ensure equipment is complete and operational.

- 3.03 TRAINING
  - A. General:
    - 1. Furnish manufacturers' representatives for detailed classroom and hands-on training to Owner's personnel on operation and maintenance of specified product (system, subsystem, component) and as may be required in applicable Specifications.
    - 2. Furnish trained, articulate personnel to coordinate and expedite training, to be present during training coordination meetings with Owner, and familiar with operation and maintenance manual information specified in Section 01 78 23, Operation and Maintenance Data.
    - 3. Manufacturer's representative shall be familiar with facility operation and maintenance requirements as well as with specified equipment.
    - 4. Furnish complete training materials, to include operation and maintenance data, to be retained by each trainee.
  - B. Training Schedule:
    - 1. List specified equipment and systems that require training services and show:
      - a. Respective manufacturer.
      - b. Estimated dates for installation completion.
      - c. Estimated training dates.
    - 2. Allow for multiple sessions when several shifts are involved.
    - 3. Adjust schedule to ensure training of appropriate personnel as deemed necessary by Owner, and to allow full participation by manufacturers' representatives. Adjust schedule for interruptions in operability of equipment.
    - 4. Coordinate with Section 01 32 00, Construction Progress Documentation, and Section 01 91 14, Equipment Testing and Facility Startup.
  - C. Lesson Plan: When manufacturer or vendor training of Owner personnel is specified, prepare a lesson plan for each required course containing the following minimum information:
    - 1. Title and objectives.
    - 2. Recommended attendees (such as, managers, engineers, operators, maintenance).
    - 3. Course description, outline of course content, and estimated class duration.
    - 4. Format (such as, lecture, self-study, demonstration, hands-on).
    - 5. Instruction materials and equipment requirements.
    - 6. Resumes of instructors providing training.

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- D. Prestartup Training:
  - 1. Coordinate training sessions with Owner's operating personnel and manufacturers' representatives, and with submission of operation and maintenance manuals in accordance with Section 01 78 23, Operation and Maintenance Data.
  - 2. Complete at least 14 days prior to beginning of facility startup.
- E. Post-startup Training: If required in Specifications, furnish and coordinate training of Owner's operating personnel by respective manufacturer's representatives.
- F. Recording of Training Sessions:
  - 1. Furnish audio and color recording of prestartup and post-startup instruction sessions, including manufacturers' representatives' hands-on equipment instruction and classroom sessions.
  - 2. Video training materials shall be produced by a qualified, professional video production company.
  - 3. Use DVD format suitable for playback on standard equipment available commercially in the United States. Blu-ray® DVD format is not acceptable without Engineer's prior approval.

## 3.04 SUPPLEMENTS

- A. The supplement listed below, following "End of Section," is part of this Specification.
  - 1. Manufacturer's Certificate of Proper Installation.

# **END OF SECTION**

## MANUFACTURER'S CERTIFICATE OF PROPER INSTALLATION

OWNER	EQPT SERIAL NO:	
	EQPT/SYSTEM:	
	SPEC. SECTION:	
I hereby certify that the above-referenced equipment/system has been:		
(Check Applicable)		
Installed in accordance with Manufacturer's recommendations.		
Inspected, checked, and adjusted.		
Serviced with proper initial lubricants		
<ul> <li>Electrical and mechanical connections meet quality and safety standards.</li> <li>All applicable safety equipment has been properly installed.</li> </ul>		
System has been performance tested, requirements. (When complete system of	and meets or exceeds specified performance one manufacturer)	
Note: Attach any performance test docum	ientation from manufacturer.	
Comments:		
I, the undersigned Manufacturer's Representa authorized representative of the manufacturer inspect, approve, and operate their equipment recommendations required to ensure equipment and operational, except as may be otherwise information contained herein is true and accu	r, (ii) empowered by the manufacturer to t and (iii) authorized to make ent furnished by the manufacturer is complete indicated herein. I further certify that all	
Date:	, 20	
Manufacturer:		

By Manufacturer's Authorized Representative:

(Authorized Signature)

#### SECTION 01 45 16.13 CONTRACTOR QUALITY CONTROL

## PART 1 GENERAL

#### 1.01 DEFINITIONS

A. Contractor Quality Control (CQC): The means by which Contractor ensures that the construction, to include that performed by subcontractors and suppliers, complies with the requirements of the Contract.

#### 1.02 OWNER'S QUALITY ASSURANCE

- A. All Work is subject to Owner's quality assurance inspection and testing at all locations and at all reasonable times before acceptance to ensure strict compliance with the terms of the Contract Documents.
- B. Owner's quality assurance inspections and tests are for the sole benefit of Owner and do not:
  - 1. Relieve Contractor of responsibility for providing adequate quality control measures;
  - 2. Relieve Contractor of responsibility for damage to or loss of the material before acceptance;
  - 3. Constitute or imply acceptance; or
  - 4. Affect the continuing rights of Owner after acceptance of the completed Work.
- C. The presence or absence of a quality assurance inspector does not relieve Contractor from any Contract requirement.
- D. Promptly furnish all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by Engineer.
- E. Owner may charge Contractor for any additional cost of inspection or test when Work is not ready at the time specified by Contractor for inspection or test, or when prior rejection makes re-inspection or retest necessary. Quality assurance inspections and tests will be performed in a manner that will not unnecessarily delay the Work.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

#### 3.01 GENERAL

- A. Maintain an adequate inspection system and perform such inspections as will ensure that the Work conforms to the Contract Documents.
- B. Maintain complete inspection records and make them available at all times to Owner and Engineer.
- C. The quality control system shall consist of plans, procedures, and organization necessary to produce an end product that complies with the Contract Documents. The system shall cover all construction and demolition operations, including Work by subcontractors, fabricators, suppliers and purchasing agents, and shall be keyed to the proposed construction sequence.

#### 3.02 COORDINATION MEETING

- A. After the Preconstruction Conference, but before start of construction, and prior to acceptance of the CQC Plan, schedule a meeting with Engineer and Owner to discuss the quality control system.
- B. Develop a mutual understanding of the system details, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite Work, and the interrelationship of Contractor's management and control with the Owner's Quality Assurance.
- C. There may be occasions when subsequent conferences may be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures that may require corrective action by Contractor.

## 3.03 QUALITY CONTROL ORGANIZATION

- A. CQC System Manager:
  - 1. Designate an individual within Contractor's organization who will be responsible for overall management of CQC and have the authority to act in CQC matters for the Contractor.
  - 2. CQC System Manager may perform other duties on the Project.
  - 3. CQC System Manager shall be an experienced construction person, with a minimum of 3 years construction experience on similar type Work.

CONTRACTOR QUALITY CONTROL 01 45 16.13 - 2

- 4. CQC System Manager shall report to the Contractor's project manager or someone higher in the organization. Project manager in this context shall mean the individual with responsibility for the overall quality and production management of the Project.
- 5. CQC System Manager shall be onsite during construction; periods of absence may not exceed 2 weeks at any one time.
- 6. Identify an alternate for CQC System Manager to serve with full authority during the System Manager's absence. The requirements for the alternate will be the same as for designated CQC System Manager.
- B. CQC Staff:
  - 1. Designate a CQC staff, available at the Site at all times during progress, with complete authority to take any action necessary to ensure compliance with the Contract. CQC staff members shall be subject to acceptance by Engineer.
  - 2. CQC staff shall take direction from CQC System Manager in matters pertaining to QC.
  - 3. CQC staff must be of sufficient size to ensure adequate QC coverage of Work phases, work shifts, and work crews involved in the construction. These personnel may perform other duties, but must be fully qualified by experience and technical training to perform their assigned QC responsibilities and must be allowed sufficient time to carry out these responsibilities.
  - 4. The actual strength of the CQC staff may vary during any specific Work period to cover the needs of the Project. Add additional staff when necessary for a proper CQC organization.
- C. Organizational Changes: Obtain Engineer's acceptance before replacing any member of the CQC staff. Requests for changes shall include name, qualifications, duties, and responsibilities of the proposed replacement.

## 3.04 QUALITY CONTROL PHASING

- A. CQC shall include at least three phases of control to be conducted by CQC System Manager for all definable features of Work, as follows:
  - 1. Preparatory Phase:
    - a. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The CQC System Manager shall instruct applicable CQC staff as to the acceptable level of workmanship required in order to meet Contract requirements.

- b. Document the results of the preparatory phase meeting by separate minutes prepared by the CQC System Manager and attached to the QC report.
- c. Perform prior to beginning Work on each definable feature of Work:
  - 1) Review applicable Contract Specifications.
  - 2) Review applicable Contract Drawings.
  - 3) Verify that all materials and/or equipment have been tested, submitted, and approved.
  - 4) Verify that provisions have been made to provide required control inspection and testing.
  - 5) Examine the Work area to verify that all required preliminary Work has been completed and is in compliance with the Contract.
  - 6) Perform a physical examination of required materials, equipment, and sample Work to verify that they are on hand, conform to approved Shop Drawing or submitted data, and are properly stored.
  - 7) Review the appropriate activity hazard analysis to verify safety requirements are met.
  - 8) Review procedures for constructing the Work, including repetitive deficiencies.
  - 9) Document construction tolerances and workmanship standards for that phase of the Work.
- 2. Initial Phase:
  - a. Accomplish at the beginning of a definable feature of Work:
    - 1) Perform prior to beginning Work on each definable feature of Work:
      - a) Review minutes of the preparatory meeting.
      - b) Check preliminary Work to verify compliance with Contract requirements.
      - c) Verify required control inspection and testing.
      - d) Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Comparison with sample panels is appropriate.
      - e) Resolve all differences.
      - f) Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

- 2) Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the QC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.
- 3) The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.
- 3. Follow-up Phase:
  - a. Perform daily checks to verify continuing compliance with Contract requirements, including control testing, until completion of the particular feature of Work.
  - b. Daily checks shall be made a matter of record in the CQC documentation and shall document specific results of inspections for all features of Work for the day or shift.
  - c. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of Work that will be affected by the deficient Work. Constructing upon or concealing nonconforming Work will not be allowed.
- 4. Additional Preparatory and Initial Phases: Additional preparatory and initial phases may be conducted on the same definable features of Work as determined by Owner if the quality of ongoing Work is unacceptable; or if there are changes in the applicable QC staff or in the onsite production supervision or work crew; or if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

# 3.05 CONTRACTOR QUALITY CONTROL PLAN

- A. General:
  - 1. Plan shall identify personnel, procedures, control, instructions, test, records, and forms to be used.
  - 2. An interim plan for the first 30 days of operation will be considered.
  - 3. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of Work to be started.
  - 4. Work outside of the features of Work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of Work to be started.

- B. Content:
  - 1. Plan shall cover the intended CQC organization for the entire Contract and shall include the following, as a minimum:
    - a. Organization: Description of the quality control organization, including acknowledgment that the CQC staff will implement the three-phase control system (see Paragraph QC Phasing) for all aspects of the Work specified.
    - b. CQC Staff: The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a QC function.
    - c. Testing: Control, verification and acceptance testing procedures for each specific test to include the test name, frequency, specification paragraph containing the test requirements, the personnel and laboratory responsible for each type of test, and an estimate of the number of tests required.
    - d. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests, including documentation.
    - e. Procedures for tracking deficiencies from identification through acceptable corrective action. These procedures will establish verification that identified deficiencies have been corrected.
    - f. Reporting procedures, including proposed reporting formats; include a copy of the CQC report form.
- C. Acceptance of Plans: Acceptance of the Contractor's basic and addendum CQC plans is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. Owner reserves the right to require Contractor to make changes in the CQC plan and operations including removal of personnel, as necessary, to obtain the quality specified.
- D. Notification of Changes: After acceptance of the CQC plan, Contractor shall notify Engineer, in writing, a minimum of 7 calendar days prior to any proposed change. Proposed changes are subject to acceptance by Engineer.

# 3.06 CONTRACTOR QUALITY CONTROL REPORT

- A. As a minimum, prepare a CQC report for every 14 calendar days. Account for all days throughout the life of the Contract. Reports shall be signed and dated by CQC System Manager. Include copies of test reports and copies of reports prepared by QC staff.
- B. Maintain current records of quality control operations, activities, and tests performed, including the Work of subcontractors and suppliers.

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- C. Records shall be on an acceptable form and shall be a complete description of inspections, the results of inspections, daily activities, tests, and other items, including but not limited to the following:
  - 1. Contractor/subcontractor and their areas of responsibility.
  - 2. Operating plant/equipment with hours worked, idle, or down for repair.
  - 3. Work performed today, giving location, description, and by whom. When a network schedule is used, identify each phase of Work performed each day by activity number.
  - 4. Test and/or control activities performed with results and references to specifications/plan requirements. The control phase should be identified (Preparatory, Initial, Follow-up). List deficiencies noted along with corrective action.
  - 5. Material received with statement as to its acceptability and storage.
  - 6. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
  - 7. List instructions given/received and conflicts in Drawings and/or Specifications.
  - 8. Contractor's verification statement.
  - 9. Indicate a description of trades working on the Project; the number of personnel working; weather conditions encountered; and any delays encountered.
  - 10. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in file work and workmanship comply with the Contract.

## 3.07 SUBMITTAL QUALITY CONTROL

A. Submittals shall be as specified in Section 01 33 00, Submittal Procedures. The CQC organization shall be responsible for certifying that all submittals are in compliance with the Contract requirements. Owner will furnish copies of test report forms upon request by Contractor. Contractor may use other forms as approved.

## 3.08 TESTING QUALITY CONTROL

## A. Testing Procedure:

- 1. Perform tests specified or required to verify that control measures are adequate to provide a product which conforms to Contract requirements. Procure services of a licensed testing laboratory to be selected by the Owner. Perform the following activities and record the following data:
  - a. Verify testing procedures comply with contract requirements.
  - b. Verify facilities and testing equipment are available and comply with testing standards.

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- c. Check test instrument calibration data against certified standards.
- d. Verify recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Documentation:
  - 1) Record results of all tests taken, both passing and failing, on the CQC report for the date taken.
  - 2) Include specification paragraph reference, location where tests were taken, and the sequential control number identifying the test.
  - 3) Actual test reports may be submitted later, if approved by Engineer, with a reference to the test number and date taken.
  - 4) Provide directly to Engineer an information copy of tests performed by an offsite or commercial test facility. Test results shall be signed by an engineer registered in the state where the tests are performed.
  - 5) Failure to submit timely test reports, as stated, may result in nonpayment for related Work performed and disapproval of the test facility for this Contract.
- B. Testing Laboratories: As selected by the Owner.

#### 3.09 COMPLETION INSPECTION

- A. CQC System Manager shall conduct an inspection of the Work at the completion of all Work.
- B. Punchlist:
  - 1. CQC System Manager shall develop a punchlist of items which do not conform to the Contract requirements.
  - 2. Include punchlist in the CQC report, indicating the estimated date by which the deficiencies will be corrected.
  - 3. CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected and so notify the Owner.
  - 4. These inspections and any deficiency corrections required will be accomplished within the time stated for completion of the entire Work or any particular increment thereof if the Project is divided into increments by separate completion dates.

## **END OF SECTION**

CONTRACTOR QUALITY CONTROL 01 45 16.13 - 8

#### SECTION 01 50 00 TEMPORARY FACILITIES AND CONTROLS

# PART 1 GENERAL

#### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Association of Nurserymen (AAN): American Standards for Nursery Stock.
  - 2. Federal Emergency Management Agency (FEMA).
  - 3. National Fire Prevention Association (NFPA): 241, Standard for Safeguarding Construction, Alteration, and Demolition Operations.
  - 4. Telecommunications Industry Association (TIA); Electronic Industries Alliance (EIA): 568B, Commercial Building Telecommunications Cabling Standard.
  - 5. U.S. Department of Agriculture (USDA): Urban Hydrology for Small Watersheds.
  - U.S. Weather Bureau: Rainfall-Frequency Atlas of the U.S. for Durations from 30 Minutes to 24 Hours and Return Periods from 1 to 100 Years.

## 1.02 SUBMITTALS

- A. Informational Submittals: Copies of permits and approvals for construction as required by Laws and Regulations and governing agencies.
- B. Action Submittals: Layout for project sign, including dimension, lettering, and colors. Project sign shall not be constructed until submittal has been reviewed and approved by Engineer and Owner.

## 1.03 MOBILIZATION

- A. Mobilization includes, but is not limited to, these principal items:
  - 1. Obtaining required permits.
  - 2. Moving Contractor's field office and equipment required for first month operations onto Site.
  - 3. Installing temporary construction power, wiring, and lighting facilities.
  - 4. Providing onsite sanitary facilities and potable water facilities as specified and as required by Laws and Regulations, and governing agencies.
  - 5. Arranging for and erection of Contractor's work and storage yard.

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- 6. Posting OSHA required notices and establishing safety programs and procedures.
- B. Areas to be used for Contractor's temporary facilities will be designated during the Pre-Bid Meeting.

## 1.04 PROTECTION OF WORK AND PROPERTY

- A. Comply with Owner's safety rules while on Owner's property.
- B. Keep Owner informed of serious onsite accidents and related claims.
- C. Use of Explosives: No blasting or use of explosives will be allowed onsite.

# PART 2 PRODUCTS

## 2.01 PROJECT SIGN

A. Provide and maintain one, 8-foot wide by 4-foot high sign constructed of 3/4-inch exterior high density overlaid plywood. Sign shall bear name of Project, Owner, Contractor, Engineer, and other participating agencies. Lettering shall be blue applied on white background by an experienced sign painter. Include Owner's logo in full color. Provide exterior type enamel paint. Information to be included and logo graphic will be provided by Owner. Sign may be relocated from the Crosstown WTP to the South Fayette WTP once work at the Crosstown WTP is substantially complete; a separate sign for each WTP is not required.

# PART 3 EXECUTION

# 3.01 TEMPORARY UTILITIES

- A. Power:
  - 1. Electric power will be available at Site. Determine type and amount available and make arrangements for obtaining temporary electric power service, metering equipment, and pay costs for electric power used during Contract period, except for portions of the Work designated in writing by Engineer as substantially complete.
  - 2. Cost of electric power will be borne by Contractor.
- B. Water: Owner will provide a place of temporary connection for construction water at Site. Provide temporary facilities and piping required to bring water to point of use and remove when no longer needed. Install an acceptable metering device and pay for water used at Owner's current rate.

- C. Sanitary and Personnel Facilities:
  - 1. Provide and maintain facilities for Contractor's employees, Subcontractors, and other onsite employers' employees. Service, clean, and maintain facilities and enclosures.
  - 2. Use of Owner's existing sanitary facilities by construction personnel will not be allowed.
- D. Telephone Service:
  - 1. Contractor: Arrange and provide onsite telephone service for use during construction, if determined to be needed by the Contractor. Pay costs of installation and monthly bills.
  - 2. No incoming calls allowed to Owner's plant telephone system.
- E. Fire Protection: Furnish and maintain on Site adequate firefighting equipment capable of extinguishing incipient fires. Comply with applicable parts of NFPA 241.

## 3.02 PROTECTION OF WORK AND PROPERTY

- A. General:
  - 1. Maintain in continuous service existing oil gas pipelines, underground power, telephone or communication cable, water mains, irrigation lines, sewers, poles and overhead power, and other utilities encountered.
  - 2. Protect, shore, brace, support, and maintain underground pipes, conduits, drains, and other underground utility construction uncovered or otherwise affected by construction operations.
  - 3. Keep fire hydrants and water control valves free from obstruction and available for use at all times.
  - 4. In areas where Contractor's operations are adjacent to or near a utility, such as gas, telephone, television, electric power, water, sewer, or irrigation system, and such operations may cause damage or inconvenience, suspend operations until arrangements necessary for protection have been made by Contractor.
  - 5. Do not impair operation of existing sewer system. Prevent construction material, pavement, concrete, earth, volatile and corrosive wastes, and other debris from entering sewers, pump stations, or other sewer structures.
  - 6. Maintain original Site drainage wherever possible.
- B. Finished Construction: Protect finished floors and concrete floors exposed as well as those covered with composition tile or other applied surfacing.

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL C. Waterways: Keep ditches, culverts, and natural drainages continuously free of construction materials and debris.

## 3.03 TEMPORARY CONTROLS

- A. Air Pollution Control:
  - 1. Minimize air pollution from construction operations.
  - 2. Burning of waste materials, rubbish, or other debris will not be permitted on or adjacent to Site.
- B. Noise Control: Provide acoustical barriers so noise emanating from tools or equipment will not exceed legal noise levels.
- C. Water Pollution Control:
  - 1. Divert sanitary sewage and nonstorm waste flow interfering with construction and requiring diversion to sanitary sewers. Do not cause or permit action to occur which would cause an overflow to existing waterway.
  - 2. Comply with Section 01 57 13, Temporary Erosion and Sedimentation Control, for stormwater flow and surface runoff.
  - 3. Do not dispose of volatile wastes such as mineral spirits, oil, chemicals, or paint thinner in storm or sanitary drains. Disposal of wastes into streams or waterways is prohibited. Provide acceptable containers for collection and disposal of waste materials, debris, and rubbish.
- D. Erosion, Sediment, and Flood Control: Provide, maintain, and operate temporary facilities as specified in Section 01 57 13, Temporary Erosion and Sedimentation Control, to control erosion and sediment releases, and to protect the Work and existing facilities from flooding during construction period.

## 3.04 STORAGE YARDS AND BUILDINGS

- A. Coordinate requirements with Section 01 61 00, Common Product Requirements.
- B. Temporary Storage Yards: Construct temporary storage yards for storage of products that are not subject to damage by weather conditions.
- C. Temporary Storage Buildings:
  - 1. Provide environmental control systems that meet recommendations of manufacturers of equipment and materials stored.

- 2. Arrange or partition to provide security of contents and ready access for inspection and inventory.
- 3. Store combustible materials (paints, solvents, fuels) in a well-ventilated and remote building meeting safety standards.

#### 3.05 PARKING AREAS

- A. Control vehicular parking to preclude interference with Owner's operations or construction operations.
- B. Provide parking facilities for personnel working on Project. No employee or equipment parking will be permitted on Owner's existing paved areas.
- C. Use area designated by Owner for parking of Contractor's and Contractor's employees' vehicles.

#### 3.06 CLEANING DURING CONSTRUCTION

- A. In accordance with General Conditions, as may be specified in other Specification sections, and as required herein.
- B. Wet down exterior surfaces prior to sweeping to prevent blowing of dust and debris. At least weekly, sweep floors (basins, tunnels, platforms, walkways, roof surfaces), and pick up and dispose of debris.
- C. Provide approved containers for collection and disposal of waste materials, debris, and rubbish. At least weekly, dispose of such waste materials, debris, and rubbish offsite.
- D. At least weekly, brush sweep entry drive, roadways, and other streets and walkways affected by the Work and where adjacent to the Work.

## **END OF SECTION**

#### SECTION 01 57 13 TEMPORARY EROSION AND SEDIMENT CONTROL

## PART 1 GENERAL

#### 1.01 WORK OF THIS SECTION

- A. This section covers work necessary for stabilization of soil to prevent erosion before, during and after construction and land disturbing activities. The work shall include the furnishing of all labor, materials, tools, and equipment to perform the work and services necessary as herein specified and as indicated on the approved Drawings. This shall include installation, maintenance, and final removal of all temporary soil erosion and sediment control measures and installation of permanent soil erosion control practices.
- B. The minimum areas requiring soil erosion and sediment control measures are indicated on the Drawings. The right is reserved to modify the use, location, and quantities of soil erosion and sediment control measures based on activities of the Contractor and as the Engineer considers to be to the best interest of the Owner.
- C. See additional information noted on the Drawings.
- D. Erosion and sediment control practices shall comply with the "Manual for Erosion and Sediment Control in Georgia," latest edition.

#### 1.02 DEFINITIONS

- A. BMP: Best Management Practice means the schedule of activities, prohibitions of practices, maintenance procedures, and other structural or vegetative management practices to prevent or reduce the pollution of waters of the United States. BMPs also include treatment requirements, operating procedures, and practices to control site runoff, spillage or leaks, and waste disposal.
- B. Certified Contractor: A person who has received training and is a certified professional to install/construct, inspect and maintain erosion and sediment control practices.
- C. Clearing: Removal of interfering or objectionable material lying on or protruding above ground surface.

- D. ESC: Erosion and sediment control. Any temporary or permanent measures that prevent or reduce erosion, control sedimentation, and ensure that sediment does not leave a site.
- E. Land Disturbing Activity: Any activity that results in a change in the existing soil cover (both vegetative and non-vegetative) and/or the existing soil topography. Land disturbing activities include, but are not limited to demolition, construction, clearing, grading, excavation and filling.
- F. Maintenance Period: Maintenance period begins immediately after each area is planted and shall continue for a period of 8 weeks after all seeding, sodding, and planting are completed.
- G. Project Limits: Areas, as shown or specified, within which Work is to be performed.
- H. Sediments: Soil, sand, and minerals washed from land into water, usually after a rain event.
- I. Standard Specifications: When referenced in this section, shall mean the current edition of the State of Georgia, Department of Transportation, Standard Specifications for Construction of Transportation Systems. When reference is made to a specific part of the Standard Specifications, such applicable part shall be considered as part of this section of the Specifications. In case of a conflict in the requirements of the Standard Specifications and the requirements stated herein, the most stringent requirements shall prevail.

## 1.03 GENERAL

- A. All activities shall conform to the "Manual for Erosion and Sediment Control in Georgia," latest edition. Fayette County permit requirements for land disturbance activities, and the Drawings. In the event of a conflict, the more stringent requirement shall apply.
- B. Land disturbance activities shall not commence until the Land Disturbance Permit has been issue.
- C. The escape of sediment from the site shall be prevented by the installation of Erosion and Sediment Control measures and practices prior to, and concurrent with land disturbing activities for the entire duration of the project.
- D. Erosion and sediment control practices shall be installed prior to commencement of land disturbance activities.

- E. Soil erosion stabilization and sedimentation control consist of, but not limited to, the following elements:
  - 1. Conducting earthwork and excavation activities in such a manner to fit the topography soil type and condition.
  - 2. Implementation and continuous maintenance of BMP's.
  - 3. Minimize disturbed area and duration of exposure to erosion elements.
  - 4. Stabilize disturbed areas immediately:
    - a. Topsoil and seeding:
      - 1) Placement and maintenance of Temporary Seeding on all areas disturbed by construction.
      - 2) Placement of permanent topsoil, fertilizer, and seed, etc., in all areas not occupied by structures or pavement, unless shown otherwise.
      - b. Soil Stabilization Seeding: Placement of fertilizer and seed, etc., in areas as specified hereinafter.
  - 5. Maintenance of existing permanent or temporary storm drainage piping and channel systems, as necessary.
  - 6. Construction of new permanent and temporary storm drainage piping and channel systems, as necessary.
  - 7. Construction or installation of temporary erosion control facilities such as inlet sediment traps, silt fences, etc.
- F. Contractor shall install and add to the erosion control measures as determined by the Engineer, Owner, the County, or Georgia EPD.
- G. The Contractor shall be responsible for phasing Work in areas allocated for his exclusive use during this Project, including any proposed stockpile areas, to restrict sediment transport. This will include installation of any temporary erosion control devices, ditches, or other facilities.
- H. The areas set aside for the Contractor's use during the Project may be temporarily developed to provide satisfactory working, staging, and administrative areas for his exclusive use. Preparation of these areas shall be in accordance with other requirements contained within these Specifications and shall be done in a manner to both control all sediment transport away from the area.
- I. Contractor is responsible for maintaining all erosion control measures installed for the full duration of this Contract.
- J. Contractor shall observe the approved Project sequence. The Contractor shall maintain careful scheduling and performance to ensure that the exposure of land area stripped of its natural cover is kept to a minimum.

- K. Prior to commencing land disturbance activities, the Contractor shall clearly and accurately demarcate the limits of land disturbance with clearing fence or other appropriate means, for the entire duration of the Project.
- L. No land disturbance shall occur outside the approved limits indicated in the approved Drawings.
- M. After installation of the initial erosion and sediment control measures, the Contractor shall schedule an inspection with the Engineer and the County's site inspector. No other construction activities shall occur until the Engineer approves the installation of the initial erosion and sediment control measures. If unforeseen conditions exist in the field that warrants the installation of additional erosion and sediment control measures, the Contractor must install any additional measures deemed necessary by the Engineer.
- N. The location of some erosion and sediment control measures may have to be altered from those shown on the approved Drawings if drainage patterns during construction differ from the ones shown on the Drawings. Contractor is responsible to accomplish erosion and sediment control for all drainage patterns created during various stages of construction. Contractor shall report to the Engineer any difficulty in controlling erosion during any phase of construction.
- O. Mulch or temporary seeding shall be applied to all disturbed areas within 7 days of clearing. All disturbed areas that are stabilized with mulch shall be stabilized with temporary seeding after 30 days.
- P. Areas opened by construction operations and that are not anticipated to be re-excavated or dressed and received final grassing treatment within 30 days shall be temporary seeded with a quick growing grass species which will provide an early cover during the season in which it is planted and will not compete with the permanent grassing.
- Q. Earthwork operations in the vicinity of stream buffers shall be carefully controlled to avoid dumping or sloughing into the buffer.
- R. Inlet sediment protection shall be installed on all stormwater structures as they are constructed and as shown on the Drawings. Sediment shall not be washed into inlets.
- S. Upon completion of construction, the Contractor shall remove all temporary erosion control measures and dispose of them, unless noted on the Drawings.

- T. The Contractor shall maintain all elements of the Soil Erosion Stabilization and Sedimentation Control systems and facilities to be constructed during this Project for the duration of his activities on this Project until permanent stabilization of the Site is achieved.
- U. Contractor shall inspect erosion and sediment control measures each day to ensure that they are working properly. Formal inspections made jointly by the Contractor and the Engineer shall be conducted, at a minimum, every 2 weeks to evaluate the Contractor's conformance to the requirements of these Specifications, Fayette County regulations, and the Manual for Erosion and Sediment Control in Georgia.
- V. All silt traps shall be cleaned of collected sediment after every storm event, and shall be immediately repaired or replaced if found to be defective. Cleaning shall be done in a manner that will not direct the sediment into the storm drain piping system. Removed sediment shall be taken to an area selected by the Engineer where it can be cleaned of sticks and debris, then allowed to dry. Final sediment and debris disposal shall be onsite as designated by Engineer.
- W. Silt fence shall be inspected for depth of sediment, tears, to see if fabric is securely attached to the fence posts, and to see that the fence posts are firmly in the ground. Built up sediment shall be removed from silt fence when it has reached one-half the height of the fence.
- X. Sediment shall be removed from the retrofitted ponds when one-third of the sediment storage capacity has been reached.
- Y. Temporary and permanent seeding and planting shall be inspected for bare spots, washouts, and healthy growth. All the permanent seeded grass cover areas shall be reworked and reseeded if 75 percent grass cover is not achieved within 14 days.
- Z. If full implementation of the approved Drawings does not provide for effective erosion and sediment control, additional measures shall be implemented as directed by the Engineer.
- AA. Contractor's failure to install, operate and maintain all erosion and sediment control measures, to the satisfaction of the Engineer, will result in all construction being stopped on the job until such measures are installed or returned to their proper functional condition.

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL BB. A maintenance inspection report shall be made after each inspection by the Contractor. The reports will be kept onsite during construction and available upon request by the Owner, the Engineer, the County, or any Federal or Local Agency approving erosion and sediment control plans. This report shall be made and retained as part of the Stormwater Pollution Prevention Plan for at least 3 years from the date that the site is finally stabilized and the Notice of Termination is submitted. The report shall identify any incidents of non-compliance.

#### 1.04 SUBMITTALS

- A. Submittals of all Erosion Control products required for installation of proposed BMPs shall be made in accordance with Section 01 33 00, Submittal Procedures:
  - 1. Shop Drawings.
  - 2. Product Data.
  - 3. Samples.

## PART 2 PRODUCTS

- 2.01 SILT FENCE
  - A. Type-C silt fence in accordance with the "Manual for Erosion and Sediment Control in Georgia," latest edition and Section 171 of the Department of Transportation, State of Georgia, Standard Specifications, latest edition.

#### 2.02 PERMANENT SEED

A. As specified in Section 32 92 00, Turf and Grasses and on the "Permanent Vegetation Cover" schedule shown in the ESC plans.

## 2.03 TOPSOIL

- A. Topsoil shall be as specified under Section 32 91 13, Soil Preparation.
- 2.04 FERTILIZER
  - A. As specified in Section 32 91 13, Soil Preparation.
- 2.05 MULCH
  - A. As specified in Drawings.

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#### 2.06 WATER FOR DUST CONTROL

A. Free of hazardous or toxic contaminates.

## PART 3 EXECUTION

#### 3.01 GENERAL

- A. The Contractor shall install erosion and sediment control measures and maintain in accordance with the Drawings. The sequence of construction shown on the Drawings is made a part of these Contract Documents.
- B. The Contractor shall provide and maintain soil stabilization at all times.
- C. After installation of the initial erosion and sediment control measures, the Contractor shall schedule an inspection with the Engineer and the Owner's site inspector. No other construction activities shall occur until the Engineer approves the installation of the initial erosion and sediment control measures. If unforeseen conditions exist in the field that warrants the installation of additional erosion and sediment control measures, the Contractor must install any additional measures deemed necessary by the Engineer.
- D. Contractor shall observe the approved Project sequence. The Contractor shall maintain careful scheduling and performance to ensure that the exposure of land area stripped of its natural cover is kept to a minimum.
- E. Inlet sediment protection shall be installed on all existing and proposed stormdrain structures as shown on the Plans. Sediment shall not be washed into inlets.

#### 3.02 SILT FENCE

A. The Contractor shall construct silt fence Type-C in accordance with the "Manual for Erosion and Sediment Control in Georgia," latest edition.

#### 3.03 SEEDING

- A. General:
  - 1. The Contractor shall give at least 3 days' notice to the Engineer prior to seeding to allow for inspection of the areas. The Contractor shall rework any areas not approved for seeding to the Engineer's satisfaction.
  - 2. The Contractor shall keep the Engineer advised of schedule of operations.

- 3. Seed shall be clean, delivered in original unopened packages and bearing an analysis of the contents, guaranteed 95 percent pure with minimum germination rate of 85 percent.
- B. Schedules: Seeding shall be performed in accordance with the schedules shown on the approved Drawings.
- C. Soil Stabilization and Temporary Seeding:
  - Soil stabilization seeding shall consist of the application of the following materials in quantities as further described herein for stockpiles and disturbed areas left inactive for more than 14 days.
     a. Lime.
    - b. Fertilizer.
    - c. Seed.
    - d. Mulch.
    - e. Maintenance.
  - 2. Hydroseeding will be permitted as an alternative method of applying seed and associated soil conditioning agents described above. Should the Contractor elect to apply soil stabilization seeding by hydroseeding methods, he shall submit his operational plan and methods to the Engineer.
  - 3. Temporary Seeding is to be placed and maintained over all disturbed areas prior to Permanent Seeding. Maintain Temporary Seeding until such time as areas are approved for Permanent Seeding. As a minimum, maintenance shall include the following:
    - a. Fix-up and reseeding of bare areas or redisturbed areas.
    - b. Mowing for stands of grass or weeds exceeding 6 inches in height.
- D. Topsoil and Permanent Seeding:
  - 1. Topsoil and Permanent Seeding shall consist of the application of the following materials in quantities as further described herein:
    - a. 4-inch depth of topsoil.
    - b. Lime.
    - c. Fertilizer.
    - d. Permanent seed mix.
    - e. Mulch.
  - 2. Topsoil is to be placed over all disturbed areas that are not surfaced with concrete, asphalt, or pavers.

- 3. Preparation:
  - After rough grading is completed and reviewed by the Engineer, Contractor shall spread topsoil as hereinbefore specified over all areas to receive Permanent Seeding to a minimum compacted depth of 6 inches with surface elevations as shown. Loosen the finished surface to a depth of 2 inches and leave in smooth condition, free from depressions or humps, ready for seeding.
  - b. Finish Grading:
    - 1) Contractor shall rake the topsoiled area to a uniform grade, so that all areas drain as indicated on the grading plan.
    - 2) Contractor shall remove all trash and stones exceeding 1 inch in diameter from area to a depth of 2 inches.
- 4. Permanent Seed: After soil has been scarified, apply seed and other products at the rate and proportion specified in the "Permanent Vegetation Cover" schedule and the "Fertilizer Requirements" schedule shown on the approved Drawings.
- 5. Maintenance:
  - a. Maintenance Period: Contractor shall begin maintenance immediately after each portion of permanent grass is planted and continue for 8 weeks after all planting is completed.
  - b. Maintenance Operations: Contractor shall water to keep surface soil moist. Repair washed out areas by filling with topsoil, liming, fertilizing, and seeding. Replace mulch on banks when washed or blown away. Mow to 2 inches after grass reaches 3 inches in height, and mow frequently enough to keep grass from exceeding 3-1/2 inches. Weed by local spot application of selective herbicide only after first planting season when grass is established.
- 6. Guarantee:
  - a. If, at the end of the 8-week maintenance period, a satisfactory stand of grass has not been produced, the Contractor shall renovate and reseed the grass or unsatisfactory portions thereof immediately, or, if after October 15 during the next planting season. If a satisfactory stand of grass develops by July 1 of the following year, it will be accepted. If it is not accepted, a complete replanting will be required during the planting season meeting all of the requirements specified under paragraph Permanent Seed.
  - b. A satisfactory stand is defined as grass or section of grass that has a substantial establishment of new grass, strongly rooted, and uniformly green in appearance from a distance of 50 feet and which covers 75 percent or more of the grassed area. No noticeable thin or bare areas as determined by the Engineer.

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#### 3.04 DUST CONTROL

- A. Contractor shall control, at all times, surface and air movement of dust.
- B. Sprinkler site with water until the surface is wet. Repeat as needed.

#### 3.05 FIELD QUALITY

- A. Upon completion of maintenance period and on written notice from the Contractor, the Engineer will within 15 days of receipt, determine if a satisfactory stand has been established.
- B. If a satisfactory stand has not been established, the Engineer will make another determination upon written notice from Contractor following the next growing season.

#### 3.06 MAINTENANCE OF EROSION AND SEDIMENT CONTROL MEASURES

- A. Erosion and sediment control measures shall be maintained at all times until permanent stabilization of the site is achieved.
- B. Erosion and sediment control measures shall be checked after each rain event, and shall be immediately repaired or replaced if found to be defective. A record shall be maintained of all inspections, repairs and replacement.
- C. Contractor shall inspect erosion and sediment control measures each day to ensure that they are working properly.
- D. Silt fence shall be inspected for depth of sediment, tears, to see if the fabric is securely attached to the fence posts, and to see that the fence posts are firmly in the ground. Build up sediment shall be removed from silt fence when it has reached on-half the height of the fence.
- E. Each BMP is to be maintained or replaced if the accumulated sediment depth is equal to or greater than one-half of the capacity of the device. Reference marks denoting the elevation at which each device is to be maintained shall be placed on all devices.
- F. Temporary and permanent seeding, sodding, and planting shall be inspected for bare spots, washouts, and healthy growth. All the permanent seeded grass cover areas shall be reworked and reseeded if 75 percent grass cover is not achieved within 14 days.
- G. If full implementation of the approved Plans does not provide for effective erosion and sediment control, additional ESC measures shall be implemented as directed by the Engineer or Owner.

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- H. A maintenance inspection report shall be made after each inspection by the Contractor. The reports will be kept onsite during construction and available upon request by the Engineer, Owner, or any Federal, State, or Local Agency. The report shall identify any incidents of non-compliance.
- I. Contractor shall installed and add to erosion control measures as determined by the Engineer or the Owner.
- J. The Contractor shall maintain all elements of the ESC measures and facilities to be constructed during this Project for the duration of his activities on this Project. Formal inspections made jointly by the Contractor and the Engineer shall be conducted every 2 weeks to evaluate the Contractor's conformance to the Approved Drawings and this Specification.
- K. All silt traps shall be cleaned of collected sediment after every storm or as determined from the biweekly inspections. Cleaning shall be done in a manner that will not direct the sediment into the storm drain piping system. Removed sediment shall be taken to an area selected by the Engineer where it can be cleaned of sticks and debris, then allowed to dry. Final sediment and debris disposal shall be onsite as designated by Engineer.
- L. Replacement or repair of failed or overloaded silt fences, check dams, or other temporary erosion control devices shall be accomplished by the Contractor within 2 days after receiving written notice from the Engineer.
- M. Unpaved earth drainage ditches shall be regraded as needed to maintain original grade and remove sediment buildup. If a ditch becomes difficult to maintain, the Contractor shall cooperate with the Engineer and install additional erosion control devices such as check dams, temporary paving, or silt fences as directed by the Engineer.
- N. If the Contractor has not complied with any of the above maintenance efforts to the satisfaction of the Engineer within 2 working days after receiving written notification from the Engineer, the Owner shall have the prerogative of engaging others to perform any needed maintenance or cleanup, including removal of accumulated sediment at constructed erosion control facilities, at Contractor's expense.

# **END OF SECTION**

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#### SECTION 01 61 00 COMMON PRODUCT REQUIREMENTS

# PART 1 GENERAL

## 1.01 DEFINITIONS

## A. Products:

- 1. New items for incorporation in the Work, whether purchased by Contractor or Owner for the Project, or taken from previously purchased stock, and may also include existing materials or components required for reuse.
- 2. Includes the terms material, equipment, machinery, components, subsystem, system, hardware, software, and terms of similar intent and is not intended to change meaning of such other terms used in Contract Documents, as those terms are self-explanatory and have well recognized meanings in construction industry.
- 3. Items identified by manufacturer's product name, including make or model designation, indicated in manufacturer's published product literature, that is current as of the date of the Contract Documents.

## 1.02 PREPARATION FOR SHIPMENT

- A. When practical, factory assemble products. Mark or tag separate parts and assemblies to facilitate field assembly. Cover machined and unpainted parts that may be damaged by the elements with strippable protective coating.
- B. Package products to facilitate handling and protect from damage during shipping, handling, and storage. Mark or tag outside of each package or crate to indicate its purchase order number, bill of lading number, contents by name, name of Project and Contractor, equipment number, and approximate weight. Include complete packing list and bill of materials with each shipment.
- C. Extra Materials, Special Tools, Test Equipment, and Expendables:
  - 1. Furnish as required by individual Specifications.
  - 2. Schedule:
    - a. Ensure that shipment and delivery occurs concurrent with shipment of associated equipment.
    - b. Transfer to Owner shall occur immediately subsequent to Contractor's acceptance of equipment from Supplier.

- 3. Packaging and Shipment:
  - a. Package and ship extra materials and special tools to avoid damage during long term storage in original cartons insofar as possible, or in appropriately sized, hinged-cover, wood, plastic, or metal box.
  - b. Prominently displayed on each package, the following:
    - 1) Manufacturer's part nomenclature and number, consistent with Operation and Maintenance Manual identification system.
    - 2) Applicable equipment description.
    - 3) Quantity of parts in package.
    - 4) Equipment manufacturer.
- 4. Deliver materials to Site.
- 5. Replace extra materials and special tools found to be damaged or otherwise inoperable at time of transfer to Owner.
- D. Request a minimum 7-day advance notice of shipment from manufacturer.
- E. Factory Test Results: Reviewed and accepted by Engineer before product shipment as required in individual Specification sections.
- 1.03 DELIVERY AND INSPECTION
  - A. Deliver products in accordance with accepted current Progress Schedule and coordinate to avoid conflict with the Work and conditions at Site. Deliver anchor bolts and templates sufficiently early to permit setting prior to placement of structural concrete.
  - B. Deliver products in undamaged condition, in manufacturer's original container or packaging, with identifying labels intact and legible. Include on label, date of manufacture and shelf life, where applicable.
  - C. Unload products in accordance with manufacturer's instructions for unloading or as specified. Record receipt of products at Site. Promptly inspect for completeness and evidence of damage during shipment.
  - D. Remove damaged products from Site and expedite delivery of identical new undamaged products, and remedy incomplete or lost products to provide that specified, so as not to delay progress of the Work.

## 1.04 HANDLING, STORAGE, AND PROTECTION

- A. Handle and store products in accordance with manufacturer's written instructions and in a manner to prevent damage. Store in approved storage yards or sheds provided in accordance with Section 01 50 00, Temporary Facilities and Controls. Provide manufacturer's recommended maintenance during storage, installation, and until products are accepted for use by Owner.
- B. Manufacturer's instructions for material requiring special handling, storage, or protection shall be provided prior to delivery of material.
- C. Arrange storage in a manner to provide easy access for inspection. Make periodic inspections of stored products to ensure that products are maintained under specified conditions, and free from damage or deterioration. Keep running account of products in storage to facilitate inspection and to estimate progress payments for products delivered, but not installed in the Work.
- D. Store electrical, instrumentation, and control products, and equipment with bearings in weather-tight structures maintained above 60 degrees F. Protect electrical, instrumentation, and control products, and insulate against moisture, water, and dust damage. Connect and operate continuously space heaters furnished in electrical equipment.
- E. Store fabricated products above ground on blocking or skids, and prevent soiling or staining. Store loose granular materials in well-drained area on solid surface to prevent mixing with foreign matter. Cover products that are subject to deterioration with impervious sheet coverings; provide adequate ventilation to avoid condensation.
- F. Store finished products that are ready for installation in dry and well-ventilated areas. Do not subject to extreme changes in temperature or humidity.
- G. After installation, provide coverings to protect products from damage due to traffic and construction operations. Remove coverings when no longer needed.
- H. Hazardous Materials: Prevent contamination of personnel, storage area, and Site. Meet requirements of product specification, codes, and manufacturer's instructions.

# PART 2 PRODUCTS

#### 2.01 GENERAL

- A. Provide manufacturer's standard materials suitable for service conditions, unless otherwise specified in the individual Specifications.
- B. Where product specifications include a named manufacturer, with or without model number, and also include performance requirements, named manufacturer's products must meet the performance specifications.
- C. Like items of products furnished and installed in the Work shall be end products of one manufacturer and of the same series or family of models to achieve standardization for appearance, operation and maintenance, spare parts and replacement, manufacturer's services, and implement same or similar process instrumentation and control functions in same or similar manner.
- D. Do not use materials and equipment removed from existing premises, except as specifically permitted by Contract Documents.
- E. Provide interchangeable components of the same manufacturer, for similar components, unless otherwise specified.
- F. Equipment, Components, Systems, and Subsystems: Design and manufacture with due regard for health and safety of operation, maintenance, and accessibility, durability of parts, and shall comply with applicable OSHA, state, and local health and safety regulations.
- G. Regulatory Requirement: Coating materials shall meet federal, state, and local requirements limiting the emission of volatile organic compounds and for worker exposure.
- H. Safety Guards: Provide for all belt or chain drives, fan blades, couplings, or other moving or rotary parts. Cover rotating part on all sides. Design for easy installation and removal. Use 16-gauge or heavier; galvanized steel, aluminum coated steel, or galvanized or aluminum coated 1/2-inch mesh expanded steel. Provide galvanized steel accessories and supports, including bolts. For outdoors application, prevent entrance of rain and dripping water.
- I. Authority Having Jurisdiction (AHJ):
  - 1. Provide the Work in accordance with NFPA 70, National Electrical Code (NEC). Where required by the AHJ, material and equipment shall be labeled or listed by a nationally recognized testing laboratory or other organization acceptable to the AHJ in order to provide a basis for approval under NEC.

COMMON PRODUCT REQUIREMENTS 01 61 00 - 4

- 2. Materials and equipment manufactured within the scope of standards published by Underwriters Laboratories, Inc. shall conform to those standards and shall have an applied UL listing mark.
- J. Equipment Finish:
  - 1. Provide manufacturer's standard finish and color, except where specific color is indicated.
  - 2. If manufacturer has no standard color, provide equipment with finish as approved by Owner.
- K. Special Tools and Accessories: Furnish to Owner, upon acceptance of equipment, all accessories required to place each item of equipment in full operation. These accessory items include, but are not limited to, adequate oil and grease (as required for first lubrication of equipment after field testing), light bulbs, fuses, hydrant wrenches, valve keys, handwheels, chain operators, special tools, and other spare parts as required for maintenance.
- L. Lubricant: Provide initial lubricant recommended by equipment manufacturer in sufficient quantity to fill lubricant reservoirs and to replace consumption during testing, startup, and operation until final acceptance by Owner.
- M. Components and Materials in Contact with Water for Human Consumption: Comply with the requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements. Provide certification by manufacturer or an accredited certification organization recognized by the Authority Having Jurisdiction that components and materials comply with the maximum lead content standard in accordance with NSF/ANSI 61 and NSF/ANSI 372.
  - 1. Use or reuse of components and materials without a traceable certification is prohibited.

## 2.02 FABRICATION AND MANUFACTURE

- A. General:
  - 1. Manufacture parts to U.S.A. standard sizes and gauges.
  - 2. Two or more items of the same type shall be identical, by the same manufacturer, and interchangeable.
  - 3. Design structural members for anticipated shock and vibratory loads.
  - 4. Use 1/4-inch minimum thickness for steel that will be submerged, wholly or partially, during normal operation.
  - 5. Modify standard products as necessary to meet performance Specifications.

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- B. Lubrication System:
  - 1. Require no more than weekly attention during continuous operation.
  - 2. Convenient and accessible; oil drains with bronze or stainless steel valves and fill-plugs easily accessible from the normal operating area or platform. Locate drains to allow convenient collection of oil during oil changes without removing equipment from its installed position.
  - 3. Provide constant-level oilers or oil level indicators for oil lubrication systems.
  - 4. For grease type bearings, which are not easily accessible, provide and install stainless steel tubing; protect and extend tubing to convenient location with suitable grease fitting.

## 2.03 SOURCE QUALITY CONTROL

- A. Where Specifications call for factory testing to be witnessed by Engineer, notify Engineer not less than 14 days prior to scheduled test date, unless otherwise specified.
- B. Calibration Instruments: Bear the seal of a reputable laboratory certifying instrument has been calibrated within the previous 12 months to a standard endorsed by the National Institute of Standards and Technology (NIST).
- C. Factory Tests: Perform in accordance with accepted test procedures and document successful completion.

# PART 3 EXECUTION

## 3.01 INSPECTION

A. Inspect materials and equipment for signs of pitting, rust decay, or other deleterious effects of storage. Do not install material or equipment showing such effects. Remove damaged material or equipment from the Site and expedite delivery of identical new material or equipment. Delays to the Work resulting from material or equipment damage that necessitates procurement of new products will be considered delays within Contractor's control.

# 3.02 MANUFACTURER'S CERTIFICATE OF COMPLIANCE

- A. When so specified, a Manufacturer's Certificate of Compliance, a copy of which is attached to this section, shall be completed in full, signed by entity supplying the product, material, or service, and submitted prior to shipment of product or material or execution of the services.
- B. Engineer may permit use of certain materials or assemblies prior to sampling and testing if accompanied by accepted certification of compliance.

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- C. Such form shall certify proposed product, material, or service complies with that specified. Attach supporting reference data, affidavits, and certifications as appropriate.
- D. May reflect recent or previous test results on material or product, if acceptable to Engineer.

### 3.03 INSTALLATION

- A. Equipment Drawings show general locations of equipment, devices, and raceway, unless specifically dimensioned.
- B. No shimming between machined surfaces is allowed.
- C. Install the Work in accordance with NECA Standard of Installation, unless otherwise specified.
- D. Repaint painted surfaces that are damaged prior to equipment acceptance.
- E. Do not cut or notch any structural member or building surface without specific approval of Engineer.
- F. Handle, install, connect, clean, condition, and adjust products in accordance with manufacturer's instructions, and as may be specified. Retain a copy of manufacturers' instruction at Site, available for review at all times.
- G. For material and equipment specifically indicated or specified to be reused in the Work:
  - 1. Use special care in removal, handling, storage, and reinstallation to assure proper function in the completed Work.
  - 2. Arrange for transportation, storage, and handling of products that require offsite storage, restoration, or renovation. Include costs for such Work in the Contract Price.

## 3.04 ADJUSTMENT AND CLEANING

A. Perform required adjustments, tests, operation checks, and other startup activities.

## 3.05 LUBRICANTS

A. Fill lubricant reservoirs and replace consumption during testing, startup, and operation prior to acceptance of equipment by Owner.

### 3.06 SUPPLEMENTS

- A. The supplement listed below, following "End of Section", is part of this Specification.
  - 1. Form: Manufacturer's Certificate of Compliance.

## **END OF SECTION**

# MANUFACTURER'S CERTIFICATE OF COMPLIANCE

OWNER:			
PROJECT NAME:	SUBMITTED:		
PROJECT NO:			
Comments:			
I hereby certify that the above-referenced pro Contract for the named Project will be furnis requirements. I further certify that the product specified and conform in all respects with the quantity shown.	shed in accordance with all applicable ct, material, or service are of the quality		
Date of Execution:	, 20		
Manufacturer:			
Manufacturer's Authorized Representative (	print):		

(Authorized Signature)

## SECTION 01 77 00 CLOSEOUT PROCEDURES

# PART 1 GENERAL

### 1.01 SUBMITTALS

- A. Informational Submittals:
  - 1. Submit prior to application for final payment.
    - a. Record Documents: As required in General Conditions.
    - b. Approved Shop Drawings: As required in the General Conditions.
    - c. Special bonds, Special Guarantees, and Service Agreements.
    - d. Consent of Surety to Final Payment: As required in General Conditions.
    - e. Releases or Waivers of Liens and Claims: As required in General Conditions.
    - f. Releases from Agreements.
    - g. Final Application for Payment: Submit in accordance with procedures and requirements stated in Section 01 29 00, Payment Procedures.
    - h. Extra Materials: As required by individual Specification sections.

## 1.02 RECORD DOCUMENTS

- A. Quality Assurance:
  - 1. Furnish qualified and experienced person, whose duty and responsibility shall be to maintain record documents.
  - 2. Accuracy of Records:
    - a. Coordinate changes within record documents, making legible and accurate entries on each sheet of Drawings and other documents where such entry is required to show change.
    - b. Purpose of Project record documents is to document factual information regarding aspects of the Work, both concealed and visible, to enable future modification of the Work to proceed without lengthy and expensive Site measurement, investigation, and examination.
  - 3. Make entries within 24 hours after receipt of information that a change in the Work has occurred.
  - 4. Prior to submitting each request for progress payment, request Engineer's review and approval of current status of record documents. Failure to properly maintain, update, and submit record documents may result in a deferral by Engineer to recommend whole or any part of Contractor's Application for Payment, either partial or final.

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# PART 2 PRODUCTS (NOT USED)

# PART 3 EXECUTION

## 3.01 MAINTENANCE OF RECORD DOCUMENTS

- A. General:
  - 1. Promptly following commencement of Contract Times, secure from Engineer at no cost to Contractor, one complete set of Contract Documents as indicated in paragraph 2.02.A of the Supplementary Conditions. Drawings will be full size.
  - 2. Label or stamp each record document with title, "RECORD DOCUMENTS," in neat large printed letters.
  - 3. Record information concurrently with construction progress and within 24 hours after receipt of information that change has occurred. Do not cover or conceal Work until required information is recorded.
- B. Preservation:
  - 1. Maintain documents in a clean, dry, legible condition and in good order. Do not use record documents for construction purposes.
  - 2. Make documents and Samples available at all times for observation by Engineer.
- C. Making Entries on Drawings:
  - 1. Using an erasable colored pencil (not ink or indelible pencil), clearly describe change by graphic line and note as required.
    - a. Color Coding:
      - 1) Green when showing information deleted from Drawings.
      - 2) Red when showing information added to Drawings.
      - 3) Blue and circled in blue to show notes.
  - 2. Date entries.
  - 3. Call attention to entry by "cloud" drawn around area or areas affected.
  - 4. Legibly mark to record actual changes made during construction, including, but not limited to:
    - a. Horizontal and vertical locations of existing and new Underground Facilities and appurtenances, and other underground structures, equipment, or Work. Reference to at least two measurements to permanent surface improvements.
    - b. Location of internal utilities and appurtenances concealed in the construction referenced to visible and accessible features of the structure.

- c. Locate existing facilities, piping, equipment, and items critical to the interface between existing physical conditions or construction and new construction.
- d. Changes made by Field Orders, Work Change Directive, Change Order, and Engineer's written interpretation and clarification using consistent symbols for each and showing appropriate document tracking number.
- 5. Dimensions on Schematic Layouts: Show on record drawings, by dimension, the centerline of each run of items such as are described in previous subparagraph above.
  - a. Clearly identify the item by accurate note such as "cast iron drain," "galv. water," and the like.
  - b. Show, by symbol or note, vertical location of item ("under slab," "in ceiling plenum," "exposed," and the like).
  - c. Make identification so descriptive that it may be related reliably to Specifications.

# 3.02 FINAL CLEANING

- A. At completion of the Work or of a part thereof and immediately prior to Contractor's request for certificate of Substantial Completion; or if no certificate is issued, immediately prior to Contractor's notice of completion, clean entire Site or parts thereof, as applicable.
  - 1. Leave the Work and adjacent areas affected in a cleaned condition satisfactory to Owner and Engineer.
  - 2. Remove grease, dirt, dust, paint or plaster splatter, stains, labels, fingerprints, and other foreign materials from exposed surfaces.
  - 3. Repair, patch, and touch up marred surfaces to specified finish and match adjacent surfaces.
  - 4. Broom clean exterior paved driveways and parking areas.
  - 5. Hose clean sidewalks, loading areas, and others contiguous with principal structures.
  - 6. Rake clean all other surfaces.
  - 7. Leave water courses, gutters, and ditches open and clean.
- B. Use only cleaning materials recommended by manufacturer of surfaces to be cleaned.

# **END OF SECTION**

### SECTION 01 78 23 OPERATION AND MAINTENANCE DATA

## PART 1 GENERAL

#### 1.01 SECTION INCLUDES

A. Detailed information for the preparation, submission, and Engineer's review of Operations and Maintenance (O&M) Data, as required by individual Specification sections.

#### 1.02 DEFINITIONS

- A. Preliminary Data: Initial and subsequent submissions for Engineer's review.
- B. Final Data: Engineer-accepted data, submitted as specified herein.
- C. Maintenance Operation: As used on Maintenance Summary Form is defined to mean any routine operation required to ensure satisfactory performance and longevity of equipment. Examples of typical maintenance operations are lubrication, belt tensioning, adjustment of pump packing glands, and routine adjustments.

#### 1.03 SEQUENCING AND SCHEDULING

- A. Equipment and System Data:
  - 1. Preliminary Data:
    - a. Do not submit until Shop Drawing for equipment or system has been reviewed and approved by Engineer.
    - b. Submit prior to shipment date.
  - 2. Final Data: Submit Instructional Manual Formatted data and Electronic Media Formatted data not less than 30 days prior to equipment or system field functional testing.

#### 1.04 DATA FORMAT

- A. Prepare preliminary data on electronic media. Prepare final data in both the form of an instructional manual and on electronic media.
- B. Instructional Manual Format:
  - 1. Binder: Commercial quality, permanent, three-ring or three-post binders with durable plastic cover.
  - 2. Size: 8-1/2 inches by 11 inches, minimum.

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- 3. Cover: Identify manual with typed or printed title "OPERATION AND MAINTENANCE DATA" and list:
  - a. Project title.
  - b. Designate applicable system, equipment, material, or finish.
  - c. Identity of separate structure as applicable.
  - d. Identify volume number if more than one volume.
  - e. Identity of equipment number and Specification section.
- 4. Spine:
  - a. Project title.
  - b. Identify volume number if more than one volume.
- 5. Title Page:
  - a. Contractor name, address, and telephone number.
  - b. Subcontractor, Supplier, installer, or maintenance contractor's name, address, and telephone number, as appropriate.
    - 1) Identify area of responsibility of each.
    - 2) Provide name and telephone number of local source of supply for parts and replacement.
- 6. Table of Contents:
  - a. Neatly typewritten and arranged in systematic order with consecutive page numbers.
  - b. Identify each product by product name and other identifying numbers or symbols as set forth in Contract Documents.
- 7. Paper: 20-pound minimum, white for typed pages.
- 8. Text: Manufacturer's printed data, or neatly typewritten.
- 9. Three-hole punch data for binding and composition; arrange printing so that punched holes do not obliterate data.
- 10. Material shall be suitable for reproduction, with quality equal to original. Photocopying of material will be acceptable, except for material containing photographs.
- C. Electronic Media Format:
  - 1. Portable Document Format (PDF): As specified in Section 01 33 00, Submittal Procedures, for electronic submittals.
    - a. After all preliminary data has been found to be acceptable to Engineer, submit final Operation and Maintenance data in PDF format on CD.
    - b. Files for final Operation and Maintenance data to be exact duplicates of Engineer-accepted preliminary data.
    - c. Files to be fully functional and viewable in most recent version of Adobe Acrobat.

#### 1.05 SUBMITTALS

## A. Informational:

- 1. Preliminary Data: Submission and distribution shall be as described in Section 01 33 00, Submittal Procedures.
- 2. Final Data: Submit two copies in instructional manual format specified herein plus one electronic copy.

### 1.06 DATA FOR EQUIPMENT AND SYSTEMS

- A. Content For Each Unit (or Common Units) and System:
  - 1. Product Data:
    - a. Include only those sheets that are pertinent to specific product.
    - b. Clearly annotate each sheet to:
      - 1) Identify specific product or part installed.
      - 2) Identify data applicable to installation.
      - 3) Delete references to inapplicable information.
    - c. Function, normal operating characteristics, and limiting conditions.
    - d. Performance curves, engineering data, nameplate data, and tests.
    - e. Complete nomenclature and commercial number of replaceable parts.
    - f. Original manufacturer's parts list, illustrations, detailed assembly drawings showing each part with part numbers and sequentially numbered parts list, and diagrams required for maintenance.
    - g. Spare parts ordering instructions.
    - h. Where applicable, identify installed spares and other provisions for future work (e.g., reserved panel space, unused components, wiring, terminals).
  - 2. As-installed, color-coded piping diagrams.
  - 3. Charts of valve tag numbers, with the location and function of each valve.
  - 4. Drawings: Supplement product data with Drawings as necessary to clearly illustrate:
    - a. Relations of component parts of equipment and systems.
    - b. Control and flow diagrams.
    - c. Coordinate drawings with Project record documents to assure correct illustration of completed installation.
  - 5. Instructions and Procedures: Within text, as required to supplement product data.
    - a. Format:
      - 1) Organize in consistent format under separate heading for each different procedure.

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- 2) Provide logical sequence of instructions for each procedure.
- 3) Provide information sheet for Owner's personnel, including:
  - a) Proper procedures in event of failure.
    - b) Instances that might affect validity of guarantee or Bond.
- b. Installation Instructions: Including alignment, adjusting, calibrating, and checking.
- c. Operating Procedures:
  - 1) Startup, break-in, routine, and normal operating instructions.
  - 2) Test procedures and results of factory tests where required.
  - 3) Regulation, control, stopping, and emergency instructions.
  - 4) Description of operation sequence by control manufacturer.
  - 5) Shutdown instructions for both short and extended duration.
  - 6) Summer and winter operating instructions, as applicable.
  - 7) Safety precautions.
  - 8) Special operating instructions.
- d. Maintenance and Overhaul Procedures:
  - 1) Routine maintenance.
  - 2) Guide to troubleshooting.
  - 3) Disassembly, removal, repair, reinstallation, and reassembly.
- 6. Guarantee, Bond, and Service Agreement: In accordance with Section 01 77 00, Closeout Procedures.
- B. Content for Each Electric or Electronic Item or System:
  - 1. Description of Unit and Component Parts:
    - a. Function, normal operating characteristics, and limiting conditions.
    - b. Performance curves, engineering data, nameplate data, and tests.
    - c. Complete nomenclature and commercial number of replaceable parts.
    - d. Interconnection wiring diagrams, including control and lighting systems.
  - 2. Circuit Directories of Panelboards:
  - 3. Electrical service.
  - 4. Control requirements and interfaces.
  - 5. Communication requirements and interfaces.
  - 6. List of electrical relay settings, and control and alarm contact settings.
  - 7. Electrical interconnection wiring diagram, including as applicable, single-line, three-line, schematic and internal wiring, and external interconnection wiring.
  - 8. As-installed control diagrams by control manufacturer.

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- 9. Operating Procedures:
  - a. Routine and normal operating instructions.
  - b. Startup and shutdown sequences, normal and emergency.
  - c. Safety precautions.
  - d. Special operating instructions.
- 10. Maintenance Procedures:
  - a. Routine maintenance.
  - b. Guide to troubleshooting.
  - c. Adjustment and checking.
  - d. List of relay settings, control and alarm contact settings.
- 11. Manufacturer's printed operating and maintenance instructions.
- 12. List of original manufacturer's spare parts, manufacturer's current prices, and recommended quantities to be maintained in storage.
- C. Maintenance Summary:
  - 1. Compile individual Maintenance Summary for each applicable equipment item, respective unit or system, and for components or sub-units.
  - 2. Format:
    - a. Use Maintenance Summary Form bound with this section or electronic facsimile of such.
    - b. Each Maintenance Summary may take as many pages as required.
    - c. Use only 8-1/2-inch by 11-inch size paper.
    - d. Complete using typewriter or electronic printing.
  - 3. Include detailed lubrication instructions and diagrams showing points to be greased or oiled; recommend type, grade, and temperature range of lubricants and frequency of lubrication.
  - 4. Recommended Spare Parts:
    - a. Data to be consistent with manufacturer's Bill of Materials/Parts List furnished in O&M manuals.
    - b. "Unit" is the unit of measure for ordering the part.
    - c. "Quantity" is the number of units recommended.
    - d. "Unit Cost" is the current purchase price.

#### 1.07 SUPPLEMENTS

- A. The supplement listed below, following "End of Section", is part of this Specification.
  - 1. Form: Maintenance Summary Form.

Crosstown and South Fayette WTPs Hoseless Solids Collection System

# PART 2 PRODUCTS (NOT USED)

# PART 3 EXECUTION (NOT USED)

# **END OF SECTION**

OPERATION AND MAINTENANCE DATA 01 78 23 - 6

# MAINTENANCE SUMMARY FORM

PROJECT:		CONTRACT NO.:		
1. EQUIPMEN	NT ITEM			
2. MANUFAC	CTURER			
3. EQUIPMEN	NT/TAG NUMBER(S)			
4. WEIGHT OF INDIVIDUAL COMPONENTS (OVER 100 POUNDS)				
5. NAMEPLA	TE DATA (hp, voltage, speed, etc.) _			
6. MANUFAC	CTURER'S LOCAL REPRESENTAT	IVE		
a.	Name	Telephone No		

b. Address

# 7. MAINTENANCE REQUIREMENTS

(i------

Maintenance Operation Comments	Frequency	Lubricant (If Applicable)
List briefly each maintenance operation required and refer to specific information in manufacturer's standard maintenance manual, if applicable. (Reference to manufacturer's catalog or sales literature is not acceptable.)	List required frequency of each maintenance operation.	Refer by symbol to lubricant required.

## 8. LUBRICANT LIST

Reference Symbol	Shell	Exxon Mobile	Chevron Texaco	BP Amoco	Or Equal
List symbols used in No. 7 above.	List equivalent lubricants, as distributed by each manufacturer for the specific use recommended.				

# 9. RECOMMENDED SPARE PARTS FOR OWNER'S INVENTORY.

Part No.	Description	Unit	Quantity	Unit Cost
Note: Identify parts provided by this Contract with two asterisks.				

OPERATION AND MAINTENANCE DATA 01 78 23 SUPPLEMENT - 2

### SECTION 01 88 15 ANCHORAGE AND BRACING

## PART 1 GENERAL

#### 1.01 SUMMARY

A. This section covers requirements for anchorage and bracing of equipment, distribution systems, and other nonstructural components required in accordance with the ICC 2018 International Building Code (IBC), for seismic, wind, gravity, soil, and operational loads.

#### 1.02 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Institute of Steel Construction (AISC) 360, Specification for Structural Steel Buildings.
  - 2. American Society of Civil Engineers (ASCE): ASCE 7, Minimum Design Loads for Buildings and Other Structures.
  - 3. International Code Council (ICC): International Building Code (IBC).

#### 1.03 DEFINITIONS

- A. Authority Having Jurisdiction (AHJ): Permitting building agency; may be a federal, state, local, or other regional department, or individual including building official, fire chief, fire marshal, chief of a fire prevention bureau, labor department, or health department, electrical inspector; or others having statutory authority. AHJ may be Owner when authorized to be self-permitting by governmental permitting agency or when no governmental agency has authority.
- B. Designated Seismic System: Electrical, and mechanical system or their components for which component importance factor is greater than 1.0.

#### 1.04 DESIGN AND PERFORMANCE REQUIREMENTS

#### A. General:

- 1. Anchorage and bracing systems shall be designed by a qualified professional engineer registered in the State of Georgia.
- 2. Design anchorage into concrete including embedment in accordance with ACI 318-14; Chapter 17 (or other industry standard approved by Engineer), and Project Specifications.
  - a. Unless otherwise noted, design for cracked concrete condition.

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- 3. Design anchorage and bracing of mechanical, and electrical components and systems in accordance with this section, unless a design is specifically provided within Contract Documents or where exempted hereinafter.
- 4. Design attachments, braces, and anchors for equipment, components, and distribution systems to structure for gravity, seismic, wind, and operational loading.
- 5. Provide supplementary framing where required to transfer anchorage and bracing loads to structure.
- 6. For components exempted from design requirements of this section, provide bolted, welded, or otherwise positively fastened attachments to supporting structure.
- B. Design Loads:
  - 1. Gravity: Design anchorage and bracing for self-weight and superimposed loads on components and equipment.
  - 2. Wind: Design anchorage and bracing for wind criteria provided on General Structural Notes on Drawings for exterior and wind-exposed mechanical and electrical equipment.
  - 3. Operational:
    - a. For loading supplied by equipment manufacturer for IBC required load cases.
    - b. Loads may include equipment vibration, torque, thermal effects, effects of internal contents (weight and sloshing), water hammer, and other load-inducing conditions.
    - c. Locate braces to minimize vibration to or movement of structure.
    - d. For vibrating loads, use anchors meeting requirements of 05 05 19, Post-Installed Anchors, for anchors with designated capacities for vibratory loading per manufacturer's ICC-ES report.
  - 4. Hydraulic: Design of anchorage for submerged gates and other mechanical equipment shall include hydrostatic and hydrodynamic loads determined in accordance with Section 15.7 of ASCE 7-16.
  - 5. Seismic: Design anchorage and bracing for Seismic criteria provided on General Structural Notes on Drawings

## 1.05 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings:
    - a. List of mechanical, and electrical equipment requiring Contractordesigned anchorage and bracing, unless specifically exempted.
    - b. Manufacturers' engineered seismic and non-seismic hardware product data.

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- c. Attachment assemblies' drawings including seismic attachments; include connection hardware, braces, and anchors or anchor bolts for nonexempt components, equipment, and systems.
- d. Submittal will be rejected if proposed anchorage method would create excessive stress to supporting member. Revise anchorages and strengthen structural support to eliminate overstressed condition.
- B. Informational Submittals:
  - 1. Anchorage and Bracing Calculations: For attachments, braces, and anchorages, include IBC and Project-specific criteria as noted on General Structural Notes on Drawings, in addition to manufacturer's specific criteria used for design; sealed by a civil engineer registered in the State of Georgia.
  - 2. Manufacturer's hardware installation requirements.
- C. Deferred Submittals: Submit deferred Action Submittals such as Shop Drawings with supporting deferred informational submittals such as calculations no less than 4 weeks in advance of installation of component, equipment or distribution system to be anchored to structure

### 1.06 SOURCE QUALITY CONTROL

A. Provide Source Quality Control for welding and hot-dip galvanizing of anchors in accordance with Section 05 50 00, Metal Fabrications.

## PART 2 PRODUCTS

- 2.01 GENERAL
  - A. Design and construct attachments and supports transferring seismic and nonseismic loads to structure of materials and products suitable for application and in accordance with design criteria shown on Drawings and nationally recognized standards.
  - B. Provide anchor bolts for anchorage of equipment to concrete or masonry in accordance with Section 05 50 00, Metal Fabrications. Provide anchor bolts of the size, minimum embedment, and spacing designated in calculations submitted by Contractor and accepted by Engineer.
  - C. Provide post-installed concrete and masonry anchors for anchorage of equipment to concrete or masonry in accordance with Section 05 05 19, Post-Installed Anchors. Provide post-installed anchors of the size, minimum embedment, and spacing designated in calculations submitted by Contractor and accepted by Engineer.

D. Do not use powder-actuated fasteners or sleeve anchors for seismic attachments and anchorage where resistance to tension loads is required. Do not use expansion anchors, other than undercut anchors, for nonvibration isolated mechanical equipment rated over 10 horsepower.

# PART 3 EXECUTION

#### 3.01 GENERAL

- A. Make attachments, bracing, and anchorage in such a manner that component lateral force is transferred to lateral force resisting system of structure through a complete load path.
- B. Design, provide, and install overall seismic anchorage system to provide restraint in all directions, including vertical, for each component or system so anchored.
- C. Calculations shall limit anchor bolt concrete edge distance to a maximum of 4 inches or as required to provide sufficient anchor bolt capacity to resist the applied loads.
- D. Provide piping anchorage that maintains design flexibility and expansion capabilities at flexible connections and expansion joints.
- E. Anchor tall and narrow equipment such as motor control centers and telemetry equipment at base and within 12 inches from top of equipment, unless approved otherwise by Engineer.
- F. Do not attach mechanical, or electrical components to more than one element of a building structure at a single restraint location where such elements may respond differently during a seismic event. Do not make such attachments across building expansion and contraction joints.

#### 3.02 INSTALLATION

A. Do not install components or their anchorages or restraints prior to review and acceptance by Engineer and AHJ.

#### 3.03 FIELD QUALITY ASSURANCE AND QUALITY CONTROL

- A. In accordance with Section 05 05 19, Post-Installed Anchors.
- B. Provide any other specified, regulatory required, or required repair verification inspection and testing that is not listed in Contract Documents.

## **END OF SECTION**

ANCHORAGE AND BRACING 01 88 15 - 4

## SECTION 01 91 14 EQUIPMENT TESTING AND STARTUP

# PART 1 GENERAL

#### 1.01 DEFINITIONS

- A. Functional Test: Test or tests in presence of Engineer and Owner to demonstrate that installed equipment meets manufacturer's installation, calibration, and adjustment requirements and other requirements as specified.
- B. Performance Test: Test or tests performed after any required functional test in presence of Engineer and Owner to demonstrate and confirm individual equipment meets performance requirements specified in individual sections.
- C. Unit Process: As used in this section, a unit process is a portion of the facility that performs a specific process function, such as the flocculation/sedimentation basins, including the associated hoseless settled solids collection system.
- D. Performance Demonstration: A demonstration, conducted by Contractor, with assistance of Owner, to demonstrate and document the performance of the settled solids collection system in each pair of sedimentation basins at each of the two water treatment plants, both manually and automatically (if required), based on criteria developed in conjunction with Owner and as accepted by Engineer.

#### 1.02 SUBMITTALS

- A. Informational Submittals:
  - 1. Functional test results.
  - 2. Startup and Performance Demonstration Plan.
- B. Action Submittals: Completed Unit Process Startup Form for each pair of hoseless settled solids collection units.

#### 1.03 STARTUP AND PERFORMANCE DEMONSTRATION PLAN

- A. Develop a written plan, in conjunction with Owner's operations personnel; to include the following:
  - 1. Step-by-step instructions for startup of the process.

- 2. Unit Process Startup Form (sample attached), to minimally include the following:
  - a. Description of the unit process, including equipment numbers/nomenclature of each item of equipment and all included devices.
  - b. Detailed procedure for startup of the unit process, including valves to be opened/closed, order of equipment startup, etc.
  - c. Startup requirements for each unit process, including water, power, chemicals, etc.
  - d. Space for evaluation comments.

# PART 2 PRODUCTS (NOT USED)

# PART 3 EXECUTION

### 3.01 GENERAL

- A. Startup Meetings: Schedule, in accordance with requirements of Section 01 31 19, Project Meetings, to discuss test schedule, test methods, materials, chemicals and liquids required, facilities operations interface, and Owner involvement.
- B. Contractor's Testing and Startup Representative:
  - 1. Designate and furnish one or more personnel to coordinate and expedite testing and startup.
  - 2. Representative(s) shall be present during startup meetings and shall be available at all times during testing and startup.
- C. Provide temporary valves, gauges, piping, test equipment and other materials and equipment required for testing and startup.
- D. Provide Subcontractor and equipment manufacturers' staff adequate to prevent delays. Schedule ongoing work so as not to interfere with or delay testing and startup.
- E. Owner will:
  - 1. Provide water, power, chemicals, and other items as required for startup, unless otherwise indicated.
  - 2. Operate process units and facility with support of Contractor.
  - 3. Provide labor and materials as required for laboratory analyses.

## 3.02 EQUIPMENT TESTING

- A. Preparation:
  - 1. Complete installation before testing.
  - 2. Furnish qualified manufacturers' representatives, when required by individual Specification sections.
  - 3. Obtain and submit from equipment manufacturer's representative Manufacturer's Certificate of Proper Installation Form, in accordance with Section 01 43 33, Manufacturers' Field Services, when required by individual Specification sections.
  - 4. Equipment Test Report Form: Provide written test report for each item of equipment to be tested, to include the minimum information:
    - a. Owner/Project Name.
    - b. Equipment or item tested.
    - c. Date and time of test.
    - d. Type of test performed (Functional or Performance).
    - e. Test method.
    - f. Test conditions.
    - g. Test results.
    - h. Signature spaces for Contractor and Engineer as witness.
  - 5. Cleaning and Checking: Prior to beginning functional testing:
    - a. Calibrate testing equipment in accordance with manufacturer's instructions.
    - b. Inspect and clean equipment, devices, connected piping, and structures to ensure they are free of foreign material.
    - c. Lubricate equipment in accordance with manufacturer's instructions.
    - d. Turn rotating equipment by hand when possible to confirm that equipment is not bound.
    - e. Open and close valves by hand and operate other devices to check for binding, interference, or improper functioning.
    - f. Check power supply to electric-powered equipment for correct voltage.
    - g. Adjust clearances and torque.
    - h. Test piping for leaks.
  - 6. Ready-to-test determination will be by Engineer based at least on the following:
    - a. Acceptable Operation and Maintenance Data.
    - b. Notification by Contractor of equipment readiness for testing.
    - c. Receipt of Manufacturer's Certificate of Proper Installation, if so specified.
    - d. Adequate completion of work adjacent to, or interfacing with, equipment to be tested.

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- e. Availability and acceptability of manufacturer's representative, when specified, to assist in testing of respective equipment.
- f. Satisfactory fulfillment of other specified manufacturer's responsibilities.
- g. Equipment and electrical tagging complete.
- h. Delivery of all spare parts and special tools.
- B. Functional Testing:
  - 1. Conduct as specified in individual Specification sections. Duration of Functional Test shall be as stated in the respective technical specification. If no specific duration is listed, test duration shall be as long as required to demonstrate functional operation of the equipment, as approved by the Engineer.
  - 2. Notify Owner and Engineer in writing at least 10 days prior to scheduled date of testing.
  - 3. Prepare Equipment Test Report summarizing test method and results.
  - 4. When, in Engineer's opinion, equipment meets functional requirements specified, such equipment will be accepted for purposes of advancing to performance testing phase, if so required by individual Specification sections. Such acceptance will be evidenced by Engineer/Owner's signature as witness on Equipment Test Report.
- C. Performance Testing:
  - 1. Conduct as specified in individual Specification sections.
  - 2. Notify Engineer and Owner in writing at least 10 days prior to scheduled date of test.
  - 3. Performance testing shall not commence until equipment has been accepted by Engineer as having satisfied functional test requirements specified.
  - 4. Duration of each Performance Test shall be as stated in the respective Technical Specification.
  - 5. Type of fluid, gas, or solid for testing shall be as specified.
  - 6. Unless otherwise indicated, furnish labor, materials, and supplies for conducting the test and taking samples and performance measurements.
  - 7. Prepare Equipment Test Report summarizing test method and results.
  - 8. When, in Engineer's opinion, equipment meets performance requirements specified, such equipment will be accepted as to conforming to Contract requirements. Such acceptance will be evidenced by Engineer's signature on Equipment Test Report.

### 3.03 STARTUP OF UNIT PROCESSES

- A. Prior to unit process startup, equipment within unit process shall be accepted by Engineer as having met functional and performance testing requirements specified.
- B. Make adjustments, repairs, and corrections necessary to complete unit process startup.
- C. Startup shall be considered complete when, in opinion of Engineer, unit process has operated in manner intended for 5 continuous days without significant interruption. This period is in addition to functional or performance test periods specified elsewhere.
- D. Significant Interruption: May include any of the following events:
  - 1. Failure of Contractor to provide and maintain qualified onsite startup personnel as scheduled.
  - 2. Failure to meet specified functional operation for more than 2 consecutive hours.
  - 3. Failure of any critical equipment or unit process that is not satisfactorily corrected within 5 hours after failure.
  - 4. Failure of any noncritical equipment or unit process that is not satisfactorily corrected within 8 hours after failure.
  - 5. As determined by Engineer.
- E. A significant interruption will require startup then in progress to be stopped. After corrections are made, startup test period to start from beginning again.

#### 3.04 PERFORMANCE DEMONSTRATION

- A. Demonstrate proper operation of required interfaces within and between individual unit processes.
- B. Document, as defined in Startup and Performance Demonstration Plan, the performance including interface with plant's computer system.

#### 3.05 SUPPLEMENTS

- A. Supplement listed below, following "End of Section," is a part of this Specification:
  - 1. Unit Process Startup Form.

## **END OF SECTION**

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## **UNIT PROCESS STARTUP FORM**

OWNER: PROJECT:
Unit Process Description: (Include description and equipment number of all equipment and devices):
Startup Procedure (Describe procedure for sequential startup and evaluation, including valves to be opened/closed, order of equipment startup, etc.):
Startup Requirements (Water, power, chemicals, etc.):
Evaluation Comments:

## SECTION 02 41 00 DEMOLITION

# PART 1 GENERAL

#### 1.01 DEFINITIONS

- A. Demolition: Dismantling, razing, destroying, or wrecking of any fixed building or structure or any part thereof. Demolition also includes removal of pipes, manholes tanks, conduit, and other underground facilities, whether as a separate activity or in conjunction with construction of new facilities.
- B. Modify: Provide all necessary material and labor to modify an existing item to the condition indicated or specified.
- C. Relocate: Remove, protect, clean and reinstall equipment, including electrical, instrumentation, and all ancillary components required to make the equipment fully functional, to the new location identified on the Drawings.
- D. Renovation: Altering a facility or one or more facility components in any way.
- E. Salvage/Salvageable: Remove and deliver, to the specified location(s), the equipment, building materials, or other items so identified to be saved from destruction, damage, or waste; such property to remain that of Owner. Unless otherwise specified, title to items identified for demolition shall revert to Contractor.

#### 1.02 SEQUENCING AND SCHEDULING

- A. Include the Work of this Specification in the progress schedule, as specified in Section 01 32 00, Construction Progress Documentation.
- B. Areas in which the Work is to be accomplished will be available in accordance with the following schedule:
  - 1. Demolition of the air compression system and associated electrical equipment at the Crosstown WTP shall not commence until the last pair of sedimentation basins are taken off-line for replacement of the solids collection system. The air compressor system at the South Fayette WTP, with the exception of compressed air piping from the system to the existing Trac-Vac units, is to be left in place and operational throughout construction.

# PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

- A. Utilities and Related Equipment:
  - 1. Remove existing utilities as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by Engineer.
  - 2. When utility lines are encountered that are not indicated on the Drawings, notify Engineer prior to further work in that area.
  - 3. Remove meters and related equipment and deliver to a location as determined by the Engineer.
- B. Concrete:
  - 1. Core drill corners of new opening to avoid overcutting adjacent reinforcing in existing concrete to remain. Saw concrete along straight lines to a depth of not less than 2 inches. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished Work, and the remaining concrete is sound.
  - 2. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete. Repair exposed rebar ends and embeds as shown on Drawings.
  - 3. Where new concrete adjoins existing concrete, thoroughly clean and mechanically roughen existing concrete surfaces to roughness profile of 3/16 inch. Rebar and small embeds at existing concrete may be required to be left to engage new concrete. Saturate surface with water for 24 hours prior to placing new concrete. The new Work shall tie into the existing construction as shown on Drawings.
- C. Patching:
  - 1. Where removals leave holes and damaged surfaces exposed in the finished Work, patch and repair to match adjacent finished surfaces as to texture and finish.
  - 2. Where new Work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new Work.
  - 3. Patching shall be as specified and indicated, and shall include: Fill holes and depressions left as a result of removals in existing masonry or concrete walls with an approved patching material, applied in accordance with the manufacturer's printed instructions.

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- D. Electrical:
  - 1. Cut off concealed or embedded conduit, boxes, or other materials a minimum of 3/4 inch below final finished surface.
  - 2. When removing designated equipment, conduit and wiring may require rework to maintain service to other equipment.
  - 3. Rework existing circuits, or provide temporary circuits as necessary during renovation to maintain service to existing lighting and equipment not scheduled to be renovated. Existing equipment and circuiting shown are based upon limited field surveys. Verify existing conditions, make all necessary adjustments, and record the Work on the Record Drawings. This shall include, but is not limited to, swapping and other adjustments to branch circuits and relocation of branch circuit breakers within panelboards as required to accomplish the finished work.
  - 4. Reuse of existing luminaires, devices, conduits, boxes, or equipment will be permitted only where specifically indicated.
  - 5. Raceways and cabling not scheduled for reuse.
  - 6. Inaccessibly Concealed: Cut off and abandon in place.
  - 7. Exposed or Concealed Above Accessible Ceilings: Remove.
  - 8. Raceways and Cabling Scheduled for Future Use: Cap/seal and tag.
  - 9. Relocating Equipment: Extend existing wiring or run new wiring from the source.
  - 10. Where the existing raceway is concealed, the outlet box shall be cleaned, and a blank cover plate installed.
  - 11. Where the concealed raceway is uncovered remove raceway (or extended to new location if appropriate).
  - 12. Provide new typewritten panelboard circuit directory cards.

## 3.02 PROTECTION

- A. Dust and Debris Control:
  - 1. Demolition rubbish and debris shall be collected and removed from property and disposed of at a legal certified off-site landfill.
  - 2. Collect and dispose of construction debris. Do not let debris, concrete, removed items and components fall into the adjacent basins. Contractor is required to provide measures to prevent debris from falling into the basins.
  - 3. Debris that falls into basins shall be retrieved and disposed of properly.
  - 4. Demolition shall be accomplished in a manner that meets all federal/state/local regulations and permit requirements and does not diminish the structural integrity of the existing structure.

- 5. Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution.
- 6. Sweep pavements as often as necessary to control the spread of debris that may result in foreign object damage potential to vehicular traffic.
- B. Existing Work:
  - 1. Survey the site and examine the Drawings and Specifications to determine the extent of the Work before beginning any demolition or renovation.
  - 2. Take necessary precautions to avoid damage to existing items scheduled to remain in place, to be reused, or to remain the property of Owner; any Contractor-damaged items shall be repaired or replaced as directed by Engineer.
  - 3. Ensure that structural elements are not overloaded as a result of or during performance of the Work. Responsibility for additional structural elements or increasing the strength of existing structural elements as may be required as a result of any Work performed under this Contract shall be that of the Contractor. Repairs, reinforcement, or structural replacement must have Engineer approval.
  - 4. Do not overload pavements to remain.
- C. Protection of Personnel:
  - 1. Provide temporary barricades and other forms of protection to protect Owner's personnel and the general public from injury due to demolition Work.
  - 2. Provide protective measures as required to provide free and safe passage of Owner's personnel and the general public to occupied portions of the structure.

## 3.03 BURNING

A. The use of burning at the Site for the disposal of refuse and debris will not be permitted.

#### 3.04 RELOCATIONS

A. Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Clean all items to be relocated prior to reinstallation, to the satisfaction of Engineer. Repair items to be relocated which are damaged or replace damaged items with new undamaged items as approved by Engineer.

# 3.05 TITLE TO MATERIALS

- A. All salvaged equipment will remain the property of Owner.
- B. With the exception of the following listed salvaged equipment, all items designated to be removed shall become the property of Contractor:
  - 1. The air compressor, receiver tank, and all associated appurtenances associated with the air compression system at the Crosstown Water Treatment Plant. (The compressed air system at the South Fayette Water Treatment Plant is to be left in place and operational.)

### 3.06 CLEANUP

A. Debris and rubbish shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

# **END OF SECTION**

## SECTION 05 05 19 POST-INSTALLED ANCHORS

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Concrete Institute (ACI):
    - a. 318, Building Code Requirements for Structural Concrete.
    - b. 355.2, Qualification of Post-Installed Mechanical Anchors in Concrete.
    - c. 355.4, Qualification of Post-Installed Adhesive Anchors in Concrete.
  - 2. American Iron and Steel Institute (AISI): Stainless Steel Type 316.
  - 3. American National Standards Institute (ANSI).
  - 4. ASTM International (ASTM):
    - a. A123/A123M, Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products.
    - b. A143, Practice for Safeguarding Against Embrittlement of Hot-Dip Galvanized Structural Steel Products and Procedure for Detecting Embrittlement.
    - c. A153/A153M, Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
    - d. A193/A193M, Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service.
    - e. A194/A194M, Specification for Carbon and Alloy Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both.
    - f. A380, Practice for Cleaning, Descaling, and Passivation of Stainless Steel Parts, Equipment, and Systems.
    - g. A385, Practice for Providing High-Quality Zinc Coatings (Hot-Dip).
    - h. A563, Specification for Carbon and Alloy Steel Nuts.
    - i. A780, Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings.
    - j. A967, Specification for Chemical Passivation Treatments for Stainless Steel Parts.
    - k. E488, Standard Test Methods for Strength of Anchors in Concrete Elements.
    - 1. F436, Specification for Hardened Steel Washers.
    - m. F568M, Specification for Carbon and Alloy Steel Externally Threaded Metric Fasteners.

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- n. F593, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
- o. F594, Specification for Stainless Steel Nuts.
- p. F1554, Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength.
- 5. International Association of Plumbing and Mechanical Officials Uniform ES (IAPMO-UES): Evaluation Reports for Concrete and Masonry Anchors.
- 6. International Code Council Evaluation Service (ICC-ES):
  - a. Evaluation Reports for Concrete and Masonry Anchors.
    - b. AC01, Acceptance Criteria for Expansion Anchors in Masonry Elements.
    - c. AC70, Acceptance Criteria for Fasteners Power-driven into Concrete, Steel and Masonry Elements.
    - d. AC106, Acceptance Criteria for Predrilled Fasteners (Screw Anchors) in Masonry Elements.
    - e. AC193, Acceptance Criteria for Mechanical Anchors in Concrete Elements.
    - f. AC308, Acceptance Criteria for Post-Installed Adhesive Anchors in Concrete Elements. Evaluation Reports for Concrete and Masonry Anchors.
- 7. NSF International (NSF): 61, Drinking Water System Components -Health Effects.
- 8. Specialty Steel Industry of North America (SSINA):
  - a. Specifications for Stainless Steel.
  - b. Design Guidelines for the Selection and Use of Stainless Steel.
  - c. Stainless Steel Fabrication.
  - d. Stainless Steel Fasteners.

#### 1.02 DEFINITIONS

- A. Corrosive Area: Containment area or area exposed to delivery, storage, transfer, or use of chemicals.
- B. Exterior Area: Location not protected from weather by a building or other enclosed structure to include buried roof structures.
- C. Interior Dry Area: Location inside building or structure where floor is not subject to liquid spills or wash down, and where wall or roof slab is not common to a water-holding or earth-retaining structure.
- D. Interior Wet Area: Location inside building or structure where floor is sloped to floor drains or gutters and is subject to liquid spills or wash down, or where wall, floor, or roof slab is common to a water-holding or earth-retaining structure.

E. Submerged: Location at or below top of wall of open water-holding structure, such as a basin or channel, or wall, ceiling, or floor surface inside a covered water-holding structure, or exterior belowgrade wall or roof surface of water-holding structure, open or covered.

# 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings: Specific instructions for concrete anchor installation, including drilled hole size and depth, preparation, placement, procedures, and instructions for safe handling of anchoring systems.
- B. Informational Submittals:
  - 1. Concrete Anchors:
    - a. Manufacturer's product description and installation instructions.
    - b. Current ICC-ES or IAPMO-UES Report for each type of postinstalled anchor to be used.
    - c. Adhesive Anchor Installer Certification.
  - 2. Passivation method for stainless steel members.

# 1.04 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Installers of adhesive anchors horizontally or upwardly inclined to support sustained tension loads shall be certified by an applicable certification program. Certification shall include written and performance tests in accordance with the ACI/CRSI Adhesive Installer Certification Program or equivalent.
  - 2. Galvanized Coating Applicator: Company specializing in hot-dip galvanizing after fabrication and following procedures of Quality Assurance Manual of the American Galvanizers Association.

## 1.05 DELIVERY, STORAGE, AND HANDLING

- A. Package stainless steel items in a manner to provide protection from carbon impregnation.
- B. Protect hot-dip galvanized finishes from damage as a result of metal banding and rough handling.

# PART 2 PRODUCTS

### 2.01 GENERAL

A. Unless otherwise indicated, meet the following requirements:

Item	ASTM Reference	
Stainless Steel:		
Threaded Rods	F593, AISI Type 316, Condition CW	
Nuts*	F594, AISI Type 316, Condition CW	
*Nuts of other grades and styles having specified proof load stresses greater		

\*Nuts of other grades and styles having specified proof load stresses greater than specified grade and style are also suitable. Nuts must have specified proof load stresses equal to or greater than minimum tensile strength of specified threaded rod.

B. Bolts, Washers, and Nuts: Use stainless steel material types as indicated in Fastener Schedule at end of this section.

# 2.02 POST-INSTALLED CONCRETE ANCHORS

- A. General:
  - 1. AISI Type 316 stainless, as shown in Fastener Schedule at end of this section.
  - 2. Post-installed anchor systems used in concrete shall be approved by ICC Evaluation Services Report or equivalent for use in cracked concrete and for short-term and long-term loads including wind and earthquake.
  - 3. Mechanical Anchors: Comply with the requirements of ICC-ES AC193 or ACI 355.2.
  - 4. Adhesive Anchors: Comply with the requirements of ICC-ES AC308 or ACI 355.4.
  - 5. Acceptable for use in potable water structures by EPA and local health agencies or NSF 61.
- B. Torque-Controlled Expansion Anchors (Wedge Anchors):
  - 1. Manufacturers and Products:
    - a. Hilti, Inc., Tulsa, OK; Kwik-Bolt –TZ (KB-TZ) Anchors (ESR-1917).
    - b. DeWalt/Powers Fasteners, Brewster, NY; Power-Stud +SD1, +SD2, +SD4, or +SD6 Anchors (ESR-2502 and ESR-2818).
    - c. Simpson Strong-Tie Co., Inc., Pleasanton, CA; Strong-Bolt 2 Anchors (ESR-1771 and ESR-3037).

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- C. Adhesive Anchors:
  - 1. Threaded Rod:
    - a. Diameter as shown on Drawings.
    - b. Length as required to provide minimum depth of embedment indicated and thread projection required.
    - c. Clean and free of grease, oil, or other deleterious material.
  - 2. Adhesive:
    - a. Two-component, insensitive to moisture, designed to be used in adverse freeze/thaw environments.
    - b. Cure Temperature, Pot Life, and Workability: Compatible for intended use and anticipated environmental conditions.
  - 3. Packaging and Storage:
    - a. Disposable, self-contained system capable of dispensing both components in proper mixing ratio and fitting into a manually or pneumatically operated caulking gun.
    - b. Store adhesive on pallets or shelving in a covered storage area.
    - c. Package Markings: Include manufacturer's name, product name, batch number, product expiration date, ANSI hazard classification, and appropriate ANSI handling precautions.
    - d. Dispose of When:
      - 1) Shelf life has expired.
      - 2) Stored other than in accordance with manufacturer's instructions.
  - 4. Manufacturers and Products:
    - a. Hilti, Inc., Tulsa, OK; HIT Doweling Anchor System, HIT RE 500 V3 (ESR-3814), or HIT-HY 200 (ESR-3187).
    - b. Simpson Strong-Tie Co., Inc., Pleasanton, CA; SET-XP Epoxy Adhesive Anchors (ESR-2508), or AT-XP Adhesive Anchors (IAPMO UES-263).
    - c. DeWalt/Powers Fasteners, Brewster NY; Pure 110+ Epoxy adhesive anchor system (ESR-3298).
- D. Adhesive Threaded Inserts:
  - 1. Type 316 stainless steel, internally threaded inserts.
  - 2. Manufacturer and Product: Hilti, Inc., Tulsa, OK; HIS-RN Insert with HIT-RE 500-V3 or HIT-HY 200 adhesive.

## PART 3 EXECUTION

- 3.01 CONCRETE ANCHORS
  - A. Begin installation only after concrete to receive anchors has attained design strength.

- B. Locate existing reinforcing with Ground Penetrating Radar or other method approved by Engineer prior to drilling. Coordinate with Engineer to adjust anchor locations where installation would result in hitting reinforcing.
- C. Install in accordance with written manufacturer's instructions.
- D. Provide minimum embedment, edge distance, and spacing as indicated on Drawings.
- E. Use only drill type and bit type and diameter recommended by anchor manufacturer.
- F. Clean hole of debris and dust per manufacturer's requirements.
- G. When unidentified embedded steel, rebar, or other obstruction is encountered in drill path, slant drill to clear obstruction. If drill must be slanted more than indicated in manufacturer's installation instructions to clear obstruction, notify Engineer for direction on how to proceed.
- H. Adhesive Anchors:
  - 1. Unless otherwise approved by Engineer and adhesive manufacturer:
    - a. Do not install adhesive anchors when temperature of concrete is below 40 degrees F or above 100 degrees F.
    - b. Do not install prior to concrete attaining an age of 21 days.
    - c. Remove any standing water from hole with oil-free compressed air. Inside surface of hole shall be dry.
    - d. Do not disturb anchor during recommended curing time.
    - e. Do not exceed maximum torque as specified in manufacturer's instructions.
- I. Prestressed Concrete: Do not use drilled-in anchors in prestressed or posttensioned concrete members without Engineer's prior approval unless specifically shown on Drawings.

#### 3.02 FIELD QUALITY ASSURANCE AND QUALITY CONTROL

A. Contractor-Furnished Quality Control: Inspection and testing as required in Section 01 45 16.13, Contractor Quality Control.

### 3.03 MANUFACTURER'S SERVICES

A. Adhesive and Mechanical Anchors: Conduct Site training of installation personnel for proper installation, handling, and storage of adhesive anchor system. Notify Engineer of time and place for sessions.

# 3.04 FASTENER SCHEDULE

A. Unless indicated otherwise on Drawings, provide fasteners as follows:

Service Use and Location	Product	Remarks	
<ol> <li>Post-Installed Anchors for Metal Components to Cast-in-Place Concrete (such as, Ladders, Handrail Posts, Electrical Panels, Platforms, and Equipment)</li> </ol>			
Interior Dry Areas	Stainless steel Anchor material type to match.	Verify product acceptability and manufacturer's requirements if anchor installation will occur in an overhead application	
Submerged, Exterior, Interior Wet, and Corrosive Areas	Stainless steel adhesive anchors	Verify product acceptability and manufacturer's requirements if anchor installation will occur in an overhead application	
2. All Others			
All service uses and locations	Stainless steel fasteners		

- B. Antiseizing Lubricant: Use on all stainless steel threads.
- C. Do not use adhesive anchors to support fire-resistive construction or where ambient temperature will exceed 120 degrees F.

# **END OF SECTION**

## SECTION 05 50 00 METAL FABRICATIONS

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. The Aluminum Association, Inc. (AA): The Aluminum Design Manual.
  - 2. American Galvanizers Association (AGA):
    - a. Inspection of Hot-Dip Galvanized Steel Products.
    - b. Quality Assurance Manual.
  - 3. American Iron and Steel Institute (AISI): Stainless Steel Types.
  - 4. American Ladder Institute (ALI): A14.3, Ladders Fixed Safety Requirements.
  - 5. American National Standards Institute (ANSI).
  - 6. American Society of Safety Engineers (ASSE): A10.11, Safety Requirements for Personnel and Debris Nets.
  - 7. American Welding Society (AWS):
    - a. D1.1/D1.1M, Structural Welding Code Steel.
    - b. D1.2/D1.2M, Structural Welding Code Aluminum.
    - c. D1.6/D1.6M, Structural Welding Code Stainless Steel.
  - 8. ASTM International (ASTM):
    - a. A36/A36M, Standard Specification for Carbon Structural Steel.
    - b. A48/A48M, Specification for Gray Iron Castings.
    - c. A53/A53M, Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless.
    - d. A108, Standard Specification for Steel Bar, Carbon and Alloy, Cold-Finished.
    - e. A123/A123M, Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products.
    - f. A143/A143M, Standard for Safeguarding Against Embrittlement of Hot-Dip Galvanized Structural Steel Products and Procedure for Detecting Embrittlement.
    - g. A153/A153M, Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
    - h. A193/A193M, Standard Specification for Alloy-Steel and Stainless Steel Bolting for High Temperature or High Pressure Service and Other Special Purpose Applications.
    - i. A194/A194M, Standard Specification for Carbon and Alloy Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both.

- j. A240/A240M, Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications.
- k. A276, Standard Specification for Stainless Steel Bars and Shapes.
- 1. A283/A283M, Standard Specification for Low and Intermediate Tensile Strength Carbon Steel Plates.
- m. A307, Standard Specification for Carbon Steel Bolts and Studs, 60,000 PSI Tensile Strength.
- n. A325, Standard Specification for Structural Bolts, Steel, Heat Treated 120/105 ksi Minimum Tensile Strength.
- o. A380, Standard Practice for Cleaning, Descaling, and Passivation of Stainless Steel Parts, Equipment, and Systems.
- p. A384/A384M, Standard Practice for Safeguarding Against Warpage and Distortion During Hot-Dip Galvanizing of Steel Assemblies.
- q. A385/A385M, Standard Practice for Providing High-Quality Zinc Coatings (Hot-Dip).
- r. A489, Standard Specification for Carbon Steel Lifting Eyes.
- s. A500/A500M, Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes.
- t. A501, Standard Specification for Hot-Formed Welded and Seamless Carbon Steel Structural Tubing.
- u. A563, Standard Specification for Carbon and Alloy Steel Nuts.
- v. A653/A653M, Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process.
- w. A780/A780, Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings.
- x. A786/A786M, Standard Specification for Hot-Rolled Carbon, Low-Alloy, High-Strength Low-Alloy, and Alloy Steel Floor Plates.
- y. A793, Standard Specification for Rolled Floor Plate, Stainless Steel.
- z. A967, Standard Specification for Chemical Passivation Treatments for Stainless Steel Parts.
- aa. A992/A992M, Standard Specification for Structural Steel Shapes.
- bb. A1085, Standard Specification for Cold-Formed Welded Carbon Steel Hollow Structural Sections (HSS).
- cc. B209, Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate.
- dd. B308/B308M, Standard Specification for Aluminum-Alloy 6061-T6 Standard Structural Profiles.

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- ee. B429/B429M, Standard Specification for Aluminum-Alloy Extruded Structural Pipe and Tube.
- ff. B632/B632M, Standard Specification for Aluminum-Alloy Rolled Tread Plate.
- gg. C881/C881M, Standard Specification for Epoxy-Resin-Base Bonding Systems for Concrete.
- hh. D1056, Standard Specification for Flexible Cellular Materials -Sponge or Expanded Rubber.
- ii. F436, Standard Specification for Hardened Steel Washers.
- jj. F468, Standard Specification for Nonferrous Bolts, Hex Cap Screws, and Studs for General Use.
- kk. F593, Standard Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
- 11. F594, Standard Specification for Stainless Steel Nuts.
- mm. F844, Standard Specification for Washers, Steel, Plain (Flat), Unhardened for General Use.
- nn. F1554, Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength.
- 9. NSF International (NSF): 61, Drinking Water System Components— Health Effects.
- 10. Occupational Safety and Health Administration (OSHA):
  - a. 29 CFR 1910.27, Fixed Ladders.
  - b. 29 CFR 1926.105, Safety Nets.
  - c. 29 CFR 1926.502, Fall Protection Systems Criteria and Practices.
- 11. Specialty Steel Industry of North America (SSINA):
  - a. Specifications for Stainless Steel.
  - b. Design Guidelines for the Selection and Use of Stainless Steel.
  - c. Stainless Steel Fabrication.
  - d. Stainless Steel Fasteners.

## 1.02 DEFINITIONS

- A. Anchor Bolt: Cast-in-place anchor; concrete or masonry.
- B. Corrosive Area: Containment area or area exposed to delivery, storage, transfer, or use of chemicals.
- C. Exterior Area: Location not protected from weather by building or other enclosed structure.
- D. Interior Dry Area: Location inside building or structure where floor is not subject to liquid spills or washdown, nor where wall or roof slab is common to a water-holding or earth-retaining structure.

- E. Interior Wet Area: Location inside building or structure where floor is sloped to floor drains or gutters and is subject to liquid spills or washdown, or where wall, floor, or roof slab is common to a water-holding or earth-retaining structure.
- F. Submerged: Location at or below top of wall of open water-holding structure, such as basin or channel, or wall, ceiling or floor surface inside a covered water-holding structure, or exterior belowgrade wall or roof surface of water-holding structure, open or covered.

## 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings: Metal fabrications, including welding and fastener information.
  - 2. Samples: Color samples of abrasive stair nosings.
- B. Informational Submittals: Passivation method for stainless steel members.

# 1.04 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Galvanized Coating Applicator: Company specializing in hot-dip galvanizing after fabrication and following procedures of Quality Assurance Manual of the American Galvanizers Association.

## 1.05 DELIVERY, STORAGE, AND HANDLING

- A. Insofar as practical, factory assemble specified items. Package assemblies, which have to be shipped unassembled to protect materials from damage and tag to facilitate identification and field assembly.
- B. Package stainless steel items to provide protection from carbon impregnation.
- C. Protect painted coatings and hot-dip galvanized finishes from damage as a result of metal banding and rough handling. Use padded slings and straps.
- D. Store fabricated items in dry area, not in direct contact with ground.

# PART 2 PRODUCTS

### 2.01 GENERAL

- A. For hot-dip galvanized steel that is exposed to view and does not receive paint, limit the combined phosphorus and silicon content to 0.04 percent. For steels that require a minimum of 0.15 percent silicon (such as plates over 1.5 inches thick for ASTM A36/A36M steel), limit maximum silicon content to 0.21 percent and phosphorous content to 0.03 percent.
- B. Unless otherwise indicated, meet the following requirements:

Item	ASTM Reference		
Steel Wide Flange Shapes	A992/992M		
Other Steel Shapes and Plates	A36/A36M or A572/A572M, Grade 50 or A992/A992M for other steel shapes		
Steel Pipe	A500, Grade B		
Hollow Structural Sections (HSS)	A500/A500M, Grade C		
Aluminum:			
Aluminum Plates	B209, Alloy y6061-T6		
Aluminum Structural Shapes	B308/B308M, Alloy 6061-T6		
Stainless Steel:			
Bars and Angles	A276, AISI Type 316 (316L for welded connections)		
Shapes	A276, AISI Type 304 (304L for welded connections)		
Steel Plate, Sheet, and Strip	A240/A240M, AISI Type 316 (316L for welded connections)		
Bolts, Threaded Rods, Anchor Bolts, and Anchor Studs	F593, AISI Type 316, Group 2, Condition SH		
Nuts	F594, AISI Type 316, Condition CW		
Steel Bolts and Nuts:			
Carbon Steel	A307 bolts, with A563 nuts		
High-Strength	A325, Type 1 bolts, with A563 nuts		

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Item	ASTM Reference
Anchor Bolts and Rods:	F1554, Grade 36 or 55, with weldability supplement S1.
Eyebolts	A489
Threaded Rods	A36/A36M
Flat Washers (Unhardened)	F844
Flat and Beveled Washers (Hardened)	F436
Thrust Ties for Steel Pipe:	
Threaded Rods	A193/A193M, Grade B7
Nuts	A194/A194M, Grade 2H
Plate	A283/A283M, Grade D
Welded Anchor Studs	A108, Grades C-1010 through C-1020
Aluminum Bolts and Nuts	F468, Alloy 2024-T4
Cast Iron	A48/A48M, Class 35

C. Bolts, Washers, and Nuts: Use stainless steel, hot-dip galvanized steel, zincplated steel, and aluminum material types as indicated in Fastener Schedule at end of this section.

# 2.02 ANCHOR BOLTS AND ANCHOR BOLT SLEEVES

- A. Cast-In-Place Anchor Bolts:
  - 1. Headed type, unless otherwise shown on Drawings.
  - 2. Material type and protective coating as shown in Fastener Schedule at end of this section.
- B. Anchor Bolt Sleeves:
  - 1. Plastic:
    - a. Single unit construction with corrugated sleeve.
    - b. Top of sleeve shall be self-threading to provide adjustment of threaded anchor bolt projection.
    - c. Material: High-density polyethylene.
  - 2. Fabricated Steel: ASTM A36/A36M.

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### 2.03 POST-INSTALLED CONCRETE AND MASONRY ANCHORS

A. See Section 05 05 19, Post-Installed Anchors.

### 2.04 ACCESSORIES

- A. Antiseizing Lubricant for Stainless Steel Threaded Connections:
  - 1. Suitable for potable water supply.
  - 2. Resists washout.
  - 3. Manufacturers and Products:
    - a. Bostik, Middleton, MA; Neverseez.
    - b. Saf-T-Eze Div., STL Corp., Lombard, IL; Anti-Seize.
- B. Neoprene Gasket:
  - 1. ASTM D1056, 2C1, soft, closed-cell neoprene gasket material, suitable for exposure to sewage and sewage gases, unless otherwise shown on Drawings.
  - 2. Thickness: Minimum 1/4 inch.
  - 3. Furnish without skin coat.
  - 4. Manufacturer and Product: Monmouth Rubber and Plastics Corporation, Long Branch, NJ; Durafoam DK1111LD.

## 2.05 FABRICATION

- A. General:
  - 1. Finish exposed surfaces smooth, sharp, and to well-defined lines.
  - 2. Furnish necessary rabbets, lugs, and brackets so work can be assembled in neat, substantial manner.
  - 3. Conceal fastenings where practical; where exposed, flush countersink.
  - 4. Drill metalwork and countersink holes as required for attaching hardware or other materials.
  - 5. Grind cut edges smooth and straight. Round sharp edges to small uniform radius. Grind burrs, jagged edges, and surface defects smooth.
  - 6. Fit and assemble in largest practical sections for delivery to Site.
- B. Materials:
  - 1. Use steel shapes, unless otherwise noted.
  - 2. Steel to be hot-dip galvanized: Limit silicon content to less than 0.04 percent or to between 0.15 percent and 0.25 percent.
  - 3. Fabricate aluminum in accordance with AA Specifications for Aluminum Structures–Allowable Stress Design.

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# C. Welding:

- 1. Weld connections and grind exposed welds smooth. When required to be watertight, make welds continuous.
- 2. Welded fabrications shall be free from twisting or distortion caused by improper welding techniques.
- 3. Steel: Meet fabrication requirements of AWS D1.1/D1.1M, Section 5.
- 4. Aluminum: Meet requirements of AWS D1.2/D1.2M.
- 5. Stainless Steel: Meet requirements of AWS D1.6/D1.6M.
- 6. Welded Anchor Studs: Prepare surface to be welded and weld with stud welding gun in accordance with AWS D1.1/D1.1M, Section 7, and manufacturer's instructions.
- 7. Complete welding before applying finish.
- D. Painting:
  - 1. Shop prime with rust-inhibitive primer.
  - 2. Coat surfaces of galvanized steel and aluminum fabricated items to be in direct contact with concrete, grout, masonry, or dissimilar metals.
  - 3. Do not apply protective coating to galvanized steel anchor bolts or galvanized steel welded anchor studs, unless indicated otherwise.
- E. Galvanizing:
  - Fabricate steel to be galvanized in accordance with ASTM A143/A143M, ASTM A384/A384M, and ASTM A385/A385M. Avoid fabrication techniques that could cause distortion or embrittlement of the steel.
  - 2. Provide venting and drain holes for tubular members and fabricated assemblies in accordance with ASTM A385/A385M.
  - 3. Remove welding slag, splatter, burrs, grease, oil, paint, lacquer, and other deleterious material prior to delivery for galvanizing.
  - 4. Remove by blast cleaning or other methods surface contaminants and coatings not removable by normal chemical cleaning process in the galvanizing operation.
  - 5. Hot-dip galvanize steel members, fabrications, and assemblies after fabrication in accordance with ASTM A123/A123M.
  - 6. Hot-dip galvanize bolts, nuts, washers, and hardware components in accordance with ASTM A153/A153M. Oversize holes to allow for zinc alloy growth. Shop assemble bolts and nuts.
  - 7. Galvanized steel sheets in accordance with ASTM A653/A653M.
  - 8. Galvanize components of bolted assemblies separately before assembly. Galvanizing of tapped holes is not required.

- F. Electrolytic Protection: Coat surfaces of galvanized steel and aluminum fabricated items to be in direct contact with concrete, grout, masonry, or dissimilar metals.
- G. Watertight Seal: Where required or shown, furnish neoprene gasket of a type that is satisfactory for use in in contact with potable water. Cover full bearing surfaces.
- H. Fitting: Where movement of fabrications is required or shown, cut, fit, and align items for smooth operation. Make corners square and opposite sides parallel.
- I. Accessories: Furnish as required for a complete installation. Fasten by welding or with stainless steel bolts or screws.

## 2.06 SOURCE QUALITY CONTROL

- A. Visually inspect all fabrication welds and correct deficiencies.
  - 1. Steel: AWS D1.1/D1.1M, Section 6 and Table 6.1, Visual Inspection Acceptance Criteria.
  - 2. Aluminum: AWS D1.2/D1.2M.
  - 3. Stainless Steel: AWS D1.6/D1.6M.

## PART 3 EXECUTION

## 3.01 INSTALLATION OF METAL FABRICATIONS

- A. General:
  - 1. Install metal fabrications plumb and level, accurately fitted, free from distortion or defects.
  - 2. Install rigid, substantial, and neat in appearance.
  - 3. Install manufactured products in accordance with manufacturer's recommendations.
  - 4. Obtain Engineer approval prior to field cutting steel members or making adjustments not scheduled.

#### B. Aluminum:

- 1. Do not remove mill markings from concealed surfaces.
- 2. Remove inked or painted identification marks on exposed surfaces not otherwise coated after installed material has been inspected and approved.
- 3. Fabrication, mechanical connections, and welded construction shall be in accordance with the AA Aluminum Design Manual.

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- C. Pipe Sleeves:
  - 1. Provide where pipes pass through concrete or masonry.
  - 2. Holes drilled with a rotary drill may be provided in lieu of sleeves in existing walls.
  - 3. Provide center flange for water stoppage on sleeves in exterior or waterbearing walls.
  - 4. Provide rubber caulking sealant or a modular mechanical unit to form watertight seal in annular space between pipes and sleeves.
- D. Steel Lintels and Shelf Angles: Provide as required for support of masonry and other construction not attached to structural steel framing, unless otherwise shown on Drawings.

## 3.02 CAST-IN-PLACE ANCHOR BOLTS

- A. Locate and hold anchor bolts in place with templates at time concrete is placed.
- B. Use anchor bolt sleeves for location adjustment and provide two nuts and one washer per bolt of same material as bolt.
- C. Minimum Bolt Size: 1/2-inch diameter by 12 inches long, unless otherwise shown.

#### 3.03 U-CHANNEL CONCRETE INSERTS

- A. Provide as indicated for pipe supports and where otherwise shown on Drawings.
- B. Except for interior dry areas, use plastic clips or similar dielectric material to isolate channel anchors from concrete reinforcing steel.

#### 3.04 ABRASIVE NOSINGS

A. Provide abrasive nosings on concrete steps not being supplied or coated with another type of nosing or nonskid material.

## 3.05 ELECTROLYTIC PROTECTION

- A. Aluminum and Galvanized Steel:
  - 1. Coat surfaces of galvanized steel and aluminum fabricated items to be in direct contact with concrete, grout, masonry, or dissimilar metals.
  - 2. Do not apply protective coating to galvanized steel anchor bolts or galvanized steel welded anchor studs, unless indicated otherwise.

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- 3. Allow coating to dry before installation of the material.
- 4. Protect coated surfaces during installation.
- 5. Should coating become marred, prepare and touch up in accordance with paint manufacturer's written instructions.
- B. Titanium: Where titanium equipment is in contact with concrete or dissimilar metal, provide full-face neoprene insulation gasket, 3/32-inch minimum thickness and 70-durometer hardness.
- C. Stainless Steel:
  - 1. During handling and installation, take necessary precautions to prevent carbon impregnation of stainless steel members.
  - 2. After installation, visually inspect stainless steel surfaces for evidence of iron rust, oil, paint, and other forms of contamination.
  - 3. Remove contamination using cleaning and passivation methods in accordance with requirements of ASTM A380 and ASTM A967.
  - 4. Brushes used to remove foreign substances shall utilize only stainless steel or nonmetallic bristles.
  - 5. After treatment, visually inspect surfaces for compliance.

## 3.06 FIELD QUALITY ASSURANCE AND QUALITY CONTROL

- A. Contractor-Furnished Quality Control:
  - 1. Inspection and testing required in Section 01 45 16.13, Contractor Quality Control.
  - 2. Manufacturer's Certificate of Compliance per Section 01 61 00, Common Product Requirements, for test results, or calculations, or drawings that ensure material and equipment design and design criteria meet requirements of Section 01 61 00, Common Product Requirements and Section 01 88 15, Anchorage and Bracing.

## 3.07 FASTENER SCHEDULE

A. Unless indicated otherwise on Drawings, provide fasteners as follows:

Service Use and Location	Product	Remarks
1. Anchor Bolts Cast Into Concrete for Equipment Bases		
Interior Dry Areas	Stainless steel headed anchor bolts, unless otherwise specified with equipment	

Service Use and Location	Product	Remarks	
Submerged, Exterior, Interior Wet, and Corrosive Areas	Stainless steel headed anchor bolts with fusion bonded coating, unless otherwise specified with equipment		
2. Post-Installed Anchors: See Section 05 05 19, Post-Installed Anchors			
3. Anchors Cast in Grout	-Filled Concrete Masonry U	nits	
Dry Areas	Hot-dip galvanized steel headed anchor bolts or zinc-plated steel sleeve anchors		
Exterior and Interior Wet Areas	Hot-dip galvanized steel headed anchor bolts, zinc-plated or stainless steel sleeve anchors		
4. Connections of Aluminum Components			
Submerged, Exterior and Interior Wet and Dry Areas	Stainless steel bolted connections, unless otherwise specified with equipment		
5. All Others			
Exterior and Interior Wet and Dry Areas	Stainless steel fasteners		

B. Antiseizing Lubricant: Use on stainless steel threads.

# **END OF SECTION**

## SECTION 26 05 01 ELECTRICAL

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Association of State Highway Transportation Officials (AASHTO).
  - 2. ASTM International (ASTM):
    - a. A167, Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip.
    - b. A240/A240M, Standard Specification for Heat-Resisting Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels.
    - c. A1011/A1011M, Standard Specification for Steel, Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy and High-Strength Low-Alloy with Improved Formability.
    - d. B8, Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft.
    - e. C857, Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures.
  - 3. Electronic Industries Association (EIA/TIA): 569, Commercial Building Standard for Telecommunications Pathways and Spaces.
  - 4. Federal Specifications (FS):
    - a. W-C-596, Connector, Electrical, Power, General Specification for.
    - b. W-S-896, Switch, Toggle (Toggle and Lock), Flush Mounted (General Specification).
  - 5. Institute of Electrical and Electronics Engineers, Inc. (IEEE):
    - a. C62.41, Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits.
    - b. PC62.41.1, Draft Guide on the Surge Environment in Low-Voltage (1,000 V and less) AC Power Circuits.
    - c. 112, Standard Test Procedure for Polyphase Induction Motors and Generators.
    - d. 114, IEEE Standard Test Procedure for Single-Phase Induction Motors.
  - 6. International Electrical Testing Association (NETA): ATS, Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
  - 7. National Electrical Contractor's Association, Inc. (NECA): 1, Standard Practices for Good Workmanship in Electrical Contracting.

- 8. National Electrical Manufacturers Association (NEMA):
  - a. C80.1, Rigid Steel Conduit-Zinc Coated.
  - b. C80.3, Electrical Metallic Tubing-Zinc Coated.
  - c. C80.6, Intermediate Metal Conduit-Zinc Coated (IMC).
  - d. 250, Enclosures for Electrical Equipment (1,000 Volts Maximum).
  - e. ICS 1, Industrial Control and Systems: General Requirements.
  - f. ICS 2, Industrial Control and Systems: Controllers, Contactors, and Overload Relays Rated Not More Than 2000 Volts AC or 750 Volts DC.
  - g. ICS 2.3, Industrial Control and Systems: Instructions for the Handling, Installation, Operation and Maintenance of Motor Control Centers.
  - h. MG 1, Motors and Generators.
  - i. PB 1, Panelboards.
  - j. RN 1, Polyvinyl Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit.
  - k. ST 20, Dry Type Transformers for General Applications.
  - 1. TC 2, Electrical Polyvinyl Chloride (PVC) Tubing and Conduit.
  - m. TC 3, PVC Fittings for Use with Rigid PVC Conduit and Tubing.
  - n. WC 55, Instrumentation Cables and Thermocouple Wire.
  - o. WC 70, Standard for Non-Shielded Power Cables Rated 2000 V or Less for the Distribution of Electrical Energy.
  - p. WD 1, General Color Requirements for Wiring Devices.
- 9. National Fire Protection Association (NFPA): 70, National Electrical Code (NEC).
- 10. Underwriters Laboratories, Inc. (UL):
  - a. 1, Flexible Metal Conduit.
  - b. 6, Electrical Rigid Metal Conduit—Steel.
  - c. 44, Thermoset Insulated Wires and Cables.
  - d. 62, Flexible Cord and Fixture Wire.
  - e. 67, Panelboards.
  - f. 98, Enclosed and Dead-Front Switches.
  - g. 198C, High Interrupting Capacity Fuses, Current Limiting Types.
  - h. 198E, Class R Fuses.
  - i. 360, Liquid-Tight Flexible Steel Conduit.
  - j. 486A, Wire Connectors and Soldering Lugs for Use with Copper Conductors.
  - k. 486C, Splicing Wire Connectors.
  - 1. 489, Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit Breaker Enclosures.
  - m. 508, Industrial Control Equipment.
  - n. 510, Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape.

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- o. 514B, Fittings for Cable and Conduit.
- p. 651, Schedule 40 and 80 PVC Conduit.
- q. 674, Electric Motors And Generators for use in Division 1 Hazardous (Classified) Locations.
- r. 797, Electrical Metallic Tubing.
- s. 854, Service-Entrance Cables.
- t. 870, Wireways, Auxiliary Gutters, and Associated Fittings.
- u. 943, Ground-Fault Circuit Interrupters.
- v. 1059, Terminal Blocks.
- w. 1277, Electrical Power and Control Tray Cables with Optional Optical-Fibre Members.
- x. 1449, Transient Voltage Surge Suppressors.
- y. 1561, Dry-Type General Purpose and Power Transformers.
- z. 2111, Overheating Protection for Motors.

#### 1.02 DEFINITIONS

- A. AHJ: Authority Having Jurisdiction.
- B. MOV: Metal Oxide Varistor.
- C. SVR: Surge Voltage Rating.
- D. SPD: Surge Protective Device.

#### 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Boxes and device plates.
  - 2. Junction and pullboxes.
  - 3. Precast handholes.
  - 4. Wiring devices.
  - 5. Panelboards and mini-power centers.
  - 6. Circuit breakers and switches.
  - 7. Motor-rated switches.
  - 8. Control devices, terminal blocks, and relays.
  - 9. Transformers.
  - 10. Support and framing channels.
  - 11. Nameplates and nameplate schedule.
  - 12. SPD equipment.
  - 13. Conduit, fittings, and accessories.
  - 14. Wireways.
  - 15. Conductors, cable, and accessories.
  - 16. Grounding materials.

- B. Informational Submittals:
  - 1. Anchorage and bracing calculations as required by Section 01 88 15, Anchorage and Bracing.
  - 2. Factory test reports.
  - 3. Field test reports.
  - 4. Signed permits indicating Work is acceptable to regulatory authorities having jurisdiction.
  - 5. Operation and Maintenance Data:
    - a. As specified in Section 01 78 23, Operation and Maintenance Data.
    - b. Provide for all equipment, as well as each device having features that can require adjustment, configuration, or maintenance.
    - c. Minimum information shall include manufacturer's preprinted instruction manual, one copy of the approved submittal information for the item, tabulation of any settings, and copies of any test reports.

## 1.04 APPROVAL BY AUTHORITY HAVING JURISDICTION

- A. Provide the Work in accordance with NFPA 70, National Electrical Code (NEC). Where required by the Authority Having Jurisdiction (AHJ), material and equipment shall be labeled or listed by a nationally recognized testing laboratory or other organization acceptable to the AHJ, in order to provide a basis for approval under the NEC.
- B. Materials and equipment manufactured within the scope of standards published by Underwriters Laboratories, Inc., shall conform to those standards and shall have an applied UL listing mark or label.

#### 1.05 QUALIFICATIONS

A. PVC-Coated, Rigid Steel Conduit Installer: Must be certified by conduit manufacturer as having received minimum 2 hours of training on installation procedures.

#### 1.06 ENVIRONMENTAL CONDITIONS

- A. The following areas are classified nonhazardous, wet, and corrosive. Use materials and methods required for such areas:
  - 1. Outdoor Sedimentation Basin Area.
  - 2. Crosstown Chemical Building Area.

- B. The following areas are classified nonhazardous and wet. Use materials and methods required for such areas: Outdoor abovegrade areas not covered above.
- C. The following areas are not classified. Use dust-tight and oil-tight NEMA 12 materials and methods: Electrical Room.

# PART 2 PRODUCTS

### 2.01 GENERAL

- A. Products shall comply with all applicable provisions of NFPA 70.
- B. Like Items of Equipment: End products of one manufacturer in order to achieve standardization for appearance, operation, maintenance, spare parts, and manufacturer's service.
- C. Equipment and Devices Installed Outdoors or in Unheated Enclosures: Capable of continuous operation within ambient temperature range of 0 degrees F to 104 degrees F.
- D. Equipment Finish: Manufacturer's standard finish color, except where specific color is indicated.

## 2.02 OUTLET AND DEVICE BOXES

- A. Cast Metal:
  - 1. Box: Cast ferrous metal.
  - 2. Cover: Gasketed, weatherproof, and cast ferrous metal with stainless steel screws.
  - 3. Hubs: Threaded.
  - 4. Lugs: Cast Mounting.
  - 5. Manufacturers and Products, Nonhazardous Locations:
    - a. Crouse-Hinds; Type FS or FD.
    - b. Appleton; Type FS or FD.
  - 6. Manufacturers and Products, Hazardous Locations:
    - a. Crouse-Hinds; Type GUA or EAJ.
    - b. Appleton; Type GR.
- B. PVC-Coated Cast Metal:
  - 1. Type: One-piece.
  - 2. Material: Malleable iron, cast ferrous metal, or cast aluminum.

- 3. Coating:
  - a. All Exterior Surfaces; 40 mils PVC.
  - b. All Interior Surfaces, 2 mils urethane.
- 4. Manufacturers:
  - a. Robroy Industries.
  - b. Ocal.

### 2.03 JUNCTION AND PULL BOXES

- A. Outlet Boxes Used as Junction or Pull Box: As specified under Article Outlet and Device Boxes.
- B. Conduit Bodies Used as Junction Boxes: As specified under Article Conduit and Fittings.
- C. Large Stainless Steel Box:
  - 1. NEMA 250, Type 4X.
  - 2. Box: 14-gauge, ASTM A240, Type 316 stainless steel.
  - 3. Cover: Hinged with clamps.
  - 4. Hardware and Machine Screws: ASTM A167, Type 316 stainless steel.
  - 5. Manufacturers:
    - a. Hoffman Engineering Co.
    - b. Robroy Industries.
- D. Concrete Box, Nontraffic Areas:
  - 1. Box: Reinforced, cast concrete with extension.
  - 2. Cover: Steel diamond plate with locking bolts.
  - 3. Cover Marking: ELECTRICAL, TELEPHONE, or as shown.
  - 4. Size: 10 inch by 17 inch (minimum).
  - 5. Manufacturer and Product: Utility Vault Co.; Series 36-1017PB, with cover DP.

## 2.04 WIRING DEVICES

- A. Switches:
  - 1. NEMA WD 1 and FS W-S-896.
  - 2. Industrial grade, totally enclosed, ac type, with quiet tumbler switches and screw terminals.
  - 3. Capable of controlling 100 percent tungsten filament and fluorescent lamp loads.
  - 4. Rating: 20 amps, 120/277 volts.

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- 5. Automatic grounding clip and integral grounding terminal on mounting strap.
- 6. Manufacturers and Products:
  - a. Leviton; 1221 Series.
  - b. Bryant; 4901 Series.
  - c. Hubbell; 1221 Series.
- B. Receptacle, Single and Duplex:
  - 1. NEMA WD 1 and FS W-C-596.
  - 2. Specification grade, two-pole, three-wire grounding type with screw type wire terminals suitable for No. 10 AWG.
  - 3. High strength, thermoplastic base color.
  - 4. Contact Arrangement: Contact to be made on two sides of each inserted blade without detent.
  - 5. Rating: 125 volts, NEMA WD 1, Configuration 5-20R, 20 amps.
  - 6. One-piece mounting strap with integral ground contact (rivetless construction).
  - 7. Manufacturers and Products:
    - a. Arrow Hart; 5262 Series.
    - b. Leviton; 5262/5362 Series.
    - c. Bryant; 5262/5362 Series.
    - d. Hubbell; 5262/5362 Series.
- C. Receptacle, Ground Fault Circuit Interrupter:
  - 1. Duplex, listed Class A to UL Standard 943, tripping at 5 mA.
  - 2. Rating: 125 volts, NEMA WD 1, Configuration 5-20R, 20 amps.
  - 3. Size: For 2-inch by 4-inch outlet boxes.
  - 4. Standard Model: NEMA WD 1, with screw terminals and provisions for testing.
  - 5. Feed-Through Model: NEMA WD 1, with feed-through screw terminals and provisions for testing.
  - 6. Impact resistant nylon face.
  - 7. Manufacturers:
    - a. Bryant.
    - b. Hubbell.
    - c. Leviton.

### 2.05 DEVICE PLATES

A. General: Sectional type plates not permitted.

- B. Plastic:
  - 1. Material: Specification grade, 0.10-inch minimum thickness, noncombustible, thermosetting.
  - 2. Color: To match associated wiring device.
  - 3. Mounting Screw: Oval-head metal, color matched to plate.
- C. Cast Metal:
  - 1. Material: Malleable ferrous metal with gaskets.
  - 2. Screw: Oval-head stainless steel.
- D. Engraved:
  - 1. Character Height: 1/8 inch.
  - 2. Filler: Black.
- E. Weatherproof:
  - 1. For Receptacles, Wet Locations:
    - a. Impact-resistant, nonmetallic, single-gang, horizontal-mounting, providing, while in-use, NEMA 3R rating.
    - b. Stainless steel mounting and hinge hardware.
    - c. Lockable, paintable.
    - d. Color: Gray.
    - e. Manufacturers:
      - 1) Carlon.
      - 2) Leviton.
  - 2. For Switches:
    - a. Gasketed, cast-metal or cast-aluminum, incorporating external operator for internal switch.
    - b. Mounting Screw: Stainless steel.
    - c. Manufacturers and Products:
      - 1) Crouse-Hinds; DS-181 or DS-185.
      - 2) Appleton; FSK-1VTS or FSK-1VS.

# 2.06 LIGHTING AND POWER DISTRIBUTION PANELBOARD

- A. NEMA PB 1, NFPA 70, and UL 67.
- B. Panelboards and Circuit Breakers: Suitable for use with 75 degrees C wire at full NFPA 70, 75 degrees C ampacity.
- C. Short-Circuit Current Equipment Rating: Fully rated; series connected unacceptable.

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- D. Rating: Applicable to a system with available short-circuit current of 10,000 amperes rms symmetrical at 208Y/120 or 120/240 volts and 50,000 amperes rms symmetrical at 480Y/277 volts.
- E. Cabinet:
  - 1. NEMA 250, Type 1, unless shown otherwise.
  - 2. Material: Code-gauge, hot-dip galvanized sheet steel with reinforced steel frame.
  - 3. Wiring Gutter: Minimum 4-inch square; both sides, top and bottom.
  - 4. Front: Fastened with adjustable clamps.
    - a. Trim Size: As required by mounting.
    - b. Finish: Manufacturer's standard.
  - 5. Interior:
    - a. Factory assembled; complete with circuit breakers.
    - b. Spaces: Cover openings with easily removable metal cover.
  - 6. Door Hinges: Concealed.
  - 7. Locking Device:
    - a. Flush type.
    - b. Doors Over 30 Inches in Height: Multipoint.
    - c. Identical keylocks, with two milled keys each lock.
  - 8. Circuit Directory: Metal frame with transparent plastic face and enclosed card on interior of door.
- F. Bus Bar:
  - 1. Material: Copper full sized throughout length.
  - 2. Neutral: Insulated, rated same as phase bus bars with at least one terminal screw for each branch circuit.
  - 3. Ground: Copper, installed on panelboard frame, bonded to box with at least one terminal screw for each circuit.
  - 4. Lugs and Connection Points:
    - a. Suitable for either copper or aluminum conductors.
    - b. Solderless main lugs for main, neutral, and ground bus bars.
    - c. Subfeed or through-feed lugs as shown.
- G. Circuit Breakers:
  - 1. UL 489.
  - 2. Thermal-magnetic, quick-make, quick-break, molded case, of indicating type showing ON/OFF and TRIPPED positions of operating handle.
  - 3. Type: Bolt-on circuit breakers in all panelboards.
  - 4. Multipole circuit breakers designed to automatically open all poles when an overload occurs on one pole.

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- 5. Do not use tandem or dual circuit breakers in normal single-pole spaces.
- 6. Ground Fault Circuit Interrupter (GFCI): UL Class A GFCI, 5 mA trip, and 10,000 amps interrupting capacity circuit breakers.
- 7. Ground Fault Equipment Protector (GFEP): 30 mA trip, 10,000 amps interrupting capacity circuit breaker, and UL listed for equipment ground fault protection.
- H. Manufacturers:
  - 1. General Electric Co.
  - 2. Eaton.
  - 3. Square D Co.

### 2.07 CIRCUIT BREAKER, INDIVIDUAL, 0 TO 600 VOLTS

- A. UL 489 listed for use at location of installation.
- B. Minimum Interrupt Rating: As shown.
- C. Thermal-magnetic, quick-make, quick-break, indicating type showing ON/OFF and TRIPPED indicating positions of operating handle.
- D. Suitable for use with 75 degrees C wire at full NFPA 70, 75 degrees C ampacity.
- E. Locking: Provisions for padlocking handle.
- F. Enclosure: As specified under Execution.
- G. Interlock: Enclosure and switch shall interlock to prevent opening cover with breaker in the ON position.
- H. Manufacturers:
  - 1. General Electric Co.
  - 2. Eaton.
  - 3. Square D Co.

#### 2.08 FUSED SWITCH, INDIVIDUAL, 0 TO 600 VOLTS

- A. UL 98 listed for use and location of installation.
- B. NEMA KS 1 and UL 98 Listed for application to system with available short-circuit current of 42,000 amps rms symmetrical.

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- C. Quick-make, quick-break, motor rated, load-break, heavy-duty (HD) type with external markings clearly indicating ON/OFF positions.
- D. Suitable for use with 75 degrees C wire at full NFPA 70, 75 degrees C ampacity.
- E. Fuse mountings shall reject Class H fuses and accept only current-limiting fuses specified.
- F. Enclosure: As specified under Execution.
- G. Interlock: Enclosure and switch to prevent opening cover with switch in ON position.
- H. Manufacturers:
  - 1. General Electric Co.
  - 2. Eaton.
  - 3. Square D Co.

### 2.09 NONFUSED SWITCH, INDIVIDUAL, 0 TO 600 VOLTS

- A. NEMA KS 1.
- B. Quick-make, quick-break, motor rated, load-break, heavy-duty (HD) type with external markings clearly indicating ON/OFF positions.
- C. Suitable for use with 75 degrees C wire at full NFPA 70, 75 degrees C ampacity.
- D. Enclosure: As specified under Execution.
- E. Interlock: Enclosure and switch to prevent opening cover with switch in the ON position.
- F. Manufacturers:
  - 1. General Electric Co.
  - 2. Eaton.
  - 3. Square D Co.

#### 2.10 SWITCH, MOTOR-RATED

A. Type: Two- or three-pole, manual motor starting/disconnect switch without overload protection.

- B. Enclosure/Mounting and Rating:
  - 1. General Purpose:
    - a. Totally enclosed snap-action switch. Quick-make, slow-break design with silver alloy contacts. Listed UL 508.
    - b. General Purpose Rating: 30 amperes, 600V ac.
    - c. Minimum Motor Ratings:
      - 1) 2 hp for 120V ac, single-phase, two-pole.
      - 2) 3 hp for 240V ac, single-phase, two-pole.
      - 3) 15 hp for 480V ac, three-phase, three-pole.
    - d. Screw-type terminals.

## C. Manufacturers:

- 1. General Purpose:
  - a. Bryant.
  - b. Hubbell.

## 2.11 FUSE, 0 TO 600 VOLTS

- A. Current-limiting, with 200,000 ampere rms interrupting rating.
- B. Provide to fit mountings specified with switches and features to reject Class H fuses.
- C. Motor and Transformer Circuits, 0 to 600 Volt:
  - 1. Amperage: 0 to 600.
  - 2. UL 198E, Class RK-1, dual element, with time delay.
  - 3. Manufacturers and Products:
    - a. Bussmann; Type LPS-RK.
    - b. Littelfuse, Inc.; Type LLS-RK.
- D. Feeder and Service Circuits, 0 to 600 Volt:
  - 1. Amperage: 0 to 600.
  - 2. UL 198E, Class RK-1, dual element, with time delay.
  - 3. Manufacturers and Products:
    - a. Bussmann; Type LPS-RK.
    - b. Littelfuse, Inc.; Type LLS-RK.
- E. Feeder and Service Circuits, 0 to 600 Volt:
  - 1. Amperage: 601 to 6,000.
  - 2. UL 198C, Class L, double O-rings and silver links.

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- 3. Manufacturers and Products:
  - a. Bussmann; Type KRP-C.
  - b. Littelfuse, Inc.; Type KLPC.

### 2.12 PUSHBUTTONS, INDICATING LIGHTS, AND SELECTOR SWITCHES

- A. Type: Heavy-duty, oiltight. Provide contact arrangements, colors, inscriptions, and functions as shown.
- B. Contact Rating: NEMA ICS 2, Type A600.
- C. Unless otherwise shown, provide the following features:
  - 1. Selector Switch Operating Lever: Standard.
  - 2. Indicating Lights: Push-to-test, transformer-type.
  - 3. Pushbutton Color:
    - a. ON or START: Black.
    - b. OFF or STOP: Red.
  - 4. Pushbuttons and selector switches lockable in OFF position where indicated.
- D. Legend Plate:
  - 1. Material: Aluminum.
  - 2. Engraving: Indicating specific function, or as shown.
  - 3. Letter Height: 7/64 inch.
- E. Manufacturers and Products:
  - 1. General Electric Co.; Type CR 104P.
  - 2. Square D Co.; Type T.
  - 3. Eaton; Type 10250T.

#### 2.13 TERMINAL BLOCKS

- A. Type: UL 1059. Compression screw clamp, with current bar providing direct contact with wire and yoke, with individual rail mounted terminals. Marking system shall permit use of preprinted or field-marked tags.
- B. Yokes and Clamping Screws: Zinc-plated, hardened steel.
- C. Rating:600V ac.
- D. Manufacturers:
  - 1. Weidmuller, Inc.
  - 2. Ideal.

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## 2.14 DRY TYPE POWER TRANSFORMERS (0- TO 600-VOLT PRIMARY)

- A. Type: Self-cooled, two-winding.
- B. UL 1561 and NEMA ST 20.
- C. Insulation Class, Temperature Rise, and Impedance: Manufacturer's standard.
- D. Core and Coil:
  - 1. 30 kVA or Less: Encapsulated.
  - 2. 37.5 kVA and Larger: Varnish impregnated.
- E. Enclosure:
  - 1. 30 kVA or Less: NEMA 250, Type 3R, nonventilated.
  - 2. 37.5 kVA and Larger: NEMA 250, Type 2, ventilated.
- F. Voltage Taps: Full capacity, 2-1/2 percent, two above and two below normal voltage rating.
- G. Sound Level: Not to exceed NEMA ST 20 levels.
- H. Vibration isolators to minimize and isolate sound transmission.
- I. Manufacturers:
  - 1. General Electric.
  - 2. Eaton.
  - 3. Square D.

## 2.15 SUPPORT AND FRAMING CHANNELS

- A. Stainless Steel Framing Channel: Rolled, ASTM A167, Type 316 stainless steel, 12 gauge.
- B. Manufacturers:
  - 1. B-Line Systems, Inc.
  - 2. Unistrut Corp.

### 2.16 NAMEPLATES

- A. Material: Laminated plastic.
- B. Attachment: Adhesive.

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- C. Color: Black, engraved to a white core, or as shown.
- D. Engraving:
  - 1. Devices and Equipment: Name or tag shown, or as required.
  - 2. Panelboards:
    - a. Designation.
    - b. Service voltage.
    - c. Phases.
  - 3. Minimum Requirement: Label metering and power distribution equipment, local control panels, junction boxes, motor controls, and transformers.
- E. Letter Height:
  - 1. Pushbuttons, Selector Switches, and Other Devices: 1/8 inch.
  - 2. Equipment and Panelboards: 1/4 inch.

## 2.17 CONDUIT AND FITTINGS

- A. Rigid Galvanized Steel Conduit (RGS):
  - 1. Meet requirements of NEMA C80.1 and UL 6.
  - 2. Material: Hot-dip galvanized, with chromated protective layer.
- B. PVC Schedule 40 Conduit:
  - 1. Meet requirements of NEMA TC 2 and UL 651.
  - 2. UL listed for concrete encasement, underground direct burial, concealed, or direct sunlight exposure, and 90 degrees C insulated conductors.
- C. PVC-Coated Rigid Galvanized Steel Conduit:
  - 1. Meet requirements of NEMA RN 1.
  - 2. Material:
    - a. Meet requirements of NEMA C80.1 and UL 6.
    - b. Exterior Finish : PVC coating, 40 mils nominal thickness, bond to metal shall have tensile strength greater than PVC.
    - c. Interior finish: Urethane coating, 2 mils nominal thickness.
  - 3. Threads: Hot-dipped galvanized and factory coated with urethane.
  - 4. Bendable without damage to either interior or exterior coating.

- D. Flexible Metal, Liquid-Tight Conduit:
  - 1. UL 360 listed for 105 degrees C insulated conductors.
  - 2. Material: Galvanized steel, with an extruded PVC jacket.
- E. Fittings:
  - 1. Provide bushings, grounding bushings, conduit hubs, conduit bodies, couplings, unions, conduit sealing fittings, drain seals, drain/breather fittings, expansion fittings, and cable sealing fittings, as applicable.
  - 2. Rigid Galvanized Steel Conduit:
    - a. Meet requirements of UL 514B.
    - b. Type: Threaded, galvanized.
  - 3. PVC Conduit:
    - a. Meet requirements of NEMA TC 3.
    - b. Type: PVC, slip-on.
  - 4. PVC-Coated Rigid Galvanized Steel Conduit:
    - a. Meet requirements of UL 514B.
    - b. Fittings: Rigid galvanized steel type, PVC-coated by conduit manufacturer.
    - c. Conduit Bodies: Cast metal hot-dipped galvanized or urethane finish. Cover shall be of same material as conduit body. PVC-coated by conduit manufacturer.
    - d. Finish: 40-mil PVC exterior, 2-mil urethane interior.
    - e. Overlapping pressure sealing sleeves.
    - f. Conduit Hangers, Attachments, and Accessories: PVC-coated.
    - g. Manufacturers:
      - 1) Robroy Industries.
        - 2) Ocal.
    - h. Expansion Fitting Manufacturer and Product: Ocal; Ocal-Blue XJG.
  - 5. Flexible Metal, Liquid-Tight Conduit:
    - a. Metal insulated throat connectors with integral nylon or plastic bushing rated for 105 degrees C.
    - b. Insulated throat and sealing O-rings.

## 2.18 CONDUIT ACCESSORIES

- A. Identification Devices:
  - 1. Raceway Tags:
    - a. Material: Permanent, nylon or polyethylene.
    - b. Shape: Round.
    - c. Raceway Designation: Pressure stamped, embossed, or engraved.
    - d. Tags relying on adhesives or taped-on markers not permitted.

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- 2. Warning Tape:
  - a. Material: Polyethylene, 4-mil gauge with detectable strip.
  - b. Color: Red.
  - c. Width: Minimum 3 inches.
  - d. Designation: Warning on tape that electric circuit is located below tape.
  - e. Identifying Letters: Minimum 1-inch high permanent black lettering imprinted continuously over entire length.
- B. Raceway Band:
  - 1. Slip-on Type:
    - a. Provide heat-shrinkable, black, medium-wall polyolefin tubing with factory-applied adhesive/sealant. Select product size based upon raceway outside diameter.
    - b. Manufacturer and Product: 3M; Type IMCSN, medium wall cable sleeve.
  - 2. Wrap-around Type:
    - a. Provide 4-inch width, 20-mil thickness, nonprinted black PVC corrosion protection tape with primer.
    - b. Manufacturer and Product: 3M; Type Scotchrap 51 with Scotchrap Pipe Primer.

## 2.19 CONDUCTORS AND CABLES

- A. Conductors 600 Volts and Below:
  - 1. Conform to applicable requirements of NEMA WC 71, WC 72, and WC 74.
  - 2. Conductor Type:
    - a. 120- and 277-Volt Lighting, No. 10 AWG and Smaller: Solid copper.
    - b. 120-Volt Receptacle Circuits, No. 10 AWG and Smaller: Solid copper.
    - c. All Other Circuits: Stranded copper.
  - 3. Insulation: Type THHN/THWN, except for sizes No. 6 and larger, with XHHW-2 insulation.
  - 4. Flexible Cords and Cables:
    - a. Type SOW-A/50 with ethylene propylene rubber insulation in accordance with UL 62.
    - b. Conform to physical and minimum thickness requirements of NEMA WC 70.

- B. 600-Volt Rated Cable:
  - 1. General:
    - a. Type TC, meeting requirements of UL 1277, including Vertical Tray Flame Test at 20,000 Btu per hour, and NFPA 70, Article 340, or UL 13 meeting requirements of NFPA 70, Article 725.
    - b. Permanently and legibly marked with manufacturer's name, maximum working voltage for which cable was tested, type of cable, and UL listing mark.
    - c. Suitable for installation in open air, in cable trays, or conduit.
    - d. Minimum Temperature Rating: 90 degrees C dry locations, 75 degrees C wet locations.
    - e. Overall Outer Jacket: PVC, flame-retardant, sunlight- and oil-resistant.
  - 2. Type 3, No. 16 AWG, Twisted, Shielded Pair, Instrumentation Cable: Single pair, designed for noise rejection for process control, computer, or data log applications meeting NEMA WC 55 requirements.
    - a. Outer Jacket: 45 mils nominal thickness.
    - b. Individual Pair Shield: 1.35 mils, double-faced aluminum/synthetic polymer overlapped to provide 100 percent coverage.
    - c. Dimension: 0.31-inch nominal outside diameter.
    - d. Conductors:
      - 1) Bare soft annealed copper, Class B, seven-strand concentric, meeting requirements of ASTM B8.
      - 2) 20 AWG, seven-strand tinned copper drain wire.
      - 3) Insulation: 15 mils nominal PVC.
      - 4) Jacket: 4 mils nominal nylon.
      - 5) Color Code: Pair conductors black and red.
    - e. Manufacturers: Okonite Co.
  - 3. Type CAT 6, Unshielded Twisted Pair (UTP) Telephone and Data Cable:
    - a. Category 6 UTP, UL listed, and third party verified to comply with TIA/EIA 568 C Category 6 requirements.
    - b. Suitable for high speed network applications including gigabit ethernet and video. Cable shall be interoperable with other standards compliant products and shall be backward compatible with Category 5 and Category 5e.
    - c. Provide four each individually twisted pair, 23 AWG conductors, with FEP insulation and blue PVC jacket.
    - d. NFPA 70 Plenum (CMP) rated; comply with flammability plenum requirements of NFPA 70 and NFPA 262.

- e. Cable shall withstand a bend radius of 1 inch minimum at a temperature of minus 20 degrees C maximum without jacket or insulation cracking.
- f. Manufacturer: Belden.
- C. Accessories:
  - 1. Tape:
    - a. General Purpose, Flame Retardant: 7 mils, vinyl plastic, Scotch Brand 33, rated for 90 degrees C minimum, meeting requirements of UL 510.
    - b. Flame Retardant, Cold and Weather Resistant: 8.5 mils, vinyl plastic, Scotch Brand 88.
    - c. Arc and Fireproofing:
      - 1) 30 mils, elastomer.
      - 2) Manufacturers and Products:
        - a) 3M; Scotch Brand 77, with Scotch Brand 69 glass cloth tapebinder.
        - b) Plymount; Plyarc 53, with Plyglas 77 glass cloth tapebinder.
  - 2. Identification Devices:
    - a. Sleeve-type, permanent, PVC, yellow or white, with legible machine-printed black markings.
    - b. Manufacturer and Products: Raychem; Type D-SCE or ZH-SCE.
  - 3. Connectors and Terminations:
    - a. Nylon, Self-Insulated Crimp Connectors:
      - 1) Manufacturers and Products:
        - a) Thomas & Betts; Sta-Kon.
        - b) Burndy; Insulug.
        - c) ILSCO.
  - 4. Self-Insulated, Freespring Wire Connector (Wire Nuts):
    - a. Plated steel, square wire springs.
    - b. UL Standard 486C.
    - c. Manufacturers and Products:
      - 1) Thomas & Betts.
      - 2) Ideal; Twister.
  - 5. Cable Lugs:
    - a. In accordance with NEMA CC 1.
    - b. Rated 600 volts of same material as conductor metal.
    - c. Uninsulated Crimp Connectors and Terminators:
      - 1) Suitable for use with 75 degrees C wire at full NFPA 70, 75 degrees C ampacity.

- 2) Manufacturers and Products:
  - a) Thomas & Betts; Color-Keyed.
  - b) Burndy; Hydent.
  - c) ILSCO.
- d. Uninsulated, Bolted, Two-Way Connectors and Terminators:
  - 1) Manufacturers and Products:
    - a) Thomas & Betts; Locktite.
    - b) Burndy; Quiklug.
    - c) ILSCO.
- 6. Cable Ties:
  - a. Nylon, adjustable, self-locking, and reusable.
  - b. Manufacturer and Product: Thomas & Betts; TY-RAP.
- 7. Heat Shrinkable Insulation:
  - a. Thermally stabilized, crosslinked polyolefin.
  - b. Manufacturer and Product: Thomas & Betts; SHRINK-KON.

#### 2.20 MOTORS

- A. Three-Phase:
  - 1. For multiple units of the same type of equipment, furnish identical motors and accessories of a single manufacturer.
  - 2. Meet requirements of NEMA MG 1.
  - 3. Provide motors for hazardous (classified) locations that conform to UL 674 and have an applied UL listing mark.
  - 4. Motors shall be specifically designed for use and conditions intended, with a NEMA design letter classification to fit application.
  - 5. Lifting lugs on motors weighing 100 pounds or more.
  - 6. Operating Conditions: Maximum ambient temperature not greater than 40 degrees C.
  - 7. Horsepower Rating: As designated in motor-driven equipment specifications. Brake horsepower of the driven equipment at any operating condition shall not exceed motor nameplate horsepower rating, excluding any service factor.
  - 8. Service Factor: 1.15 minimum at rated ambient temperature, unless otherwise shown.
  - 9. Voltage and Frequency Rating: 460V ac, 60 Hz, unless otherwise indicated in motor-driven equipment specifications.
  - 10. Suitable for full voltage starting. 100 hp and larger also suitable for reduced voltage starting with 65 percent or 80 percent voltage tap settings on reduced inrush motor starters.
  - 11. Efficiency and Power Factor: Provide premium efficiency units, except for under 1 hp, multispeed, or short-time rated motors, or motors driving gates, valves, elevators, cranes, trolleys, and hoists. Provide standard power factor.

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- 12. Insulation Systems: Unless otherwise indicated in motor-driven equipment specifications, Class B or Class F at nameplate horsepower and designated operating conditions, except EXP motors that shall be Class B with Class B rise.
- 13. Enclosures:
  - a. Open drip-proof, unless specified otherwise in the motor-driven equipment specification. Provide screens over air openings. Enclosures shall conform to NEMA MG 1.
  - b. TEFC and TENV: Furnish with a drain hole with porous drain/weather plug.
  - c. Equipment Finish: Manufacturer's standard.
- 14. Winding Thermal Protection:
  - a. Thermostats:
    - 1) Bi-metal disk or rod type thermostats embedded in stator windings.
    - 2) Automatic reset contacts rated 120V ac, 5 amps minimum, opening on excessive temperature.
    - 3) Leads extending to separate terminal box for motors 100 hp and larger.
- 15. Nameplates: In accordance with NEMA MG1.
- 16. Multispeed: Meet requirements for speeds, number of windings, and load torque classification indicated in the motor-driven equipment specifications.
- 17. Inverter Duty Motor:
  - a. Motor supplied power by adjustable frequency drives shall be inverter duty-rated.
  - b. Motor shall meet all applicable requirements of NEMA MG 1, Section IV, Part 30 and Part 31.
  - c. Motor shall be suitable for operation over entire speed range indicated.
  - d. Provide forced ventilation where speed ratio is greater than published range for motor being installed. Provide and coordinate fan power supply and motor control requirements with associated drive.
  - e. Motor installed in Division 1 hazardous (classified) locations shall be identified as acceptable for variable speed when used in a Division 1 location.
- B. Single-Phase:
  - 1. Provide induction-type unit meeting NEMA MG 1 requirements and suitable for application and mounting with the driven load. Motor shall be 115/230V ac, 60 Hz. Provide integral thermal protection and manufacturer's standard insulation system.

- 2. Horsepower rating: As specified under motor-driven equipment specification.
- 3. Single-speed: Single-winding. Speed as specified under motor-driven equipment specification.
- 4. Two-speed: Two-winding; speeds as specified under motor-driven equipment specification.
- 5. Enclosure: Open drip-proof, unless otherwise noted.
- C. Manufacturers:
  - 1. General Electric.
  - 2. Reliance Electric.
  - 3. U.S. Electrical Motors.
- D. Factory Testing:
  - 1. Tests:
    - a. In accordance with IEEE 112 for polyphase motors and IEEE 114 for single-phase motors.
    - b. Provide routine (production) tests on all motors in accordance with NEMA MG 1. Test multispeed motors at all speeds.
    - c. For premium efficiency motors, test efficiency and power factor at 50 percent, 75 percent, and 100 percent of rated horsepower:
      - 1) In accordance with IEEE 112, Test Method B, and NEMA MG 1, Paragraph 12.54 and Paragraph 12.57.
      - 2) For smaller motors, furnish a copy of a certified motor efficiency test report for identical motor.
  - 2. Test Report Forms:
    - a. Routine Tests: IEEE 112, Form A-1.
    - b. Efficiency and power factor by Test Method B, IEEE 112, Form A-2, and NEMA MG 1, Paragraph (table) 12.57.

## 2.21 GROUNDING

- A. Ground Rods: Provide copper-clad steel with minimum diameter of 5/8 inch, and length of 10 feet.
- B. Ground Conductors: As specified in Article Conductors and Cable.
- C. Connectors:
  - 1. Exothermic Weld Type:
    - a. Outdoor Weld: Suitable for exposure to elements or direct burial.
    - b. Indoor Weld: Use low-smoke, low-emission process.

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- c. Manufacturers:
  - 1) Erico Products, Inc.; Cadweld and Cadweld Exolon.
  - 2) Thermoweld.
- 2. Compression Type:
  - a. Compress-deforming type; wrought copper extrusion material.
  - b. Single indentation for conductors 6 AWG and smaller.
  - c. Double indentation with extended barrel for conductors 4 AWG and larger.
  - d. Single barrels prefilled with oxide-inhibiting and antiseizing compound.
  - e. Manufacturers:
    - 1) Burndy Corp.
    - 2) Thomas and Betts Co.
    - 3) ILSCO.
- 3. Mechanical Type:
  - a. Split-bolt, saddle, or cone screw type; copper alloy material.
  - b. Manufacturers:
    - 1) Burndy Corp.
    - 2) Thomas and Betts Co.

## 2.22 MODIFICATIONS TO EXISTING LOW VOLTAGE MOTOR CONTROL

- A. General:
  - 1. Make adjustments as necessary to wiring, conduit, disconnect devices, motor starters, branch circuit protection, and other affected material or equipment to accommodate motors and motor ratings actually provided.
  - 2. Existing MCC is GE 8000 Line. Work included new circuit breakers installed in existing Motor Control Center. New circuit breakers shall have minimum short circuit rating 65000 amps rms symmetrical.

## PART 3 EXECUTION

- 3.01 GENERAL
  - A. Install materials and equipment in accordance with manufacturer's instructions and recommendations.
  - B. Work shall comply with all applicable provisions of NECA 1.
  - C. Install materials and equipment in hazardous areas in a manner acceptable to regulatory authority having jurisdiction for the class, division, and group of hazardous areas shown.
  - D. Electrical Drawings show general locations of equipment, devices, and raceway, unless specifically dimensioned.

#### 3.02 DEMOLITION

### A. General Demolition:

- 1. Where shown, de-energize and disconnect nonelectrical equipment for removal by others.
- 2. Where shown, de-energize, disconnect, and remove electrical equipment.
- 3. Remove affected circuits and raceways back to serving panelboard or control panel. Where affected circuits are consolidated with others, remove raceways back to first shared condulet or box. Where underground or embedded raceways are to be abandoned, remove raceway to 1 inch below surface of structure or 12 inches belowgrade and restore existing surface.

### 3.03 PROTECTION FOLLOWING INSTALLATION

- A. Protect materials and equipment from corrosion, physical damage, and effects of moisture on insulation.
- B. Cap conduit runs during construction with manufactured seals.
- C. Close openings in boxes or equipment during construction.
- D. Energize space heaters furnished with equipment.

#### 3.04 OUTLET AND DEVICE BOXES

- A. Install suitable for conditions encountered at each outlet or device in wiring or raceway system, sized to meet NFPA 70 requirements.
- B. Size:
  - 1. Depth: Minimum 2 inches, unless otherwise required by structural conditions. Box extensions not permitted.
    - a. Hollow Masonry Construction: Install with sufficient depth such that conduit knockouts or hubs are in masonry void space.
  - 2. Ceiling Outlet: Minimum 4-inch octagonal sheet steel device box, unless otherwise required for installed fixture.
  - 3. Switch and Receptacle: Minimum 2-inch by 4-inch sheet steel device box.
- C. Locations:
  - 1. Drawing locations are approximate.
  - 2. To avoid interference with mechanical equipment or structural features, relocate outlets as directed by Engineer.
  - 3. Light Switch: Install on lock side of doors.

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- D. Mounting Height:
  - 1. General:
    - a. Dimensions given to centerline of box.
    - b. Where specified heights do not suit building construction or finish, mount as directed by Engineer.
    - Switches: 48 inches above floor.
  - 3. Receptacles:
    - a. General Indoor Areas: 15 inches above floor.
    - b. Outdoor, All Areas: 24 inches above finished grade.
- E. Install plumb and level.
- F. Flush Mounted:

2.

- 1. Install with concealed conduit.
- 2. Install proper type extension rings or plaster covers to make edges of boxes flush with finished surface.
- G. Support boxes independently of conduit by attachment to building structure or structural member.
  - 1. Outdoor Locations: Cast metal.
  - 2. Indoor Dry non-corrosive Locations:
    - a. Exposed Rigid Conduit: Cast metal.
  - 3. Indoor Wet or Corrosive Locations: PVC-coated cast metal with matching cover.
- H. Box Type, Corrosive Locations (PVC-Coated rigid Galvanized Steel Raceway System): PVC-coated cast metal with matching cover.

## 3.05 JUNCTION AND PULL BOXES

- A. Install where shown and where necessary to terminate, tap-off, or redirect multiple conduit runs.
- B. Install pull boxes where necessary in raceway system to facilitate conductor installation.
- C. Install in conduit runs at least every 150 feet or after the equivalent of three right-angle bends.
- D. Use outlet boxes as junction and pull boxes wherever possible and allowed by applicable codes.

- E. Use conduit bodies as junction and pull boxes where no splices are required and their use is allowed by applicable codes.
- F. Installed boxes shall be accessible.
- G. Do not install on finished surfaces.
- H. Install plumb and level.
- I. Support boxes independently of conduit by attachment to building structure or structural member.
- J. At or Belowgrade:
  - 1. Install boxes for belowgrade conduit flush with finished grade in locations outside of paved areas, roadways, or walkways.
  - 2. If adjacent structure is available, box may be mounted on structure surface just above finished grade in accessible but unobtrusive location.
  - 3. Obtain Engineer's written acceptance prior to installation in paved areas, roadways, or walkways.
  - 4. Use boxes and covers suitable to support anticipated weights.
- K. Mounting Hardware: Stainless steel.
- L. Location/Type:
  - 1. Indoor, Dry: NEMA 250, Type 1.
  - 2. Indoor and Outdoor, Wet or Corrosive: NEMA 250, Type 4X, stainless steel.
  - 3. Underground Conduit: Concrete.
  - 4. Corrosive: NEMA 250, Type 4X, stainless steel.
- M. Install Drain/breather fittings in NEMA 250, Type 4 and Type 4X enclosures.

## 3.06 PRECAST HANDHOLES

- A. Excavate, shore, brace, backfill, and final grade in accordance with Section 31 23 16, Excavation, and Section 31 23 23.15, Trench Backfill.
- B. Do not install until final raceway grading has been determined.
- C. Install such that raceways enter at nearly right angles and as near as possible to one end of wall, unless otherwise shown.

### 3.07 WIRING DEVICES

- A. Switches:
  - 1. Mounting Height: See Article Outlet and Device Boxes.
  - 2. Install with switch operation in vertical position.
  - 3. Install single-pole, two-way switches such that toggle is in up position when switch is on.

### B. Receptacles:

- 1. Ground receptacles to boxes with grounding wire only.
- 2. Weatherproof Receptacles:
  - a. Install in cast metal box.
  - b. Install such that hinge for protective cover is above receptacle opening.
- 3. Ground Fault Interrupter: Install feed-through model at locations where ground fault protection is specified for "downstream" conventional receptacles.

#### 3.08 DEVICE PLATES

- A. Securely fasten to wiring device; ensure a tight fit to box.
- B. Flush Mounted: Install with all four edges in continuous contact with finished wall surfaces without use of mats or similar materials. Plaster fillings will not be acceptable.
- C. Surface Mounted: Plate shall not extend beyond sides of box, unless plates have no sharp corners or edges.
- D. Install with alignment tolerance to box of 1/16 inch.
- E. Types (Unless Otherwise Shown):
  - 1. Outdoor: Weatherproof.
  - 2. Indoor:
    - a. Flush Mounted Boxes: Metal.
    - b. Surface Mounted, Metal Boxes: Cast.

#### 3.09 PANELBOARDS

- A. Install securely, plumb, in-line and square with walls.
- B. Install top of cabinet 6 feet above floor, unless otherwise shown.

- C. Provide typewritten circuit directory for each panelboard.
- D. Cabinet Location/Type:
  - 1. Indoor Dry: NEMA 250, Type 1.
  - 2. Wet or Outdoor: NEMA 250, Type 3R, Outdoor.
  - 3. Industrial Use in Areas Not Otherwise Classified: NEMA 250, Type 12, unless otherwise shown.

### 3.10 CIRCUIT BREAKERS AND SWITCHES

- A. Location and Enclosure Type:
  - 1. Wet or Outdoor: NEMA 250, Type 4X.
  - 2. Corrosive: NEMA 250, Type 4X.
  - 3. Wet and Corrosive: NEMA 250, Type 4X.
  - 4. Indoor Dry, Industrial Use: NEMA 250, Type 12.
  - 5. Indoor Dry, General Purpose: NEMA 250, Type 1.
  - 6. Where Denoted WP: NEMA 250, Type 3R.

### 3.11 SWITCH, MOTOR RATED

- A. Install with switch operation in vertical position such that toggle is in up position when ON.
- B. Install within sight of motor when used as a disconnect switch.
- C. Mounting Height: See Article Outlet and Device Boxes.
- D. Enclosure Type:
  - 1. General Purpose: See Articles Outlet and Device Boxes and Device Plates.
  - 2. Explosion-proof: See product specification.

#### 3.12 TERMINAL BLOCKS

A. Install for termination of control circuits entering or leaving equipment and local control panels.

#### 3.13 DRY TYPE POWER TRANSFORMERS (0- TO 600-VOLT PRIMARY)

- A. Load external vibration isolator such that no direct transformer unit metal is in direct contact with mounting surface.
- B. Provide moisture-proof flexible conduit for electrical connections.

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- C. Connect voltage taps to achieve (approximately) rated output voltage under normal plant load conditions.
- D. Provide wall brackets where required.

### 3.14 SUPPORT AND FRAMING CHANNELS

- A. Install where required for mounting and supporting electrical equipment and raceway systems.
- B. Channel Type:
  - 1. Interior, Dry Noncorrosive Locations: Carbon steel.
  - 2. Interior, Wet or Dry Corrosive Locations: Type 316 stainless steel.
  - 3. Outdoor, Corrosive Locations: Type 316 stainless steel.
- C. Paint carbon steel channel cut ends prior to installation with zinc-rich primer.

### 3.15 NAMEPLATES

A. Provide identifying nameplate on all equipment.

#### 3.16 SURGE PROTECTIVE DEVICE

A. Install in accordance with manufacturer's instructions, including lead length, overcurrent protection, and grounding.

#### 3.17 CONDUIT AND FITTINGS

- A. General:
  - 1. Crushed or deformed raceways not permitted.
  - 2. Maintain raceway entirely free of obstructions and moisture.
  - 3. Immediately after installation, plug or cap raceway ends with watertight and dust-tight seals until time for pulling in conductors.
  - 4. Sealing Fittings: Provide drain seal in vertical raceways where condensate may collect above sealing fitting.
  - 5. Avoid moisture traps where possible. When unavoidable in exposed conduit runs, provide junction box and drain fitting at conduit low point.
  - 6. Group raceways installed in same area.
  - 7. Follow structural surface contours when installing exposed raceways. Avoid obstruction of passageways.
  - 8. Run exposed raceways parallel or perpendicular to walls, structural members, or intersections of vertical planes.
  - 9. Block Walls: Do not install raceways in same horizontal course with reinforcing steel.

- 10. Install watertight fittings in outdoor, underground, or wet locations.
- 11. Paint threads and cut ends, before assembly of fittings, galvanized conduit, or PVC-coated galvanized conduit installed in exposed or damp locations with zinc-rich paint or liquid galvanizing compound.
- 12. Metal conduit to be reamed, burrs removed, and cleaned before installation of conductors, wires, or cables.
- 13. Do not install raceways in concrete equipment pads, foundations, or beams.
- 14. Horizontal raceways installed under floor slabs shall lie completely under slab, with no part embedded within slab.
- 15. Install concealed, embedded, and buried raceways so that they emerge at right angles to surface and have no curved portion exposed.
- 16. Install conduits for fiber optic cables, telephone cables, and Category 5 data cables in strict conformance with the requirements of EIA/TIA 569.
- B. Installation in Cast-in-Place Structural Concrete:
  - 1. Minimum cover 2 inches, including all fittings.
  - 2. Conduit placement shall not require changes in reinforcing steel location or configuration.
  - 3. Provide nonmetallic support during placement of concrete to ensure raceways remain in position.
  - 4. Conduit larger than 1 inch shall not be embedded in concrete slabs, walls, foundations, columns or beams, unless approved by Engineer.
  - 5. Slabs and Walls:
    - a. Trade size of conduit not to exceed one-fourth of the slab or wall thickness.
    - b. Install within middle two-fourths of slab or wall.
    - c. Separate conduit less than 2-inch trade size by a minimum ten times conduit trade size, center-to-center, unless otherwise shown.
    - d. Separate conduit 2 inches and greater trade size by a minimum eight times conduit trade size, center-to-center, unless otherwise shown.
    - e. Cross conduit at an angle greater than 45 degrees, with minimum separation of 1 inch.
    - f. Separate conduit by a minimum six times the outside dimension of expansion and deflection fittings at expansion joints.
    - g. Conduit shall not be installed below the maximum water surface elevation in walls of water holding structures.
  - 6. Columns and Beams:
    - a. Trade size of conduit not to exceed one-fourth of beam thickness.
    - b. Conduit cross-sectional area not to exceed 4 percent of beam or column cross-section.

- C. Conduit Application:
  - 1. Diameter: Minimum 3/4 inch.
  - 2. Outdoor, Exposed: PVC-coated rigid galvanized steel.
  - 3. Indoor, Dry and Noncorrosive, Exposed:
    - a. Rigid galvanized steel.
    - b. PVC-coated rigid galvanized steel.
  - 4. Direct Earth Burial: PVC-coated rigid galvanized steel.
  - 5. Under Slabs-On-Grade: PVC-coated rigid galvanized steel.
  - 6. Corrosive Areas: PVC-coated rigid galvanized steel.
- D. Connections:
  - 1. For motors-, wall-, or ceiling-mounted fans and unit heaters, dry type transformers, electrically operated valves, instrumentation, and other equipment where flexible connection is required to minimize vibration:
    - a. General: Flexible metal, liquid-tight conduit.
    - b. Wet or Corrosive Areas: Flexible metal liquid-tight.
    - c. Length: 18 inches minimum, 60 inches maximum, sufficient to allow movement or adjustment of equipment.
  - 2. Lighting Fixtures in Dry Areas: Flexible metal, liquid-tight conduit.
  - 3. Outdoor areas, process areas exposed to moisture, and areas required to be oiltight and dust-tight: Flexible metal, liquid-tight conduit.
  - 4. Transition From Underground or Concrete Embedded to Exposed: PVC-coated rigid steel conduit.
  - 5. Under Equipment Mounting Pads: PVC-coated rigid steel conduit.
- E. Penetrations:
  - 1. Make at right angles, unless otherwise shown.
  - 2. Notching or penetration of structural members, including footings and beams, not permitted.
  - 3. Fire-Rated Walls, Floors, or Ceilings: Firestop openings around penetrations to maintain fire-resistance rating using fire penetration seal:
    - a. Manufacturers and Products:
      - 1) 3M Corp.; Fire Barrier Caulk CP25 and Putty 303.
      - 2) General Electric; Pensil Sealant or Foam.
      - 3) Unifrax Corporation; Fyre Putty.
      - 4) Hilti US; CP 604.
  - 4. Concrete Walls, Floors, or Ceilings (Aboveground): Provide nonshrink grout dry-pack.
  - 5. Entering Structures:
    - a. General: Seal raceway at the first box or outlet with oakum or expandable plastic compound to prevent the entrance of gases or liquids from one area to another.

- b. Corrosive-Sensitive Areas:
  - 1) Seal all conduit passing through chlorine ammonia room walls.
  - 2) Seal conduit entering equipment panelboards and field panels containing electronic equipment.
  - 3) Seal penetration with Type 5 sealant:
    - a) Polyurethane base, single-component, moisture curing; ASTM C920, Type S, Grade NS or P, Class 25.
    - b) Capable of being continuously immersed in water.
    - c) Manufacturers and Products for Nonsag:
      - (1) Sika Chemical Corp.; Sikaflex 1a.
      - (2) Tremco; Vulkem 116.
    - d) Manufacturers and Products for Self-leveling:
      - (1) BASF; MasterSeal, SL 1.
      - (2) Tremco; Vulkem 45.
      - (3) Sika Chemical Corp.; Sikaflex 1c SL.
- c. Existing or Precast Wall (Underground): Core drill wall and install watertight entrance seal device.
- d. Handholes:
  - 1) Metallic Raceways: Provide insulated grounding bushings.
  - 2) Nonmetallic Raceways: Provide bell ends flush with wall.
- F. Support:
  - 1. Support from structural members only, at intervals not exceeding NFPA 70 requirements, and in any case not exceeding 10 feet. Do not support from piping, pipe supports, or other raceways.
  - 2. Multiple Adjacent Raceways: Provide ceiling trapeze. For trapeze-supported conduit, allow 20 percent extra space for future conduit.
  - 3. Application/Type of Conduit Strap:
    - a. Steel Conduit: Zinc-coated steel, pregalvanized steel, or malleable iron.
    - b. PVC-Coated Rigid Steel Conduit: PVC-coated metal.
    - c. Nonmetallic Conduit: Nonmetallic or PVC-coated metal.
  - 4. Provide and attach wall brackets, strap hangers, or ceiling trapeze as follows:
    - a. Wood: Wood screws.
    - b. Hollow Masonry Units: Toggle bolts.
    - c. Concrete or Brick: Expansion shields, or threaded studs driven in by powder charge, with lock washers and nuts.
    - d. Steelwork: Machine screws.

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- e. Location/Type of Hardware:
  - 1) Dry, Noncorrosive Areas: Galvanized.
  - 2) Wet, Noncorrosive Areas: Stainless steel.
  - 3) Corrosive Areas: Stainless steel.

## G. Bends:

- 1. Install concealed raceways with a minimum of bends in the shortest practical distance.
- 2. Make bends and offsets of longest practical radius. Bends in conduits and ducts being installed for fiber optic cables shall be not less than 20 times cable diameter, 15 inches minimum.
- 3. Install with symmetrical bends or cast metal fittings.
- 4. Avoid field-made bends and offsets, but where necessary, make with acceptable hickey or bending machine. Do not heat metal raceways to facilitate bending.
- 5. Make bends in parallel or banked runs from same center or centerline with same radius so that bends are parallel.
- 6. Factory elbows may be installed in parallel or banked raceways if there is change in plane of run and raceways are same size.
- 7. PVC Conduit:
  - a. Bends 30 Degrees and Larger: Provide factory-made elbows.
  - b. 90-Degree Bends: Provide rigid steel elbows, PVC coated where direct buried.
  - c. Use manufacturer's recommended method for forming smaller bends.
- 8. Flexible Conduit: Do not make bends that exceed allowable conductor bending radius of cable to be installed or that significantly restricts conduit flexibility.
- H. Expansion and Deflection Fittings: Provide on all raceways at structural expansion joints and in long tangential runs.
- I. PVC Conduit:
  - 1. Solvent Welding:
    - a. Provide manufacturer recommended solvent; apply to all joints.
    - b. Install such that joint is watertight.
  - 2. Adapters:
    - a. PVC to Metallic Fittings: PVC terminal type.
    - b. PVC to Rigid Metal Conduit or IMC: PVC female adapter.
  - 3. Belled-End Conduit: Bevel the unbelled end of the joint prior to joining.

- J. PVC-Coated Rigid Steel Conduit:
  - 1. Install in accordance with manufacturer's instructions.
  - 2. All tools and equipment used in the cutting, bending, threading, and installation of PVC-coated rigid steel conduit shall be designed to limit damage to the PVC coating.
  - 3. Provide PVC boot to cover all exposed threading.
- K. Termination at Enclosures:
  - 1. Cast Metal Enclosure: Provide manufacturer's premolded insulating sleeve inside metallic conduit terminating in threaded hubs.
  - 2. Nonmetallic, Cabinets, and Enclosures: Terminate conduit in threaded conduit hubs, maintaining enclosure integrity.
  - 3. Sheet Metal Boxes, Cabinets, and Enclosures:
    - a. Rigid Galvanized Conduit:
      - 1) Provide one lock nut each on inside and outside of enclosure.
      - 2) Install grounding bushing.
      - Provide bonding jumper from grounding bushing to equipment ground bus or ground pad; if neither ground bus nor pad exists, connect jumper to lag bolt attached to metal enclosure.
      - 4) Install insulated bushing on ends of conduit where grounding is not required.
      - 5) Provide insulated throat when conduit terminates in sheet metal boxes having threaded hubs.
      - 6) Utilize sealing locknuts or threaded hubs on outside of NEMA 3R and NEMA 12 enclosures.
      - 7) Terminate conduits with threaded conduit hubs at NEMA 4 and 4X boxes and enclosures.
    - b. Flexible Metal Conduit: Provide two-screw type, insulated, malleable iron connectors.
    - c. PVC-Coated Rigid Galvanized Steel Conduit: Provide PVC-coated, liquid-tight, metallic connector.
    - d. PVC Schedule 40 Conduit: Provide PVC terminal adapter with locknut.
  - 4. Free-Standing Enclosures:
    - a. Terminate metal conduit entering bottom with grounding bushing; provide a grounding jumper extending to equipment ground bus or grounding pad.
    - b. Terminate PVC conduit entering bottom with bell end fittings.

- L. Underground Raceways:
  - 1. Grade: Maintain minimum grade of 4 inches in 100 feet, either from one manhole, handhole, or pull box to the next, or from a high point between them, depending on surface contour.
  - 2. Cover: Maintain minimum 2-foot cover above conduit, unless otherwise shown.
  - 3. Make routing changes as necessary to avoid obstructions or conflicts.
  - 4. Couplings: In multiple conduit runs, stagger so couplings in adjacent runs are not in same transverse line.
  - 5. Union type fittings not permitted.
  - 6. Spacers:
    - a. Provide preformed, nonmetallic spacers, designed for such purpose, to secure and separate parallel conduit runs in a trench.
    - b. Install at intervals not greater than that specified in NFPA 70 for support of the type conduit used, but in no case greater than 10 feet.
  - 7. Support conduit so as to prevent bending or displacement during backfilling.
  - 8. Installation with Other Piping Systems:
    - a. Crossings: Maintain minimum 12-inch vertical separation.
    - b. Parallel Runs: Maintain minimum 12-inch separation.
    - c. Installation over valves or couplings not permitted.
  - 9. Metallic Raceway Coating: Along entire length, coat with raceway coating.
  - 10. Provide expansion fittings that allow minimum of 4 inches of movement in vertical conduit runs from underground where exposed conduit will be fastened to or will enter building or structure.
  - 11. Provide deflectional/expansion fittings in conduit runs that exit building or structure belowgrade. Conduit from building wall to fitting shall be PVC-coated rigid steel.
  - 12. Backfill: As specified in Section 31 23 23.15, Trench Backfill.
- M. Empty Raceways:
  - 1. Provide permanent, removable cap over each end.
  - 2. Provide PVC plug with pull tab for underground raceways with end bells.
  - 3. Provide nylon pull cord.
  - 4. Identify, as specified in Article Identification Devices, with waterproof tags attached to pull cord at each end, and at intermediate pull point.

- N. Identification Devices:
  - 1. Raceway Tags:
    - a. Identify origin and destination.
    - b. Install at each terminus, near midpoint, and at minimum intervals of every 50 feet of exposed raceway, whether in ceiling space or surface mounted.
    - c. Provide nylon strap for attachment.
  - 2. Warning Tape: Install approximately 12 inches above underground or concrete-encased raceways. Align parallel to, and within 12 inches of, centerline of runs.
- O. Raceway Band: Install wherever metallic conduit emerges from concrete slabs. Not required with PVC-coated RGS conduit. Center band at slab surface and install according to manufacturer's instructions.
  - 1. Slip-on Type: Clean conduit surface at installation location. Cut tubing to 4-inch minimum lengths and slip onto raceway prior to slab placement and termination of conduit. Heat-shrink onto conduit.
  - 2. Wrap-around Type: Use where slip-on access to conduit is not possible. Clean conduit surface at installation location. Apply primer. Apply wraps to provide two layers of tape. Neatly finish tape end to prevent unraveling.

## 3.18 METAL WIREWAYS

- A. Install in accordance with manufacturer's instructions.
- B. Locate with cover on accessible vertical face of wireway, unless otherwise shown.

#### 3.19 CONDUCTORS AND CABLES

- A. Conductor storage, handling, and installation shall be in accordance with manufacturer's recommendations.
- B. Do not exceed manufacturer's recommendations for maximum pulling tensions and minimum bending radii.
- C. Conduit system shall be complete prior to drawing conductors. Lubricate prior to pulling into conduit. Lubrication type shall be as approved by conductor manufacturer.
- D. Terminate all conductors and cables, unless otherwise shown.

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- E. Do not splice conductors, unless specifically indicated or approved by Engineer.
- F. Bundling: Where single conductors and cables in manholes, handholes, vaults, cable trays, and other indicated locations are not wrapped together by some other means, bundle conductors from each conduit throughout their exposed length with cable ties placed at intervals not exceeding 12 inches.
- G. Wiring within Equipment and Local Control Panels: Remove surplus wire, dress, bundle, and secure.
- H. Power Conductor Color Coding:
  - 1. No. 6 AWG and Larger: Apply general purpose, flame retardant tape at each end, and at accessible locations wrapped at least six full overlapping turns, covering an area 1-1/2 inches to 2 inches wide.
  - 2. No. 8 AWG and Smaller: Provide colored conductors.
  - 3. Colors:
    - a. Neutral Wire: White.
    - b. Live Wires, 120/240-Volt, Single-Phase System: Black, red.
    - c. Live Wires, 120/208-Volt, Three-Phase System: Black, red, or blue.
    - d. Live Wires, 277/480-Volt, Three-Phase System: Brown, orange, or yellow.
    - e. Ground Wire: Green.
- I. Circuit Identification:
  - 1. Assign circuit name based on device or equipment at load end of circuit. Where this would result in same name being assigned to more than one circuit, add number or letter to each otherwise identical circuit name to make it unique.
  - 2. Method: Identify with sleeves. Taped-on markers or tags relying on adhesives not permitted.
- J. Connections and Terminations:
  - 1. Install wire nuts only on solid conductors.
  - 2. Install nylon self-insulated crimp connectors and terminators for instrumentation and control circuit conductors.
  - 3. Tape insulate all uninsulated connections.
  - 4. Install crimp connectors and compression lugs with tools approved by connector manufacturer.

### 3.20 GROUNDING

- A. Grounding shall be in compliance with NFPA 70 and as shown.
- B. Ground electrical service neutral at service entrance equipment to supplementary grounding electrodes.
- C. Ground each separately derived system neutral to nearest effectively grounded building structural steel member or separate grounding electrode.
- D. Bond together system neutrals, service equipment enclosures, exposed noncurrent-carrying metal parts of electrical equipment, metal raceways, ground conductor in raceways and cables, receptacle ground connections, and metal piping systems.
- E. Shielded Instrumentation Cables:
  - 1. Ground shield to ground bus at power supply for analog signal.
  - 2. Expose shield minimum 1 inch at termination to field instrument and apply heat shrink tube.
  - 3. Do not ground instrumentation cable shield at more than one point.
- F. Equipment Grounding Conductors: Provide in all conduits containing power conductors and control circuits above 50 volts.
- G. Ground Rods: Install full length with conductor connection at upper end. Install one ground rod in each handhole.

### 3.21 LOW VOLTAGE MOTOR CONTROL

- A. Install equipment in accordance with NEMA ICS 2.3 and manufacturer's instructions and recommendations.
- B. Field adjust trip settings of motor starter magnetic-trip-only circuit breakers. Adjust to approximately 11 times motor rated current.
- C. Select and install overload relay heaters or adjust electronic overload protection after the actual nameplate full-load current rating of motor has been determined.

#### 3.22 FIELD QUALITY CONTROL

A. Tests shall be performed in accordance with the requirements of Section 01 91 14, Equipment Testing and Facility Startup.

- B. General:
  - 1. Test equipment shall have an operating accuracy equal to, or greater than, requirements established by NETA ATS.
  - 2. Test instrument calibration shall be in accordance with NETA ATS.
  - 3. Perform inspection and electrical tests after equipment has been installed.
  - 4. Perform tests with apparatus de-energized whenever feasible.
  - 5. Inspection and electrical tests on energized equipment are to be:
    - a. Scheduled with Engineer prior to de-energization.
    - b. Minimized to avoid extended period of interruption to the operating plant equipment.
- C. Tests and inspection shall establish that:
  - 1. Electrical equipment is operational within industry and manufacturer's tolerances.
  - 2. Installation operates properly.
  - 3. Equipment is suitable for energization.
  - 4. Installation conforms to requirements of Contract Documents and NFPA 70.
- D. Perform inspection and testing in accordance with NETA ATS, industry standards, and manufacturer's recommendations.
- E. Adjust mechanisms and moving parts for free mechanical movement.
- F. Adjust adjustable relays and sensors to correspond to operating conditions, or as recommended by manufacturer.
- G. Verify nameplate data for conformance to Contract Documents.
- H. Realign equipment not properly aligned and correct unlevelness.
- I. Properly anchor electrical equipment found to be inadequately anchored.
- J. Tighten accessible bolted connections, including wiring connections, with calibrated torque wrench to manufacturer's recommendations, or as otherwise specified.
- K. Clean contaminated surfaces with cleaning solvents as recommended by manufacturer.
- L. Provide proper lubrication of applicable moving parts.

- M. Investigate and repair or replace:
  - 1. Electrical items that fail tests.
  - 2. Active components not operating in accordance with manufacturer's instructions.
  - 3. Damaged electrical equipment.
- N. Electrical Enclosures:
  - 1. Remove foreign material and moisture from enclosure interior.
  - 2. Vacuum and wipe clean enclosure interior.
  - 3. Remove corrosion found on metal surfaces.
  - 4. Repair or replace, as determined by Engineer, door and panel sections having damaged surfaces.
  - 5. Replace missing or damaged hardware.
- O. Provide certified test report(s) documenting the successful completion of specified testing. Include field test measurement data.
- P. Test the following equipment and materials:
  - 1. Conductors: Insulation resistance, No. 4 and larger only.
  - 2. Panelboards, switches, and circuit breakers.
  - 3. Motor controls.
  - 4. Grounding electrodes.
  - 5. Motors.
- Q. Controls:
  - 1. Test control and signal wiring for proper termination and function.
  - 2. Test local control panels and other control devices for proper terminations, configuration and settings, and functions.
  - 3. Demonstrate control, monitoring, and indication functions in presence of Owner and Engineer.
- R. Balance electrical load between phases on panelboards after installation.
- S. Equipment Line Current: Check line current in each phase for each piece of equipment.

## **END OF SECTION**

### SECTION 31 23 13 SUBGRADE PREPARATION

## PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. ASTM International (ASTM):
    - a. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lb/ft<sup>3</sup> (600 kN-m/m<sup>3</sup>)).
    - b. D1557, Test Method for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>)).

#### 1.02 DEFINITIONS

- A. Optimum Moisture Content: As defined in Section 31 23 23, Fill and Backfill.
- B. Prepared Ground Surface: Ground surface after completion of clearing and grubbing, scalping of sod, stripping of topsoil, excavation to grade, and scarification and compaction of subgrade.
- C. Relative Compaction: As defined in Section 31 23 23, Fill and Backfill.
- D. Relative Density: As defined in Section 31 23 23, Fill and Backfill.
- E. Subgrade: Layer of existing soil after completion of clearing, grubbing, scalping of topsoil prior to placement of fill, roadway structure or base for floor slab.
- F. Proof-Rolling: Testing of subgrade by compactive effort to identify areas that will not support the future loading without excessive settlement.

#### 1.03 SEQUENCING AND SCHEDULING

A. Complete applicable Work specified in Sections 02 41 00, Demolition and 31 23 16, Excavation, prior to subgrade preparation.

#### 1.04 QUALITY ASSURANCE

A. Notify Engineer when subgrade is ready for compaction or proof-rolling or whenever compaction or proof-rolling is resumed after a period of extended inactivity.

### 1.05 ENVIRONMENTAL REQUIREMENTS

A. Prepare subgrade when unfrozen and free of ice and snow.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

### 3.01 GENERAL

- A. Keep subgrade free of water, debris, and foreign matter during compaction or proof-rolling.
- B. Bring subgrade to proper grade and cross-section and uniformly compact surface.
- C. Do not use sections of prepared ground surface as haul roads. Protect prepared subgrade from traffic.
- D. Maintain prepared ground surface in finished condition until next course is placed.

### 3.02 COMPACTION

- A. Under Earthfill: Three passes with three-wheeled power roller weighing approximately 10 tons.
- B. Under Earthfill: Compact upper 6 inches to minimum of 100 percent relative compaction as determined in accordance with ASTM D1557, Method 95 percent relative density.
- C. Under Pavement Structure, Floor Slabs On Grade, or Granular Fill Under Structures: Three passes with a loaded dump truck or similar heavy-wheeled vehicle.
- D. Under Pavement Structure, Floor Slabs On Grade, or Granular Fill Under Structures: Compact the upper 6 inches to minimum of 100 percent relative compaction as determined in accordance with ASTM D1557, Method 95 percent relative density.

## 3.03 MOISTURE CONDITIONING

- A. Dry Subgrade: Add water, then mix to make moisture content uniform throughout.
- B. Wet Subgrade: Aerate material by blading, discing, harrowing, or other methods, to hasten drying process.

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## 3.04 TESTING

- A. Proof-roll subgrade with equipment specified in Article Compaction to detect soft or loose subgrade or unsuitable material, as determined by Engineer.
- B. Measure the in-place density of the prepared subgrade using ASTM D1556 or D6938.
  - 1. Testing frequency: One test per 2,000 square feet of prepared subgrade, with a minimum of 1 test per proposed structure, slab-on-grade, mat foundations, or spread footings.
  - 2. Acceptance Criteria: Subgrade shall be within 2 percent of the laboratory maximum dry density and 2 percent of the optimum moisture content as determined by ASTM D698.

## 3.05 CORRECTION

- A. Soft or Loose Subgrade:
  - 1. Adjust moisture content and recompact, or
  - 2. Over excavate as specified in Section 31 23 16, Excavation, and replace with suitable material from the excavation, as specified in Section 31 23 23, Fill and Backfill.
- B. Unsuitable Material: Over excavate as specified in Section 31 23 16, Excavation, and replace with suitable material from the excavation, as specified in Section 31 23 23, Fill and Backfill.

# END OF SECTION

### SECTION 31 23 16 EXCAVATION

### PART 1 GENERAL

#### 1.01 DEFINITIONS

A. Common Excavation: Removal of material not classified as rock excavation.

#### 1.02 QUALITY ASSURANCE

A. Provide adequate survey control to avoid unauthorized overexcavation.

#### 1.03 WEATHER LIMITATIONS

- A. Material excavated when frozen or when air temperature is less than 32 degrees F shall not be used as fill or backfill until material completely thaws.
- B. Material excavated during inclement weather shall not be used as fill or backfill until after material drains and dries sufficiently for proper compaction.

#### 1.04 SEQUENCING AND SCHEDULING

A. Demolition: Complete applicable Work specified in Section 02 41 00, Demolition, prior to excavating.

#### PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION

- 3.01 GENERAL
  - A. Excavate to lines, grades, and dimensions shown and as necessary to accomplish Work. Excavate to within tolerance of plus or minus 0.1 foot, except where dimensions or grades are shown or specified as maximum or minimum. Allow for forms, working space, granular base, topsoil, and similar items, wherever applicable. Trim to neat lines where concrete is to be deposited against earth.
  - B. Do not overexcavate without written authorization of Engineer.
  - C. Remove or protect obstructions as shown and as specified in Section 01 50 00, Temporary Facilities and Controls, Article Protection of Work and Property.
  - D. Use of explosives shall not be used.

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### 3.02 UNCLASSIFIED EXCAVATION

A. Excavation is unclassified. Complete all excavation regardless of the type, nature, or condition of the materials encountered.

### 3.03 CLASSIFIED EXCAVATION

- A. Excavation is classified; see Article Definitions for classifications. Notify Engineer whenever rock is encountered.
- B. In event of disputed quantities, excavate additional correlation trenches to apparent rock as considered necessary by Engineer to resolve dispute. Engineer reserves right to stop predrilling and blasting if, in Engineer's opinion, experience indicates that accurate determination of rock quantities is not possible by this method.

## 3.04 TRENCH WIDTH

- A. Minimum Width of Trenches:
  - 1. Single Pipes, Conduits, Direct-Buried Cables, and Duct Banks:
    - a. Less than 4-inch Outside Diameter or Width: 18 inches.
    - b. Greater than 4-inch Outside Diameter or Width: 18 inches greater than outside diameter or width of pipe, conduit, direct-buried cable, or duct bank.
  - 2. Multiple Pipes, Conduits, Cables, or Duct Banks in Single Trench: 18inches greater than aggregate width of pipes, conduits, cables, duct banks, plus space between.
  - 3. Increase trench widths by thicknesses of sheeting.
- B. Maximum Trench Width: Unlimited, unless otherwise shown or specified, or unless excess width will cause damage to existing facilities, adjacent property, or completed Work.

## 3.05 PIPE BEDDING GROOVES FOR NONPERFORATED DRAIN LINES

- A. Semicircular, trapezoidal, or 90-degree-V.
- B. Excavated or plowed into trench bottom. Forming groove by compaction will not be acceptable.

## 3.06 EMBANKMENT AND CUT SLOPES

A. Shape, trim, and finish cut slopes to conform with lines, grades, and crosssections shown, with proper allowance for topsoil or slope protection, where shown.

- B. Remove stones and rock that exceed 3-inch diameter and that are loose and may roll down slope. Remove exposed roots from cut slopes.
- C. Round tops of cut slopes in soil to not less than a 6-foot radius, provided such rounding does not extend offsite or outside easements and rights-of-way, or adversely impacts existing facilities, adjacent property, or completed Work.

### 3.07 STOCKPILING EXCAVATED MATERIAL

- A. Stockpile excavated material that is suitable for use as fill or backfill until material is needed.
- B. Post signs indicating proposed use of material stockpiled. Post signs that are readable from all directions of approach to each stockpile. Signs should be clearly worded and readable by equipment operators from their normal seated position.
- C. Confine stockpiles to within easements, rights-of-way, and approved work areas. Do not obstruct roads or streets.
- D. Do not stockpile excavated material adjacent to trenches and other excavations, unless excavation side slopes and excavation support systems are designed, constructed, and maintained for stockpile loads.
- E. Do not stockpile excavated materials near or over existing facilities, adjacent property, or completed Work, if weight of stockpiled material could induce excessive settlement.

#### 3.08 DISPOSAL OF SPOIL

A. Dispose of excavated materials, which are unsuitable or exceed quantity needed for fill or backfill, offsite.

## **END OF SECTION**

## SECTION 31 23 23 FILL AND BACKFILL

## PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. ASTM International (ASTM):
    - a. C117, Standard Test Method for Materials Finer Than 75-Micrometers (No. 200) Sieve in Mineral Aggregates by Washing.
    - b. C136, Standard Method for Sieve Analysis of Fine and Coarse Aggregates.
    - c. D75, Standard Practice for Sampling Aggregates.
    - d. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft<sup>3</sup> (600 kN-m/m<sup>3</sup>)).
    - e. D1556, Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method.
    - f. D1557, Test Method for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>)).
    - g. D4253, Standard Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
    - h. D4254, Standard Test Method for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.
    - i. D6938, Standard Test Methods for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth).

## 1.02 DEFINITIONS

- A. Relative Compaction:
  - 1. Ratio, in percent, of as-compacted field dry density to laboratory maximum dry density as determined in accordance with ASTM D1557.
  - 2. Apply corrections for oversize material to either as-compacted field dry density or maximum dry density, as determined by Engineer.
- B. Optimum Moisture Content:
  - 1. Determined in accordance with ASTM Standard specified to determine maximum dry density for relative compaction.
  - 2. Determine field moisture content on basis of fraction passing 3/4-inch sieve.

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- C. Relative Density: Calculated in accordance with ASTM D4254 based on maximum index density determined in accordance with ASTM D4253 and minimum index density determined in accordance with ASTM D4254.
- D. Prepared Ground Surface: Ground surface after completion of required demolition, clearing and grubbing, scalping of sod, stripping of topsoil, excavation to grade, and subgrade preparation.
- E. Completed Course: A course or layer that is ready for next layer or next phase of Work.
- F. Lift: Loose (uncompacted) layer of material.
- G. Well-Graded:
  - 1. A mixture of particle sizes with no specific concentration or lack thereof of one or more sizes.
  - 2. Does not define numerical value that must be placed on coefficient of uniformity, coefficient of curvature, or other specific grain size distribution parameters.
  - 3. Used to define material type that, when compacted, produces a strong and relatively incompressible soil mass free from detrimental voids.
- H. Influence Area: Area within planes sloped downward and outward at 60-degree angle from horizontal measured from:
  - 1. 1 foot outside outermost edge at base of foundations or slabs.
  - 2. 1 foot outside outermost edge at surface of roadways or shoulder.
  - 3. 0.5 foot outside exterior at spring line of pipes or culverts.
- I. Borrow Material: Material from required excavations or from designated borrow areas on or near Site.
- J. Selected Backfill Material: Materials available onsite that Engineer determines to be suitable for specific use.
- K. Imported Material: Materials obtained from sources offsite, suitable for specified use.
- L. Structural Fill: Fill materials as required under structures, pavements, and other facilities.
- M. Embankment Material: Fill materials required to raise existing grade in areas other than under structures.

### 1.03 SUBMITTALS

- A. Informational Submittals:
  - 1. Manufacturer's data sheets for compaction equipment.
  - 2. Certified test results from independent testing agency.

# 1.04 QUALITY ASSURANCE

- A. Notify Engineer when:
  - 1. Structure or tank is ready for backfilling, and whenever backfilling operations are resumed after a period of inactivity.
  - 2. Soft or loose subgrade materials are encountered wherever embankment or site fill is to be placed.
  - 3. Fill material appears to be deviating from Specifications.

# 1.05 SEQUENCING AND SCHEDULING

- A. Complete applicable Work specified in Section 02 41 00, Demolition; Section 31 23 16, Excavation; and Section 31 23 13, Subgrade Preparation, prior to placing fill or backfill.
- B. Do not place granular base, subbase, or surfacing until after subgrade has been prepared as specified in Section 31 23 13, Subgrade Preparation.

# PART 2 PRODUCTS

- 2.01 SOURCE QUALITY CONTROL
  - A. Gradation Tests: As necessary to locate acceptable sources of imported material.

# 2.02 EARTHFILL

- A. Excavated material from required excavations from rocks larger than 3 inches, from roots and other organic matter, ashes, cinders, trash, debris, and other deleterious materials.
- B. Material containing more than 10 percent gravel, stones, or shale particles is unacceptable.
- C. Provide imported material of equivalent quality, if required to accomplish Work.

### 2.03 GRANULAR FILL

- A. 1-inch minus crushed gravel or crushed rock.
- B. Free from dirt, clay balls, and organic material.
- C. Well-graded from coarse to fine and containing sufficient fines to bind material when compacted, but with maximum 8 percent by weight passing No. 200 sieve.

#### 2.04 SAND

- A. Free from clay, organic matter, or other deleterious material.
- B. Gradation as determined in accordance with ASTM C117 and ASTM C136:

Sieve Size	Percent Passing by Weight
1/4-inch	100
No. 4	95 - 100
No. 200	0 - 8

### PART 3 EXECUTION

#### 3.01 GENERAL

- A. Keep placement surfaces free of water, debris, and foreign material during placement and compaction of fill and backfill materials.
- B. Place and spread fill and backfill materials in horizontal lifts of uniform thickness, in a manner that avoids segregation, and compact each lift to specified densities prior to placing succeeding lifts. Slope lifts only where necessary to conform to final grades or as necessary to keep placement surfaces drained of water.
- C. During filling and backfilling, keep level of fill and backfill around each structure and buried tank even.
- D. Do not place fill or backfill, if fill or backfill material is frozen, or if surface upon which fill or backfill is to be placed is frozen.
- E. If pipe, conduit, duct bank, or cable is to be laid within fill or backfill:
  - 1. Fill or backfill to an elevation 2 feet above top of item to be laid.
  - 2. Excavate trench for installation of item.

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- 3. Install bedding, if applicable, as specified in Section 31 23 23.15, Trench Backfill.
- 4. Install item.
- 5. Backfill envelope zone and remaining trench, as specified in Section 31 23 23.15, Trench Backfill, before resuming filling or backfilling specified in this section.
- F. Tolerances:
  - 1. Final Lines and Grades: Within a tolerance of 0.1 foot unless dimensions or grades are shown or specified otherwise.
  - 2. Grade to establish and maintain slopes and drainage as shown. Reverse slopes are not permitted.
- G. Settlement: Correct and repair any subsequent damage to structures, pavements, curbs, slabs, piping, and other facilities, caused by settlement of fill or backfill material.

# 3.02 BACKFILL UNDER AND AROUND STRUCTURES

- A. Under Facilities: Within influence area beneath structures, slabs, pavements, curbs, piping, conduits, duct banks, and other facilities, backfill with granular fill, unless otherwise shown. Place granular fill in lifts of 6-inch maximum thickness and compact each lift to minimum of 90 percent relative compaction as determined in accordance with ASTM D1557.
- B. Other Areas: Backfill with earth fill to lines and grades shown, with proper allowance for topsoil thickness where shown. Place in lifts of 6-inch maximum thickness and compact each lift to minimum 90 percent relative compaction as determined in accordance with ASTM D1557.

# 3.03 FILL

- A. Outside Influence Areas beneath Structures, Tanks, Pavements, Curbs, Slabs, Piping, and Other Facilities: Unless otherwise shown, place earthfill as follows:
  - 1. Allow for 6-inch thickness of topsoil where required.
  - 2. Maximum 8-inch thick lifts.
  - 3. Place and compact fill across full width of embankment.
  - 4. Compact to minimum 90 percent relative compaction as determined in accordance with ASTM D1557
  - 5. Dress completed embankment with allowance for topsoil, crest surfacing, and slope protection, where applicable.

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## 3.04 SITE TESTING

- A. In-Place Density Tests: In accordance with ASTM D1556 or D6938. During placement of materials, test as follows:
  - 1. Fill and Backfill Material: One test for every 2 feet of lift interval per 2,000 square feet.
  - 2. Graded Aggregate Base Rock: As specified in 32 11 23, Aggregate Base Courses.

# 3.05 REPLACING OVEREXCAVATED MATERIAL

- A. Replace excavation carried below grade lines shown or established by Engineer as follows:
  - 1. Beneath Footings: Concrete fill, as specified in Section 03 30 00, Castin-Place Concrete.
  - 2. Beneath Fill or Backfill: Same material as specified for overlying fill or backfill.
  - 3. Beneath Slabs-On-Grade: Granular fill.
  - 4. Trenches:
    - a. Unauthorized Overexcavation: Either trench stabilization material or granular pipe base material, as specified in Section 31 23 23.15, Trench Backfill.
    - b. Authorized Overexcavation: Trench stabilization material, as specified in Section 31 23 23.15, Trench Backfill.

# **END OF SECTION**

# SECTION 31 23 23.15 TRENCH BACKFILL

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Public Works Association (APWA): Uniform Color Code.
  - 2. ASTM International (ASTM):
    - a. C33/C33M, Standard Specification for Concrete Aggregates.
    - b. C94/C94M, Standard Specification for Ready-Mixed Concrete.
    - c. C117, Standard Test Method for Materials Finer than 75 Micrometer (No. 200) Sieve in Mineral Aggregates by Washing.
    - d. C136, Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates.
    - e. C150/C150M, Standard Specification for Portland Cement.
    - f. C618, Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete.
    - g. C1012/C1012M, Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution.
    - D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft<sup>3</sup> (600 kN-m/m<sup>3</sup>)).
    - i. D1140, Standard Test Methods for Amount of Material in Soils Finer than No. 200 (75 micrometer) Sieve.
    - j. D1557, Standard Test Methods for Laboratory Compaction Characteristics of Soil using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>)).
    - k. D2487, Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System).
    - 1. D4253, Standard Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
    - m. D4254, Standard Test Methods for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.
    - n. D4318, Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils.
    - o. D4832, Standard Test Method for Preparation and Testing of Controlled Low Strength Material (CLSM) Test Cylinders.
  - 3. National Electrical Manufacturers Association (NEMA): Z535.1, Safety Colors.

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## 1.02 DEFINITIONS

- A. Base Rock: Granular material upon which manhole bases and other structures are placed.
- B. Bedding Material: Granular material upon which pipes, conduits, cables, or duct banks are placed.
- C. Imported Material: Material obtained by Contractor from source(s) offsite.
- D. Lift: Loose (uncompacted) layer of material.
- E. Pipe Zone: Backfill zone that includes full trench width and extends from prepared trench bottom to an upper limit above top outside surface of pipe, conduit, cable or duct bank.
- F. Prepared Trench Bottom: Graded trench bottom after excavation and installation of stabilization material, if required, but before installation of bedding material.
- G. Relative Compaction: The ratio, in percent, of the as-compacted field dry density to the laboratory maximum dry density as determined by ASTM D1557. Corrections for oversize material may be applied to either as-compacted field dry density or maximum dry density, as determined by Engineer.
- H. Relative Density: As defined by ASTM D4253 and ASTM D4254.
- I. Selected Backfill Material: Material available onsite that Engineer determines to be suitable for a specific use.
- J. Well-Graded: A mixture of particle sizes that has no specific concentration or lack thereof of one or more sizes producing a material type that, when compacted, produces a strong and relatively incompressible soil mass free from detrimental voids. Satisfying both of the following requirements, as defined in ASTM D2487:
  - 1. Coefficient of Curvature: Greater than or equal to 1 and less than or equal to 3.
  - 2. Coefficient of Uniformity: Greater than or equal to 4 for materials classified as gravel, and greater than or equal to 6 for materials classified as sand.

## 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings: Manufacturer's descriptive literature for marking tapes.
- B. Informational Submittals:
  - 1. Catalog and manufacturer's data sheets for compaction equipment.
  - 2. Certified Gradation Analysis: Submit not less than 30 days prior to delivery for imported materials or anticipated use for excavated materials, except for trench stabilization material that will be submitted prior to material delivery to Site.
  - 3. Controlled Low Strength Material: Certified mix design and test results. Include material types and weight per cubic yard for each component of mix.

# PART 2 PRODUCTS

- 2.01 TRENCH STABILIZATION MATERIAL
  - A. Granular Backfill: As specified in Section 31 23 23, Fill and Backfill.
- 2.02 BEDDING MATERIAL AND PIPE ZONE MATERIAL
  - A. Unfrozen, friable, and no clay balls, roots, or other organic material.
  - B. Clean or gravelly sand with less than 5 percent passing No. 200 sieve, as determined in accordance with ASTM D1140, or gravel or crushed rock within maximum particle size and other requirements as follows unless otherwise specified.
    - 1. Duct Banks: 3/4-inch maximum particle size.
    - 2. PVC Irrigation System Piping and Ductile Iron Pipe with Polyethylene Wrap: 3/8-inch maximum particle size.
    - 3. Pipe Under 18-Inch Diameter: 3/4-inch maximum particle size, except 1/4 inch for stainless steel pipe, copper pipe, tubing, and plastic pipe under 3-inch diameter.
    - 4. Pipe 18-Inch Diameter and Greater: 1-1/2-inch maximum particle size for ductile iron pipe, concrete pipe, welded steel pipe, and pretensioned or prestressed concrete cylinder pipe.
    - 5. Conduit and Direct-Buried Cable:
      - a. Sand, clean or clean to silty, less than 12 percent passing No. 200 sieve.
      - b. Individual Particles: Free of sharp edges.

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- c. Maximum Size Particle: Pass a No. 4 sieve.
- d. If more than 5 percent passes No. 200 sieve, the fraction that passes No. 40 sieve shall be nonplastic as determined in accordance with ASTM D4318.

## 2.03 EARTH BACKFILL

- A. Soil, loam, or other excavated material suitable for use as backfill.
- B. Free from roots or organic matter, refuse, boulders and material larger than 1/2 cubic foot, or other deleterious materials.
- 2.04 GRAVEL SURFACING ROCK
  - A. As specified in Section 32 11 23, Aggregate Base Courses.
- 2.05 SOURCE QUALITY CONTROL
  - A. Perform gradation analysis in accordance with ASTM C136 for:
    - 1. Earth backfill, including specified class.
    - 2. Trench stabilization material.
    - 3. Bedding and pipe zone material.
  - B. Certify Laboratory Performance of Mix Designs: Concrete.

# PART 3 EXECUTION

### 3.01 TRENCH PREPARATION

- A. Water Control:
  - 1. Promptly remove and dispose of water entering trench as necessary to grade trench bottom and to compact backfill and install manholes, pipe, conduit, direct-buried cable, or duct bank. Do not place concrete, lay pipe, conduit, direct-buried cable, or duct bank in water.
  - 2. Remove water in a manner that minimizes soil erosion from trench sides and bottom.
  - 3. Provide continuous water control until trench backfill is complete.
- B. Remove foreign material and backfill contaminated with foreign material that falls into trench.

## 3.02 TRENCH BOTTOM

- A. Firm Subgrade: Grade with hand tools, remove loose and disturbed material, and trim off high areas and ridges left by excavating bucket teeth. Allow space for bedding material if shown or specified.
- B. Soft Subgrade: If subgrade is encountered that may require removal to prevent pipe settlement, notify Engineer. Engineer will determine depth of overexcavation, if any required.

# 3.03 TRENCH STABILIZATION MATERIAL INSTALLATION

- A. Rebuild trench bottom with trench stabilization material.
- B. Place material over full width of trench in 6-inch lifts to required grade, providing allowance for bedding thickness.
- C. Compact each lift so as to provide a firm, unyielding support for the bedding material prior to placing succeeding lifts.

## 3.04 BEDDING

- A. Furnish imported bedding material where, in the opinion of Engineer, excavated material is unsuitable for bedding or insufficient in quantity.
- B. Place over full width of prepared trench bottom in two equal lifts when required depth exceeds 8 inches.
- C. Hand grade and compact each lift to provide a firm, unyielding surface.
- D. Minimum Thickness: As follows:
  - 1. Pipe 15 Inches and Smaller: 4 inches.
  - 2. Conduit: 3 inches.
  - 3. Duct Banks: 3 inches.
- E. Check grade and correct irregularities in bedding material. Loosen top 1 inch to 2 inches of compacted bedding material with a rake or by other means to provide a cushion before laying each section of pipe, conduit, direct-buried cable, or duct bank.
- F. Install to form continuous and uniform support except at bell holes, if applicable, or minor disturbances resulting from removal of lifting tackle.
- G. Bell or Coupling Holes: Excavate in bedding at each joint to permit proper assembly and inspection of joint and to provide uniform bearing along barrel of pipe or conduit.

## 3.05 BACKFILL PIPE ZONE

- A. Upper limit of pipe zone shall not be less than following:
  - 1. Pipe: 12 inches, unless shown otherwise.
  - 2. Conduit: 3 inches, unless shown otherwise.
  - 3. Duct Bank: 3 inches, unless shown otherwise.
- B. Restrain pipe, conduit, cables, and duct banks as necessary to prevent their movement during backfill operations.
- C. Place material simultaneously in lifts on both sides of pipe and, if applicable, between pipes, conduit, cables, and duct banks installed in same trench.
  - 1. Pipe 10-Inch and Smaller Diameter: First lift less than or equal to 1/2 pipe diameter.
  - 2. Pipe Over 10-Inch Diameter: Maximum 6-inch lifts.
- D. Thoroughly tamp each lift, including area under haunches, with handheld tamping bars supplemented by "walking in" and slicing material under haunches with a shovel to ensure voids are completely filled before placing each succeeding lift.
- E. After full depth of pipe zone material has been placed as specified, compact material by a minimum of three passes with a vibratory plate compactor only over area between sides of pipe and trench walls.

# 3.06 BACKFILL ABOVE PIPE ZONE

- A. General:
  - 1. Process excavated material to meet specified gradation requirements.
  - 2. Adjust moisture content as necessary to obtain specified compaction.
  - 3. Do not allow backfill to free fall into trench or allow heavy, sharp pieces of material to be placed as backfill until after at least 2 feet of backfill has been provided over top of pipe.
  - 4. Do not use power driven impact type compactors for compaction until at least 4 feet of backfill is placed over top of pipe.
  - 5. Backfill to grade with proper allowances for topsoil, crushed rock surfacing, and pavement thicknesses, wherever applicable.
  - 6. Backfill around structures with same class backfill as specified for adjacent trench, unless otherwise shown or specified.

## 3.07 REPLACEMENT OF TOPSOIL

- A. Replace topsoil in top 4 inches of backfilled trench.
- B. Maintain finished grade of topsoil even with adjacent area and grade as necessary to restore drainage.

## 3.08 MAINTENANCE OF TRENCH BACKFILL

- A. After each section of trench is backfilled, maintain surface of backfilled trench even with adjacent ground surface until final surface restoration is completed.
- B. Gravel Surfacing Rock: Add gravel surfacing rock where applicable and as necessary to keep surface of backfilled trench even with adjacent ground surface, and grade and compact as necessary to keep surface of backfilled trenches smooth, free from ruts and potholes, and suitable for normal traffic flow.
- C. Topsoil: Add topsoil where applicable and as necessary to maintain surface of backfilled trench level with adjacent ground surface.
- D. Other Areas: Add excavated material where applicable and keep surface of backfilled trench level with adjacent ground surface.

### 3.09 SETTLEMENT OF BACKFILL

A. Settlement of trench backfill, or of fill, or facilities constructed over trench backfill will be considered a result of defective compaction of trench backfill.

# END OF SECTION

## SECTION 32 11 23 AGGREGATE BASE COURSE(S)

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. ASTM International (ASTM):
    - a. C29, Standard Test Method for Bulk Density (Unit Weight) and Voids in Aggregate.
    - b. C88, Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate.
    - c. C117, Standard Method of Test for Materials Finer Than 75μm (No. 200) Sieve in Mineral Aggregates by Washing.
    - d. C131, Standard Specification for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine.
    - e. C183, Standard Method of Test for Sieve Analysis of Fine and Coarse Aggregates.
    - f. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lb/ft<sup>3</sup> (600 kN-m/m<sup>3</sup>)).
    - g. D1557, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lb/ft<sup>3</sup> (2700 kN-m/m<sup>3</sup>)).
    - h. D1883, Test Method for CBR (California Bearing Ratio) of Laboratory Compacted Soils.
    - i. D2216, Standard Methods for Laboratory Determination of Water (Moisture) Content of Soil and Rock by Mass.
    - j. D2419, Test Method for Sand Equivalent Value of Soils and Fine Aggregate.
    - k. D2844, Standard Specification for Resistance R-Value and Expansion Pressure of Compacted Soils.
    - 1. D4318, Standard Test Methods for Liquid Limit, Plastic Limit and Plasticity Index of Soils.
    - m. D4791, Test Method for Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate.
    - n. D5195, Standard Test Methods for Density of Soil and Rock In-Place Below Surface by Nuclear Methods.
    - o. D6938, Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth).

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## 1.02 DEFINITIONS

- A. Completed Course: Compacted, unyielding, free from irregularities, with smooth, tight, even surface, true to grade, line, and cross-section.
- B. Completed Lift: Compacted with uniform cross-section thickness.
- C. Base Course: Crushed aggregate or similar as specified placed and compacted on prepared subgrade or subbase course.
- D. Gravel Surfacing: Aggregate used for construction of low-volume access and staging area that can be easily graded and compacted.
- E. Leveling Course: Crushed aggregate placed and compacted on base course to be used for finish grading.
- F. Standard Specifications: When referenced in this section, shall mean Georgia Department of Transportation Standard Specifications.
- G. Subbase Course: Sandy, gravelly material placed and compacted on prepared subgrade.

## 1.03 SUBMITTALS

- A. Informational Submittals:
  - 1. Certified Test Results on Source Materials: Submit copies from commercial testing laboratory 30 days prior to delivery of materials to Project showing materials meeting the physical qualities specified.

# PART 2 PRODUCTS

# 2.01 GRADED AGGREGATE BASE COURSE

A. As specified for Group I Aggregates in Section 815 of the Standard Specifications.

### 2.02 GRAVEL SURFACING

- A. Size No. 57 coarse aggregates as specified in Section 800 of the Standard Specifications.
- B. Clean, tough, uniform quality, durable fragments of crushed rock, free from flat, elongated, soft or disintegrated pieces, or other objectionable matter occurring either free or as coating on stone.

AGGREGATE BASE COURSE(S) 32 11 23 - 2

C. Physical Qualities: Same as for base course.

## 2.03 SOURCE QUALITY CONTROL

- A. Perform tests necessary to locate acceptable source of materials meeting specified requirements.
- B. Final approval of aggregate material will be based on test results of installed materials.
- C. Should separation of coarse from fine materials occur during processing or stockpiling, immediately change methods of handling materials to correct uniformity in grading.

## PART 3 EXECUTION

## 3.01 SUBGRADE PREPARATION

- A. As specified in Section 209 of the Standard Specifications.
- B. Obtain Engineer's acceptance of subgrade before placing base course or surfacing material.
- C. Do not place base course or surfacing materials in snow or on soft, muddy, or frozen subgrade.

### 3.02 EQUIPMENT

A. Compaction Equipment: Adequate in design and number to provide compaction and to obtain specified density for each layer.

### 3.03 HAULING AND SPREADING

- A. Hauling Materials:
  - 1. Do not haul over surfacing in process of construction.
  - 2. Loads: Of uniform capacity.
  - 3. Maintain consistent gradation of material delivered; loads of widely varying gradations will be cause for rejection.
- B. Spreading Materials:
  - 1. Distribute material to provide required density, depth, grade, and dimensions with allowance for subsequent lifts.
  - 2. Produce even distribution of material upon roadway or prepared surface without segregation.

PW\DEN003\D3101212 JANUARY 30, 2021 ©COPYRIGHT 2021 CH2M HILL AGGREGATE BASE COURSE(S) 32 11 23 - 3 3. Should segregation of coarse from fine materials occur during placing, immediately change methods of handling materials to correct uniformity in grading.

# 3.04 CONSTRUCTION OF COURSES

- A. General: Complete each lift in advance of laying succeeding lift to provide required results and adequate inspection.
- B. Graded Aggregate Base:
  - 1. Maximum Completed Lift Thickness: 6 inches.
  - 2. Completed Course Total Thickness: As shown.
  - 3. Spread lift on preceding course to required cross-section.
  - 4. Lightly blade and roll surface until thoroughly compacted.
  - 5. Add keystone to achieve compaction and as required when aggregate does not compact readily because of lack of fines or natural cementing properties, as follows:
    - a. Use leveling course or surfacing material as keystone.
    - b. Spread evenly on top of base course, using spreader boxes or chip spreaders.
    - c. Roll surface until keystone is worked into interstices of base course without excessive displacement.
    - d. Continue operation until course has become thoroughly keyed, compacted, and will not creep or move under roller.
  - 6. Blade or broom surface to maintain true line, grade, and cross-section.
- C. Gravel Surfacing:
  - 1. Maximum Completed Lift Thickness: 4 inches.
  - 2. Completed Course Total Thickness: As shown.
  - 3. Spread on preceding course in accordance with cross-section shown.
  - 4. Blade lightly and roll surface until material is thoroughly compacted.

# 3.05 ROLLING AND COMPACTION

- A. Commence compaction of each layer of base spreading operations and continue until density of 98 percent of maximum density has been achieved as determined by ASTM D698.
- B. Roll each layer of material until material does not creep under roller before succeeding layer is applied.
- C. Commence rolling at outer edges and continue toward center; do not roll center of road first.

AGGREGATE BASE COURSE(S) 32 11 23 - 4

- D. Apply water as needed to obtain specified densities.
- E. Place and compact each lift to the required density before succeeding lift is placed.
- F. Remove floating or loose stone from surface of preceding course before placing leveling course.
- G. Surface Defects: Remedy by loosening and rerolling. Reroll entire area, including surrounding surface, until thoroughly compacted.
- H. Finished surface shall be true to grade and crown before proceeding with surfacing.

## 3.06 SURFACE TOLERANCES

- A. Blade or otherwise work surfacing as necessary to maintain grade and crosssection at all times, and to keep surface smooth and thoroughly compacted.
- B. Finished Surface of Untreated Aggregate Base Course: Within plus or minus 0.04 foot of grade shown at any individual point.
- C. Gravel Surfacing: Within 0.04 foot from lower edge of 10-foot straightedge placed on finished surface, parallel to centerline.

### 3.07 FIELD QUALITY CONTROL

- A. In-Place Density Tests: In accordance with ASTM D698. Construct base course so areas shall be ready for testing.
- B. Frequency: Perform a minimum of one test on completed course per 2,000 square feet.

### 3.08 CLEANING

A. Remove excess material from the Work area. Clean stockpile and staging areas of all excess aggregate.

# **END OF SECTION**

# SECTION 32 92 00 TURF AND GRASSES

# PART 1 GENERAL

## 1.01 DEFINITIONS

- A. Maintenance Period: Begin maintenance immediately after each area is planted (seed, sod, or sprig) and continue for a period of 8 weeks after all planting under this section is completed.
- B. Satisfactory Stand: Grass that has:
  - 1. No bare spots larger than 3 square feet.
  - 2. Not more than 10 percent of total area with bare spots larger than 1 square foot.
  - 3. Not more than 15 percent of total area with bare spots larger than 6 square inches.

## 1.02 SUBMITTALS

- A. Action Submittals: Product labels/data sheets.
- B. Informational Submittals:
  - 1. Seed: Certification of seed analysis, germination rate, and inoculation:
    - a. Certify that each lot of seed has been tested by a testing laboratory certified in seed testing, within 6 months of date of delivery. Include with certification:
      - 1) Name and address of laboratory.
      - 2) Date of test.
      - 3) Lot number for each seed specified.
      - 4) Test Results: (i) name, (ii) percentages of purity and of germination, and (iii) weed content for each kind of seed furnished.
    - b. Mixtures: Proportions of each kind of seed.
  - 2. Seed Inoculant Certification: Bacteria prepared specifically for legume species to be inoculated.
  - 3. Certification of sod; include source and harvest date of sod, and sod seed mix.
  - 4. Certification of sprig type and name.
  - 5. Description of required maintenance activities and activity frequency.

# 1.03 DELIVERY, STORAGE, AND PROTECTION

- A. Seed:
  - 1. Furnish in standard containers with seed name, lot number, net weight, percentages of purity, germination, and hard seed and maximum weed seed content, clearly marked for each container of seed.
  - 2. Keep dry during storage.

# 1.04 WEATHER RESTRICTIONS

A. Perform Work under favorable weather and soil moisture conditions as determined by accepted local practice.

# 1.05 SEQUENCING AND SCHEDULING

- A. Complete Work under this section within 3 days following completion of soil preparation.
- B. Notify Engineer at least 3 days in advance of:
  - 1. Each material delivery.
  - 2. Start of planting activity.
- C. Planting Season: Those times of year that are normal for such Work as determined by accepted local practice.

# 1.06 MAINTENANCE SERVICE

- A. Contractor: Perform maintenance operations during maintenance period to include:
  - 1. Watering: Keep surface moist.
  - 2. Washouts: Repair by filling with topsoil, liming, fertilizing, seeding, and mulching.
  - 3. Mulch: Replace wherever and whenever washed or blown away.
  - 4. Mowing: Mow to 2 inches after grass height reaches 3 inches, and mow to maintain grass height from exceeding 3-1/2 inches.
  - 5. Reseed unsatisfactory areas or portions thereof immediately at the end of the maintenance period if a satisfactory stand has not been produced.
  - 6. Reseed/replant during next planting season if scheduled end of maintenance period falls after September 15.
  - 7. Reseed/replant entire area if satisfactory stand does not develop by July 1 of the following year.

## PART 2 PRODUCTS

- 2.01 FERTILIZER
  - A. Commercial, uniform in composition, free-flowing, suitable for application with equipment designed for that purpose. Minimum percentage of plant food by weight.
  - B. Application Rates: Determined by soil analysis results.
  - C. Mix:
    - 1. Nitrogen: 10.
    - 2. Phosphoric Acid: 10.
    - 3. Potash: 10.
    - 4. Bonemeal: Commercial, raw, finely ground, with minimum analysis of 4 percent nitrogen and 20 percent phosphoric acid.
    - 5. Superphosphate: Soluble mixture of phosphate obtained from treated mineral phosphates with minimum analysis of 20 percent available phosphoric acid.
  - D. Top Dress Type: As recommended by local authority.
- 2.02 SEED
  - A. Grass Type: Common Bermuda.
  - B. Fresh, clean new-crop seed that complies with the tolerance for purity and germination established by Official Seed Analysts of North America.
  - C. Seeds of Legumes: Inoculated with pure culture of nitrogen-fixing bacteria prepared specifically for legume species in accordance with inoculant manufacturer's instructions.

### 2.03 STRAW MULCH

A. Threshed straw of oats, wheat, barley, or rye, free from (i) seed of noxious weeds or (ii) clean salt hay.

# PART 3 EXECUTION

#### 3.01 PREPARATION

- A. Grade areas to smooth, even surface with loose, uniformly fine texture.
  - 1. Roll and rake, remove ridges, fill depressions to meet finish grades.
  - 2. Limit such Work to areas to be planted within immediate future.

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- 3. Remove debris, and stones larger than 1-1/2-inch diameter, and other objects that may interfere with planting and maintenance operations.
- B. Moisten prepared areas before planting if soil is dry. Water thoroughly and allow surface to dry off before seeding. Do not create muddy soil.
- C. Restore prepared areas to specified condition if eroded or otherwise disturbed after preparation and before planting.

### 3.02 FERTILIZER

- A. Apply evenly over area in accordance with manufacturer's instructions. Mix into top 2 inches of topsoil, when applied by broad cast method.
- B. Application Rate: 23 pounds per 1,000 square feet (1,000 pounds per acre).

## 3.03 SEEDING

- A. Start within 2 days of preparation completion.
- B. Flatter slopes may be mechanically seeded.
- C. Mechanical: Broadcast seed in two different directions, compact seeded area with cultipacter or roller.
  - 1. Sow seed at uniform rate of 23 pounds per 1,000 square feet.
  - 2. Use Brillion type seeder.
  - 3. Broadcasting will be allowed only in areas too small to use Brillion type seeder. Where seed is broadcast, increase seeding rate 20 percent.
  - 4. Roll with ring roller to cover seed, and water with fine spray.
- D. Cover Crop Seeding: Apply seed at rate of 120 pounds per acre to areas that are bare or incomplete after September 15.
- E. Mulching: Apply uniform cover of straw mulch at a rate of 2 tons per acre.
- F. Water: Apply with fine spray after mulching to saturate top 4 inches of soil.

### 3.04 FIELD QUALITY CONTROL

- A. 8 weeks after seeding is complete and on written notice from Contractor, Engineer will, within 15 days of receipt, determine if a satisfactory stand has been established.
- B. If a satisfactory stand has not been established, Engineer will make another determination after written notice from Contractor following the next growing season.

# **END OF SECTION**

## SECTION 40 27 00 PROCESS PIPING—GENERAL

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section and any supplemental Data Sheets:
  - 1. Air Force: A-A-58092, Tape, Antiseize, Polytetrafluorethylene.
  - 2. American Association of State Highway and Transportation Officials (AASHTO): HB-17, Standard Specifications for Highway Bridges.
  - 3. American Petroleum Institute (API): SPEC 5L, Specification for Line Pipe.
  - 4. American Society of Mechanical Engineers (ASME):
    - a. Boiler and Pressure Vessel Code, Section IX, Qualification Standard for Welding and Brazing Procedures, Welders, Brazers, and Welding and Brazing Operators.
    - b. B1.20.1, Pipe Threads, General Purpose (Inch).
    - c. B16.1, Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250.
    - d. B16.3, Malleable Iron Threaded Fittings Classes 150 and 300.
    - e. B16.5, Pipe Flanges and Flanged Fittings NPS 1/2 through NPS 24 Metric/Inch Standard.
    - f. B16.9, Factory-Made Wrought Buttwelding Fittings.
    - g. B16.11, Forged Fittings, Socket-Welding and Threaded.
    - h. B16.15, Cast Copper Alloy Threaded Fittings Classes 125 and 250.
    - i. B16.21, Nonmetallic Flat Gaskets for Pipe Flanges.
    - j. B16.22, Wrought Copper and Copper Alloy Solder Joint Pressure Fittings.
    - k. B16.24, Cast Copper Alloy Pipe Flanges and Flanged Fittings Classes 150, 300, 600, 900, 1500, and 2500.
    - 1. B16.25, Buttwelding Ends.
    - m. B16.42, Ductile Iron Pipe Flanges and Flanged Fittings Classes 150 and 300.
    - n. B31.1, Power Piping.
    - o. B31.3, Process Piping.
    - p. B31.9, Building Services Piping.
    - q. B36.10M, Welded and Seamless Wrought Steel Pipe.

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- 5. American Society for Nondestructive Testing (ASNT): SNT-TC-1A, Recommended Practice for Personal Qualification and Certification in Nondestructive Testing.
- 6. American Water Works Association (AWWA):
  - a. C104/A21.4, Cement-Mortar Lining for Ductile-Iron Pipe and Fittings.
  - b. C105/A21.5, Polyethylene Encasement for Ductile-Iron Pipe Systems.
  - c. C110/A21.10, Ductile-Iron and Gray-Iron Fittings.
  - d. C111/A21.11, Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings.
  - e. C115/A21.15, Flanged Ductile-Iron Pipe with Ductile-Iron or Gray-Iron Threaded Flanges.
  - f. C151/A21.51, Ductile-Iron Pipe, Centrifugally Cast.
  - g. C153/A21.53, Ductile-Iron Compact Fittings.
  - h. C207, Steel Pipe Flanges for Waterworks Service, Sizes 4 In. Through 144 In. (100 mm Through 3,600 mm).
  - i. C606, Grooved and Shouldered Joints.
- 7. American Welding Society (AWS):
  - a. Brazing Handbook.
  - b. A5.8M/A5.8, Specification for Filler Metals for Brazing and Braze Welding.
  - c. D1.1/D1.1M, Structural Welding Code Steel.
  - d. QC1, Standard for AWS Certification of Welding Inspectors.
- 8. ASTM International (ASTM):
  - a. A47/A47M, Standard Specification for Ferritic Malleable Iron Castings.
  - b. A53/A53M, Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless.
  - c. A105/A105M, Standard Specification for Carbon Steel Forgings for Piping Applications.
  - d. A106/A106M, Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service.
  - e. A126, Standard Specification for Gray Iron Castings for Valves, Flanges, and Pipe Fittings.
  - f. A135/A135M, Standard Specification for Electric-Resistance-Welder Steel Pipe.
  - g. A139/A139M, Standard Specification for Electro-Fusion (Arc)– Welded Steel Pipe (NPS 4 Inches and Over).
  - h. A153/A153M, Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
  - i. A181/A181M, Standard Specification for Carbon Steel Forgings, for General-Purpose Piping.

- j. A182/A182M, Standard Specification for Forged or Rolled Alloy and Stainless Steel Pipe Flanges, Forged Fittings, and Valves and Parts for High-Temperature Service.
- k. A183, Standard Specification for Carbon Steel Track Bolts and Nuts.
- 1. A193/A193M, Standard Specification for Alloy-Steel and Stainless Steel Bolting for High Temperature or High Pressure Service and Other Special Purpose Applications.
- m. A194/A194M, Standard Specification for Carbon and Alloy Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both.
- n. A197/A197M, Standard Specification for Cupola Malleable Iron.
- o. A216/A216M, Standard Specification for Steel Castings, Carbon, Suitable for Fusion Welding, for High-Temperature Service.
- p. A234/A234M, Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service.
- q. A240/A240M, Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications.
- r. A276, Standard Specification for Stainless Steel Bars and Shapes.
- s. A269, Standard Specification for Seamless and Welded Austenitic Stainless Steel Tubing for General Service.
- t. A307, Standard Specification for Carbon Steel Bolts and Studs, 60,000 psi Tensile Strength.
- u. A312/A312M, Standard Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes.
- v. A320/A320M, Standard Specification for Alloy-Steel and Stainless Steel Bolting for Low-Temperature Service.
- w. A351/A351M, Standard Specification for Castings, Austenitic, for Pressure-Containing Parts.
- x. A395/A395M, Standard Specification for Ferritic Ductile Iron Pressure-Retaining Castings for Use at Elevated Temperatures.
- y. A403/A403M, Standard Specification for Wrought Austenitic Stainless Steel Piping Fittings.
- z. A409/A409M, Standard Specification for Welded Large Diameter Austenitic Steel Pipe for Corrosive or High-Temperature Service.
- aa. A536, Standard Specification for Ductile Iron Castings.
- bb. A563, Standard Specification for Carbon and Alloy Steel Nuts.
- cc. A587, Standard Specification for Electric-Resistance-Welded Low-Carbon Steel Pipe for the Chemical Industry.
- dd. A743/A743M, Standard Specification for Castings, Iron-Chromium, Iron-Chromium-Nickel, Corrosion Resistant, for General Application.

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- ee. A744/A744M, Standard Specification for Castings, Iron-Chromium-Nickel, Corrosion Resistant, for Severe Service.
- ff. A774/A774M, Standard Specification for As-Welded Wrought Austenitic Stainless Steel Fittings for General Corrosive Service at Low and Moderate Temperatures.
- gg. A778, Standard Specification for Welded, Unannealed Austenitic Stainless Steel Tubular Products.
- hh. B32, Standard Specification for Solder Metal.
- ii. B43, Standard Specification for Seamless Red Brass Pipe, Standard Sizes.
- jj. B61, Standard Specification for Steam or Valve Bronze Castings.
- kk. B62, Standard Specification for Composition Bronze or Ounce Metal Castings.
- 11. B75/B75M, Standard Specification for Seamless Copper Tube.
- mm. B88, Standard Specification for Seamless Copper Water Tube.
- nn. B98/B98M, Standard Specification for Copper-Silicon Alloy Rod, Bar and Shapes.
- oo. B462, Standard Specification for Forged or Rolled UNS N06030, UNS N06022, UNS N06035, UNS N06200, UNS N06059, UNS N10362, UNS N06686, UNS N08020, UNS N08024, UNS N08026, UNS N08367, UNS N10276, UNS N10665, UNS N10675, UNS N10629, UNS N08031, UNS N06045, UNS N06025, and UNS R20033 Alloy Pipe Flanges, Forged Fittings, and Valves and Parts for Corrosive High-Temperature Service.
- pp. B464, Standard Specification for Welded UNS N08020 Alloy Pipe.
- qq. B474, Standard Specification for Electric Fusion Welded Nickel and Nickel Alloy Pipe.
- rr. C582, Standard Specification for Contact-Molded Reinforced Thermosetting Plastic (RTP) Laminates for Corrosion-Resistant Equipment.
- ss. D412, Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers-Tension.
- tt. D413, Standard Test Methods for Rubber Property-Adhesion to Flexible Substrate.
- uu. D543, Standard Practices for Evaluating the Resistance of Plastics to Chemical Reagents.
- vv. D1248, Standard Specification for Polyethylene Plastics Extrusion Materials for Wire and Cable.
- ww. D1330, Standard Specification for Rubber Sheet Gaskets.
- xx. D1784, Standard Specification for Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds.

- yy. D1785, Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Pipe, Schedules 40, 80, and 120.
- zz. D2000, Standard Classification System for Rubber Products in Automotive Applications.
- aaa. D2310, Standard Classification for Machine-Made "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe.
- bbb. D2464, Standard Specification for Threaded Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80.
- ccc. D2466, Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 40.
- ddd. D2467, Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80.
- eee. D2564, Standard Specification for Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems.
- fff. D2837, Standard Test Method for Obtaining Hydrostatic Design Basis for Thermoplastic Pipe Materials or Pressure Design Basis for Thermoplastic Pipe Products.
- ggg. D2996, Standard Specification for Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe.
- hhh. D3222, Standard Specification for Unmodified Poly(Vinylidene Fluoride) (PVDF) Molding Extrusion and Coating Materials.
- iii. D3350, Standard Specification for Polyethylene Plastics Pipe and Fittings Materials.
- jjj. D4101, Standard Specification for Polypropylene Injection and Extrusion Materials.
- kkk. D4894, Standard Specification for Polytetrafluoroethylene (PTFE) Granular Molding and Ram Extrusion Materials.
- 111. D4895, Standard Specification for Polytetrafluoroethylene (PTFE) Resin Produced from Dispersion.
- mmm. F423, Standard Specification for Polytetrafluoroethylene (PTFE) Plastic-Lined Ferrous Metal Pipe, Fittings, and Flanges.
- nnn. F436, Standard Specification for Hardened Steel Washers.
- 000. F437, Standard Specification for Threaded Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 80.
- ppp. F439, Standard Specification for Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 80.
- qqq. F441/F441M, Standard Specification for Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe, Schedules 40 and 80.
- rrr. F493, Standard Specification for Solvent Cements for Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe and Fittings.
- sss. F593, Standard Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
- ttt. F656, Standard Specification for Primers for Use in Solvent Cement Joints of Poly(Vinyl Chloride) (PVC) Plastic Pipe and Fittings.

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- 9. FM Global (FM).
- 10. Manufacturers Standardization Society of the Valve and Fittings Industry, Inc. (MSS): SP-43, Wrought and Fabricated Butt-Welding Fittings for Low-Pressure, Corrosion Resistant Applications.
- 11. NSF International (NSF):
  - a. ANSI 61: Drinking Water System Components Health Effects.
  - b. ANSI 372: Drinking Water System Components Lead Content.
- 12. National Electrical Manufacturers Association (NEMA): LI 1, Industrial Laminating Thermosetting Products.
- 13. National Fire Protection Association (NFPA): 24, Standard for the Installation of Private Fire Service Mains and Their Appurtenances.

### 1.02 DEFINITIONS

A. Submerged or Wetted: Zone below elevation of top of sedimentation basin wall.

## 1.03 DESIGN REQUIREMENTS

- A. Where pipe diameter, thickness, pressure class, pressure rating, or thrust restraint is not shown or specified, design piping system in accordance with the following:
  - 1. Process Piping: ASME B31.3, normal fluid service unless otherwise specified.
  - 2. Thrust Restraints:
    - a. Design for test pressure shown in Piping Schedule.
    - b. Allowable Soil Pressure: 1,000 pounds per square foot.
    - c. Low Pressure Pipelines:
      - 1) When bearing surface of the fitting against soil provides an area equal to or greater than area required for thrust restraint, concrete thrust blocks will not be required.
      - 2) Determine bearing area for fittings without thrust blocks by projected area of 70 percent of internal diameter multiplied by chord length for fitting centerline curve.

# 1.04 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Fabricated Piping:
    - a. Detailed pipe fabrication or spool drawings showing special fittings and bends, dimensions, coatings, and other pertinent information.
    - b. Layout drawing showing location of each pipe section and each special length; number or otherwise designate laying sequence on each piece.

- 2. Pipe Corrosion Protection: Product data.
- 3. Anchorage and bracing drawings and cut sheets, as required by Section 01 88 15, Anchorage and Bracing.
- B. Informational Submittals:
  - 1. Manufacturer's Certification of Compliance, in accordance with Section 01 61 00, Common Product Requirements:
    - a. Pipe and fittings.
    - b. Factory applied resins and coatings.
  - 2. Anchorage and bracing calculations as required by Section 01 88 15, Anchorage and Bracing.
  - 3. Flanged Pipe and Fittings: Manufacturer's product data sheets for gaskets including torqueing requirements and bolt tightening procedures.
  - 4. Component and attachment testing seismic certificate of compliance as required by Section 01 45 33, Special Inspection, Observation, and Testing.

# 1.05 DELIVERY, STORAGE, AND HANDLING

- A. In accordance with Section 01 61 00, Common Product Requirements, and:
  - 1. Flanges: Securely attach metal, hardboard, or wood protectors over entire gasket surface.
  - 2. Threaded or Socket Welding Ends: Fit with metal, wood, or plastic plugs or caps.
  - 3. Linings and Coatings: Prevent excessive drying.
  - 4. Cold Weather Storage: Locate products to prevent coating from freezing to ground.
  - 5. Handling: Use heavy canvas or nylon slings to lift pipe and fittings.

# PART 2 PRODUCTS

- 2.01 GENERAL
  - A. Components and Materials in Contact with Water for Human Consumption: Comply with the requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements. Provide certification by manufacturer or an accredited certification organization recognized by the Authority Having Jurisdiction that components and materials comply with the maximum lead content standard in accordance with NSF/ANSI 61 and NSF/ANSI 372.
    - 1. Use or reuse of components and materials without a traceable certification is prohibited.

## 2.02 PIPING

- A. As specified on Piping Data Sheets and Piping Schedule located at the end of this section as Supplement.
- B. Diameters Shown:
  - 1. Standardized Products: Nominal size.

# 2.03 JOINTS

- A. Flanged Joints:
  - 1. Flat-faced, carbon steel, or alloy flanges when mating with flat-faced cast or ductile iron flanges.
  - 2. Higher pressure rated flanges as required to mate with equipment when equipment flange is of higher pressure rating than required for piping.
- B. Threaded Joints: NPT taper pipe threads in accordance with ASME B1.20.1.
- C. Mechanical Joint Anchor Gland Follower:
  - 1. Ductile iron anchor type, wedge action, with break-off tightening bolts.
  - 2. Thrust rated to 250 psi minimum.
  - 3. Rated operating deflection not less than:
    - a. 3 degrees for sizes through 12 inches.
    - b. 2 degrees for sizes 14 inches through 16 inches.
    - c. 1.5 degrees for sizes 18 inches through 24 inches.
    - d. 1 degree for sizes 30 inches through 48 inches.
  - 4. UL and FM approved.

# 2.04 GASKET LUBRICANT

A. Lubricant shall be supplied by pipe manufacturer and no substitute or "orequal" will be allowed.

# 2.05 PIPE CORROSION PROTECTION

- A. Polyethylene Encasement (Bagging):
  - 1. Encasement Tube: Black polyethylene encasement tube, 8 mils minimum thickness, conforming to AWWA C105/A21.5, free of gels, streaks, pinholes, foreign matter, undispersed raw materials, and visible defects such as tears, blisters, and thinning at folds.

2. Securing Tape: Thermoplastic tape, 8 mils minimum thickness, 1 inch wide, pressure sensitive adhesive face capable of bonding to metal, bituminous coating, and polyethylene encasement tube.

# 2.06 THRUST TIES

A. Buried Ductile Iron Pipe and Fittings: Unless restraint is otherwise specified or shown, conform to NFPA 24. Tie-rod attachments relying on clamp friction with pipe barrel to restrain thrust are unacceptable.

## 2.07 FABRICATION

- A. Mark each pipe length on outside with the following:
  - 1. Size or diameter and class.
  - 2. Manufacturer's identification and pipe serial number.
  - 3. Location number on laying drawing.
  - 4. Date of manufacture.
- B. Code markings according to approved Shop Drawings.
- C. Shop fabricate flanged pipe in shop, not in field, and delivered to Site with flanges in place and properly faced. Threaded flanges shall be individually fitted and machine tightened on matching threaded pipe by manufacturer.

# PART 3 EXECUTION

### 3.01 EXAMINATION

- A. Verify size, material, joint types, elevation, horizontal location, and pipe service of existing pipelines to be connected to new pipelines or new equipment.
- B. Inspect size and location of structure penetrations to verify adequacy of wall pipes, sleeves, and other openings.

### 3.02 PREPARATION

- A. Inspect pipe and fittings before installation, clean ends thoroughly, and remove foreign matter and dirt from inside.
- B. Damaged Linings: Repair using original lining materials in accordance with manufacturer's instructions.

## 3.03 INSTALLATION—GENERAL

- A. Join pipe and fittings in accordance with manufacturer's instructions, unless otherwise shown or specified.
- B. Remove foreign objects prior to assembly and installation.
- C. Flanged Joints:
  - 1. Install perpendicular to pipe centerline.
  - 2. Bolt Holes: Straddle vertical centerlines, aligned with connecting equipment flanges or as shown.
  - 3. Use torque-limiting wrenches to ensure uniform bearing and proper bolt tightness.
  - 4. Plastic Flanges: Install annular ring filler gasket at joints of raised-face flange.
  - 5. Raised-Face Flanges: Use flat-face flange when joining with flat-faced ductile or cast iron flange.
  - 6. Verify compatibility of mating flange to adapter flange gasket prior to selecting grooved adapter flanging.
  - 7. Flange fillers are to be avoided, but if necessary, may be used to make up for small angles up to 6 degrees and for filling gaps up to 2 inches between flanges. Stacked flange fillers shall not be used.
  - 8. Threaded flanged joints shall be shop fabricated and delivered to Site with flanges in-place and properly faced.
  - 9. Manufacturer: Same as pipe manufacturer.
- D. Threaded and Coupled Joints:
  - 1. Conform to ASME B1.20.1.
  - 2. Produce sufficient thread length to ensure full engagement when screwed home in fittings.
  - 3. Countersink pipe ends, ream and clean chips and burrs after threading.
  - 4. Make connections with not more than three threads exposed.
  - 5. Lubricate male threads only with thread lubricant or tape as specified on Piping Data Sheets.
- E. Ductile Iron Piping:
  - 1. Cutting Pipe: Cut pipe with milling type cutter, rolling pipe cutter, or abrasive blade cutter. Do not flame cut.
  - 2. Dressing Cut Ends:
    - a. General: As required for the type of joint to be made.
    - b. Rubber Gasketed Joints: Remove sharp edges or projections.
    - c. Push-On Joints: Bevel, as recommended by pipe manufacturer.

PROCESS PIPING—GENERAL 40 27 00 - 10 PW\DEN003\D3101212 JANUARY 31, 2021 ©COPYRIGHT 2021 CH2M HILL d. Flexible Couplings, Flanged Coupling Adapters, and Grooved End Pipe Couplings: As recommended by the coupling or adapter manufacturer.

# 3.04 INSTALLATION—EXPOSED PIPING

- A. Piping Runs:
  - 1. Parallel to building or column lines and perpendicular to floor, unless shown otherwise.
  - 2. Piping upstream and downstream of flow measuring devices shall provide straight lengths as required for accurate flow measurement.
- B. Supports: Per Standard Details as shown in the Drawings.
- C. Unions or Flanges: Provide at each piping connection to equipment or instrumentation on equipment side of each block valve to facilitate installation and removal.
- D. Install piping so that no load or movement in excess of that stipulated by equipment manufacturer will be imposed upon equipment connection; install to allow for contraction and expansion without stressing pipe, joints, or connected equipment.
- E. Piping clearance, unless otherwise shown:
  - 1. Between Equipment or Equipment Piping and Adjacent Piping: Minimum 3 feet, measured from equipment extremity and extremity of piping system including flanges, valve bodies or mechanisms, insulation, or hanger/support systems.
  - 2. Do not route piping in front of or to interfere with access ways, ladders, stairs, platforms, walkways, openings, doors, or windows.
  - 3. Do not route piping over, around, in front of, in back of, or below electrical equipment including controls, panels, switches, terminals, boxes, or other similar electrical work.

# 3.05 INSTALLATION—BURIED PIPE

- A. Placement:
  - 1. Keep trench dry until pipe laying and joining are completed.
  - 2. Exercise care when lowering pipe into trench to prevent twisting or damage to pipe.
  - 3. Measure for grade at pipe invert, not at top of pipe.
  - 4. Excavate trench bottom and sides of ample dimensions to permit visual inspection and testing of entire flange, valve, or connection.

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- 5. Prevent foreign material from entering pipe during placement.
- 6. Close and block open end of last laid pipe section when placement operations are not in progress and at close of day's work.
- 7. Lay pipe upgrade with bell ends pointing in direction of laying.
- 8. Install closure sections and adapters for gravity piping at locations where pipe laying changes direction.
- 9. Deflect pipe at joints for pipelines laid on a curve using unsymmetrical closure of spigot into bell. If joint deflection of standard pipe lengths will not accommodate horizontal or vertical curves in alignment, provide:
  - a. Shorter pipe lengths.
  - b. Special mitered joints.
  - c. Standard or special fabricated bends.
- 10. After joint has been made, check pipe alignment and grade.
- 11. Place sufficient pipe zone material to secure pipe from movement before next joint is installed.
- 12. Prevent uplift and floating of pipe prior to backfilling.
- 13. Pipe Base and Pipe Zone: 4 inches of pipe bedding:
  - a. 1/2-inch minus crushed gravel or crushed rock (#89 stone).
  - b. Free from dirt, clay balls, and organic material.
  - c. Well-graded from coarse to fine and containing sufficient fines to bind material when compacted, but with maximum 8 percent by weight passing No. 200 sieve.
- 14. Trench backfill: From bedding to surface.
  - a. First lift less than or equal to 1/2 pipe diameter.
  - b. Thoroughly tamp each lift, including area under haunches, with handheld tamping bars supplemented by "walking in" and slicing material under haunches with a shovel to ensure that voids are completely filled before placing each succeeding lift.
  - c. After the full depth of the pipe zone material has been placed as specified, compact the material by a minimum of three passes with a vibratory plate compactor only over the area between the sides of the pipe and the trench walls.
  - d. Do not use power-driven impact compactors to compact pipe zone material.
- B. Tolerances:
  - 1. Deflection from Horizontal Line: Maximum 2 inches.
  - 2. Deflection From Vertical Grade: Maximum 1/4 inch(es).
  - 3. Joint Deflection: Maximum of 75 percent of manufacturer's recommendation.
  - 4. Pipe Cover: Minimum 3 feet, unless otherwise shown.

## 3.06 PIPE CORROSION PROTECTION

- A. Ductile Iron Pipe:
  - 1. Exposed: Coatings shall meet or exceed the following requirements:
    - a. Surface Preparation: SP6, commercial blast cleaning.
    - b. Primer: Rust-inhibitive primer, 1 coat, 2 mils dry film thickness.
    - c. Top coat: Alkyd enamel, 2 coats, 4 mils dry film thickness.
    - d. Color: To match color of exterior of sedimentation basin walls.
  - 2. Buried: Wrap with polyethylene bagging.
- B. Polyethylene Encasement: Install in accordance with AWWA C105/A21.5 and manufacturer's instructions.

# 3.07 THRUST RESTRAINT

- A. Location:
  - 1. Buried Piping: Where required to restrain force developed at pipeline tees, plugs, caps, bends, and other locations where unbalanced forces exist because of hydrostatic testing and normal operating pressure.
  - 2. Exposed Piping: At all joints in piping.
- B. Thrust Ties:
  - 1. Ductile Iron Pipe: Attach with socket clamps anchored against grooved joint coupling or flange.
  - 2. Flanged Coupling Adapters: For exposed installations, install manufacturer's anchor studs through coupling sleeve or use dismantling joints.
- C. Mechanical Joint Valve Restraint in Proprietary Restrained Joint Piping: Install pipe joint manufacturer's adapter gland follower and pipe end retainer, or mechanical joint anchor gland follower.

### 3.08 FIELD FINISHING

- A. Notify Engineer at least 3 days prior to start of surface preparation or coating application work.
- B. As specified hereinbefore.

# 3.09 FIELD QUALITY CONTROL

A. Pressure Leakage Testing: As indicated in the Piping Schedule. No visible leakage shall be detectable. Test buried piping prior to backfilling trench.

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## 3.10 CLEANING

- A. Following assembly and testing, and prior to final acceptance, flush pipelines, except as stated below, with water at 2.5 fps minimum flushing velocity until foreign matter is removed.
- B. If impractical to flush large diameter pipe at 2.5 fps, clean in-place from inside by brushing and sweeping, then flush or blow line at lower velocity.

## 3.11 SUPPLEMENTS

- A. The supplements listed below, following "End of Section," are a part of this Specification:
  - 1. Piping Schedule Legend.
  - 2. Piping Schedule.
  - 3. Data Sheets.

Number	Title
40 27 00.01	Cement-Mortar-Lined Ductile Iron Pipe and Fittings
40 27 00.08	Stainless Steel Pipe and Fittings – General Service

# **END OF SECTION**

# PIPING SCHEDULE LEGEND

## **SERVICE**

KSD Kestduals (Settled Solids	RSD I	Residuals	(Settled S	Solids
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# **EXPOSURE**

ALL	All
BUR	Buried
EXP	Exposed
SUB	Submerged

# **MATERIAL**

SST Stainless Stee
--------------------

# JOINT TYPE

FL	Flanged
RM	Restrained Mechanical
S	Screwed
W	Welded (including solvent and fusion)

# PRESSURE TEST

Hydrostatic

NA Not Applicable

	PIPING SCHEDULE								
1 5 1		Specification Section	Joint Type	Lining/ Coating	Test Pressure and Type (psig-x), x = Type indicated in Legend	Notes			
Residuals	RSD	SUB	SST	40 27 00.08	FL, W	NA	5, H	NSF 61 certification required	
		EXP	CLDI	40 27 00.01	FL	As specified	10, H	Color to match existing basin walls	
		BUR	CLDI	40 27 00.01	RM	As specified	10, H		

CEMEN	SECTION 40 27 00.01 CEMENT-MORTAR-LINED DUCTILE IRON PIPE AND FITTINGS						
Item	Description						
General	Materials in contact with potable water shall conform to NSF 61 acceptance.						
	Pipe manufacturer shall submit certification that source manufacturing facility has been producing ductile iron pipe of specified diameters, dimensions, and standards for a period of not less than 10 years. Testing of pipe required by AWWA C151/A21.51 shall be conducted in testing and laboratory facilities located in the USA and operating under USA laws and regulations. Pipe shall be handled during manufacture and shipped without nesting (without insertion of one pipe inside another).						
Pipe	Buried Liquid Service Using Mechanical or Proprietary Restrained Joints: AWWA C111/A21.11, and AWWA C151/A21.51, pressure class conforming to Table 5 and Table 7 for Type 4 trench, 250 psi minimum working pressure. Follower glands shall be ductile iron.						
	Exposed Pipe Using Flange Joints: AWWA C115/A21.15, thickness Class 53 minimum, 250 psi minimum working pressure.						
Lining	Cement-mortar: AWWA C104/A21.4.						
Fittings	Lined and coated same as pipe.						
	Mechanical: AWWA C110/A21.10, AWWA C111/A21.11, and AWWA C153/A21.53 ductile iron, 250 psi minimum working pressure. Follower glands shall be ductile iron.						
	Proprietary Restrained: AWWA C110/A21.10, AWWA C111/A21.11, and AWWA C153/A21.53, ductile iron, 250 psi minimum working pressure. Restraint shall be achieved with removable metal elements fitted between a welded bar on the pipe barrel and the inside of the joint bell or fitting sizes smaller than 16 inches may be mechanical joint, restrained by anchor gland followers, ductile iron anchor type, wedge action, with break-off tightening bolts. Assembled joints shall be rated for deflection in operation at rated pressure. Rated deflection shall be not less than 1-1/2 degrees for 36-inch and smaller pipe. Rated deflection shall be not less than 1/2 degree for 42-inch and larger pipe. Clow Corp., American Cast Iron Pipe Co., U.S. Pipe. Restrained joints relying on metal teeth molded into the gasket to prevent joint separation under pressure will not be accepted.						
	Flange: AWWA C110/A21.10 ductile iron, faced and drilled, Class 125 flat face . Gray cast iron will not be allowed.						

SECTION 40 27 00.01 CEMENT-MORTAR-LINED DUCTILE IRON PIPE AND FITTINGS					
Item	Description				
Joints	Mechanical: 250 psi minimum working pressure.				
	Proprietary Restrained: 150 psi minimum working pressure. Clow Corp., Super-Lock; American Cast Iron Pipe Co., Flex-Ring or Lok-Ring; U.S. Pipe, TR Flex.				
	Flange: Dimensions per AWWA C110/A21.10 flat face, ductile iron, threaded conforming to AWWA C115/A21.15. Gray cast iron will not be allowed.				
Bolting	Mechanical and Proprietary Restrained Joints: Manufacturer's standard.				
	Flanged: ASTM A307, Grade B carbon steel heavy hex head or stud bolts, ASTM A563, Grade A carbon steel heavy hex head nuts and ASTM F436 hardened steel washers at nuts and bolt heads. Stud bolts are not allowed when bolting to tapped flanges. Torque bolts per gasket manufacturer recommendations.				
Gaskets	General: Gaskets in contact with potable water shall be NSF ANSI 61 certified.				
	Mechanical and Proprietary Restrained Joints; Water Service: Halogenated butyl or EPDM, Shore A hardness durometer 60, conforming to AWWA C111/A21.11.				
	Flanged, Water Service: 1/8-inch-thick, homogeneous black rubber (EPDM), hardness 60-80 (Shore A), rated to 275 degrees F, conforming to ASME B16.21 and ASTM D2000.				
	Full face for flat-faced flanges, flat-ring type for raised-face flanges. Blind flanges shall be epoxy-lined in accordance with the system specified above.				
	Gasket pressure rating to equal or exceed the system hydrostatic test pressure.				
Joint Lubricant	Manufacturer's standard.				

# **END OF SECTION**

SECTION 40 27 00.08 STAINLESS STEEL PIPE AND FITTINGS—GENERAL SERVICE						
Item Size Description						
Pipe	2-1/2" & smaller	Schedule 40S: ASTM A312/A312M, Type 304 seamless, pickled and passivated.				
	3" thru 6"	Schedule 10S: ASTM A312/A312M, Type 304L, pickled and passivated.				
	8" & larger	Schedule 5S: ASTM A312/A312M, Type 304L, pickled and passivated.				
Joints	1-1/2" & smaller	Threaded or flanged at equipment as required or shown.				
	2" & larger	Butt-welded or flanged at valves and equipment.				
Fittings	1-1/2" & smaller	Threaded: Forged 1,000 CWP minimum, ASTM A182/A182M, Grade F304 or cast Class 150, ASTM A351/A351M, Grade CF8/304.				
	2" & 2-1/2"	Butt Welded: ASTM A403/A403M, Grade WP304L conforming to ASME B16.9 and MSS SP 43, annealed, pickled and passivated; fitting wall thickness to match adjoining pipe; long radius elbows, unless shown otherwise.				
	3" & larger	Butt-Welded: ASTM A403/A403M, Type 304L pickled and passivated; fitting wall thickness to match adjoining pipe; long radius elbows, unless shown otherwise.				
Branch Connections 1-1/2" & smaller		Tee or reducing tee in conformance with fittings above.				
	2" & larger	Butt-welding tee or reducing tee in accordance with fittings above.				
Flanges	All	Forged Stainless Steel: ASTM A182/A182M, Grade F304L, ASME B16.5 Class 150 or Class 300, slip- on weld neck or raised face. Weld slip-on flanges inside and outside.				

SECTION 40 27 00.08 STAINLESS STEEL PIPE AND FITTINGS—GENERAL SERVICE						
Item	Size	Description				
Unions	2" & smaller	Threaded Forged: ASTM A182/A182M, Grade F304, 2,000-pound or 3,000-pound WOG, integral ground seats, AAR design meeting the requirements of ASME B16.11, bore to match pipe.				
Bolting	All	Forged Flanges: Type 304 stainless steel, ASTM A320/A320M Grade B8M hex head bolts, ASTM A194/A194M Grade 8M hex head nuts and ASTM F436 Type 3 alloy washers at nuts and bolt heads. Achieve 40 percent to 60 percent of bolt minimum yield stress.				
Gaskets	All Flanges	Flanged, Water Services: 1/8 inch thick, homogeneous black rubber (EPDM), hardness 60 (Shore A), rated to 250 degrees F. continuous and conforming to ASME B16.21 and ASTM D1330, Steam Grade.				
		Blind Flanges: Gasketed covering entire inside face with gasket cemented to blind flange.				
Thread Lubricant	2" & smaller	General Service: 100 percent virgin PTFE Teflon tape.				

### **END OF SECTION**

### SECTION 40 27 02 PROCESS VALVES AND OPERATORS

# PART 1 GENERAL

#### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Gas Association (AGA): 3, Orifice Metering of Natural Gas and Other Related Hydrocarbon Fluids.
  - 2. American National Standards Institute (ANSI): Z21.15, Manually Operated Gas Valves for Appliances, Appliance Connector Valves and Hose End Valves.
  - 3. American Society of Mechanical Engineers (ASME):
    - a. B16.1, Gray Iron Pipe Flanges and Flanged Fittings: Classes 25, 125, and 250.
    - b. B16.44, Manually Operated Metallic Gas Valves for Use in Above Ground Piping Systems up to 5 psi.
  - 4. American Society of Sanitary Engineers (ASSE): 1011, Performance Requirements for Hose Connection Vacuum Breakers.
  - 5. American Water Works Association (AWWA):
    - a. C111/A21.11, Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings.
    - b. C500, Metal-Seated Gate Valves for Water Supply Service.
    - c. C504, Rubber-Seated Butterfly Valves, 3 In. (75 mm) Through 72 In. (1,800 mm).
    - d. C508, Swing-Check Valves for Waterworks Service, 2-In. Through 24-In. (50-mm Through 600-mm) NPS.
    - e. C509, Resilient-Seated Gate Valves for Water Supply Service.
    - f. C510, Double Check Valve Backflow Prevention Assembly.
    - g. C511, Reduced-Pressure Principle Backflow Prevention Assembly.
    - h. C512, Air-Release, Air/Vacuum, and Combination Air Valves for Waterworks Service.
    - i. C515, Reduced-Wall, Resilient-Seated Gate Valves for Water Supply Service.
    - j. C541, Hydraulic and Pneumatic Cylinder and Vane-Type Actuators for Valves and Slide Gates.
    - k. C542, Electric Motor Actuators for Valves and Slide Gates.
    - 1. C550, Protective Interior Coatings for Valves and Hydrants.
    - m. C606, Grooved and Shouldered Joints.
    - n. C800, Underground Service Line Valves and Fittings.

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- 6. ASTM International (ASTM):
  - a. A276, Standard Specification for Stainless Steel Bars and Shapes.
  - b. A351/A351M, Standard Specification for Castings, Austenitic, for Pressure-Containing Parts.
  - c. A380, Standard Practice for Cleaning, Descaling, and Passivation of Stainless Steel Parts, Equipment, and Systems.
  - d. A564/A564M, Standard Specification for Hot-Rolled and Cold-Finished Age-Hardening Stainless Steel Bars and Shapes.
  - e. B61, Standard Specification for Steam or Valve Bronze Castings.
  - f. B62, Standard Specification for Composition Bronze or Ounce Metal Castings.
  - g. B98/B98M, Standard Specification for Copper-Silicon Alloy Rod, Bar, and Shapes.
  - h. B127, Standard Specification for Nickel-Copper Alloy (UNS N04400) Plate, Sheet, and Strip.
  - i. B139/B139, Standard Specification for Phosphor Bronze Rod, Bar and Shapes.
  - j. B164, Standard Specification for Nickel-Copper Alloy Rod, Bar, and Wire.
  - k. B194, Standard Specification for Copper-Beryllium Alloy Plate, Sheet, Strip, and Rolled Bar.
  - 1. B584, Standard Specification for Copper Alloy Sand Castings for General Applications.
  - m. D429, Standard Test Methods for Rubber Property-Adhesion to Rigid Substrates.
  - n. D1784, Standard Specification for Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds.
- 7. Canadian Standards Association, Inc. (CSA): 9.1, Manually Operated Gas Valves for Appliances, Appliance Connector Valves and Hose End Valves.
- 8. Chlorine Institute (CI): Pamphlet 6, Piping Systems for Dry Chlorine.
- 9. FM Global (FM).
- 10. Food and Drug Administration (FDA).
- 11. International Association of Plumbing and Mechanical Officials (IAPMO).
- 12. Manufacturers Standardization Society (MSS):
  - a. SP-80, Bronze Gate, Globe, Angle, and Check Valves.
  - b. SP-81, Stainless Steel, Bonnetless, Flanged Knife Gate Valves.
  - c. SP-85, Gray Iron Globe and Angle Valves, Flanged and Threaded Ends.
  - d. SP-88, Diaphragm Valves.
  - e. SP-110, Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends.

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- 13. National Electrical Manufacturers Association (NEMA): 250,
- Enclosures for Electrical Equipment (1000 Volts Maximum).
- 14. NSF International (NSF):
  - a. NSF/ANSI 61, Drinking Water System Components Health Effects.
  - b. NSF/ANSI 372, Drinking Water System Components Lead Content.
- 15. Underwriters Laboratories (UL).
- 16. USC Foundation for Cross-Connection Control and Hydraulic Research.

### 1.02 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings:
    - a. Product data sheets for each make and model. Indicate valve Type Number, applicable Tag Number, and facility name/number or service where used.
    - b. Complete catalog information, descriptive literature, specifications, and identification of materials of construction.
    - c. Certification for compliance to NSF/ANSI 61 for valves used for drinking water service.
    - d. Power and control wiring diagrams, including terminals and numbers.
    - e. For each power actuator provided, manufacturer's standard data sheet, with application specific features and options clearly identified.
- B. Informational Submittals:
  - 1. Manufacturer's Certificate of Compliance, in accordance with Section 01 61 00, Common Product Requirements, for:
    - a. Electric actuators; full compliance with AWWA C542.
    - b. Butterfly valves; full compliance with AWWA C504.
  - 2. Tests and inspection data.
  - 3. Operation and Maintenance Data as specified in Section 01 78 23, Operation and Maintenance Data.

# PART 2 PRODUCTS

- 2.01 GENERAL
  - A. Valves to include operator, actuator, handwheel, chain wheel, extension stem, floor stand, operating nut, chain, wrench, and accessories to allow a complete operation from the intended operating level.

- B. Valve to be suitable for intended service. Renewable parts not to be of a lower quality than specified.
- C. Valve same size as adjoining pipe, unless otherwise called out on Drawings or in Supplements.
- D. Valve ends to suit adjacent piping.
- E. Resilient seated valves shall have no leakage (drip-tight) in either direction at valve rated design pressure. All other valves shall have no leakage (drip-tight) in either direction at valve rated design pressure, unless otherwise allowed for in this section or in stated valve standard.
- F. Size operators and actuators to operate valve for full range of pressures and velocities.
- G. Valve to open by turning counterclockwise, unless otherwise specified.
- H. Factory mount operator, actuator, and accessories.
- I. Components and Materials in Contact with Water for Human Consumption: Comply with the requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements. Provide certification by manufacturer or an accredited certification organization recognized by the Authority Having Jurisdiction that components and materials comply with the maximum lead content standard in accordance with NSF/ANSI 61 and NSF/ANSI 372.
  - 1. Use or reuse of components and materials without a traceable certification is prohibited.

#### 2.02 SCHEDULE

A. Additional requirements relative to this section are shown on Electric Actuated Valve Schedule located at the end of this section.

#### 2.03 MATERIALS

- A. Bronze and brass valve components and accessories that have surfaces in contact with water to be alloys containing less than 16 percent zinc and 2 percent aluminum.
  - Approved alloys are of the following ASTM designations: B61, B62, B98/B98M (Alloy UNS No. C65100, C65500, or C66100), B139/B139M (Alloy UNS No. C51000), B584 (Alloy UNS No. C90300 or C94700), B164, B194, and B127.
  - 2. Stainless steel Alloy 18-8 may be substituted for bronze.

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- B. Valve materials in contact with or intended for drinking water service to meet the following requirements:
  - 1. Materials to comply with requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements.
  - 2. Coatings materials to be formulated from materials deemed acceptable to NSF/ANSI 61.
  - 3. Supply certification product is certified as suitable for contact with drinking water by an accredited certification organization in accordance with NSF/ANSI 61. Provide certification for each valve type used for drinking water service.

# 2.04 FACTORY FINISHING

- A. General:
  - 1. Interior coatings for valves and hydrants shall be in accordance with AWWA C550, unless otherwise specified.
  - 2. Exterior coating for valves and hydrants shall be in accordance with Section 09 90 00, Painting and Coating.
  - 3. Material in contact with potable water shall conform to NSF/ANSI 61.
  - 4. Exposed safety isolation valves and lockout valves with handles, handwheels, or chain wheels shall be "safety yellow."
- B. Where epoxy lining and coating are specified, factory finishing shall be as follows:
  - 1. In accordance with AWWA C550.
  - 2. Either two-part liquid material or heat-activated (fusion) material except only heat-activated material if specified as "fusion" or "fusion bonded" epoxy.
  - 3. Minimum 7-mil dry film thickness except where limited by valve operating tolerances.

# 2.05 VALVES

- A. Plug Valves:
  - 1. Type V405 Eccentric Plug Valve 3 Inches to 12 Inches:
    - a. Nonlubricated type rated 175 psig CWP, drip-tight shutoff with pressure from either direction, cast-iron body, exposed service flanged ends per ASME B16.1 or grooved ends in accordance with AWWA C606 for rigid joints, buried service mechanical joint ends, unless otherwise shown.

- Plug cast iron with round or rectangular port of no less than 80 percent of connecting pipe area and coated with Buna-N, seats welded nickel, stem bearings lubricated stainless steel or bronze, stem seal multiple V-rings, or U-cups with O-rings of nitrile rubber, grit seals on both upper and lower bearings.
- c. Operators:
  - 1) 3-Inch to 4-Inch Valves: Wrench lever manual.
- d. Manufacturers and Products:
  - 1) Pratt; Ballcentric.
  - 2) DeZurik; Style PEC.
  - 3) Milliken; Millcentric Series 600.
- B. Butterfly Valves:
  - 1. General:
    - a. In full compliance with AWWA C504 and following requirements:
      - 1) Suitable for throttling operations and infrequent operation after periods of inactivity.
      - Elastomer seats which are bonded or vulcanized to the body shall have adhesive integrity of bond between seat and body assured by testing, with minimum 75-pound pull in accordance with ASTM D429, Method B.
      - 3) Bubble-tight with rated pressure applied from either side. Test valves with pressure applied in both directions.
      - 4) No travel stops for disc on interior of body.
      - 5) Self-adjusting V-type or O-ring shaft seals.
      - 6) Isolate metal-to-metal thrust bearing surfaces from flowstream.
      - 7) Provide traveling nut or worm gear actuator with handwheel. Valve actuators to meet the requirements of AWWA C504.
      - 8) Buried service operators shall withstand 450 foot-pounds of input torque at fully open and fully closed positions.
      - 9) Provide linings and coatings per AWWA, unless otherwise indicated on Drawings or specified herein.
      - 10) Valves to be in full compliance with NSF/ANSI 61. Provide NSF/ANSI 61 certificate for each valve.
    - b. Non-AWWA butterfly valves to meet the following actuator requirements:
      - For above ground installations, provide handle and notch plate for valves 6 inches and smaller and heavy-duty, totally enclosed gearbox type operators with handwheel, position indicator and travel stops for valves 8 inches and larger, unless otherwise indicated on Drawings or specified herein.

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- Type V500 Butterfly Valve Water Works Service 3 Inches to 72 Inches:
   a. AWWA C504, Class 150B.
  - b. Short body type, flanged ends.
  - c. Cast-iron body, cast or ductile iron disc, Type 304 stainless steel shafts, Buna-N rubber seat bonded or molded in body only, and 316 stainless steel seating surface.
  - d. The valve disc shall be double-offset design; concentric and single-offset design shall not be allowed.
  - e. Provide epoxy lining and coating in compliance with AWWA C550.
  - f. Manufacturers and Products:
    - 1) Pratt.
    - 2) Av-Tek; DEX-2504.

#### 2.06 OPERATORS AND ACTUATORS

- A. Manual Operators:
  - 1. General:
    - a. For AWWA valves, operator force not to exceed requirements of applicable valve standard. Provide gear reduction operator when force exceeds requirements.
    - b. For non-AWWA valves, operator force not to exceed applicable industry standard or 80 pounds, whichever is less, under operating condition, including initial breakaway. Provide gear reduction operator when force exceeds requirements.
    - c. Operator self-locking type or equipped with self-locking device.
    - d. Position indicator on quarter-turn valves.
    - e. Worm and gear operators one-piece design, worm-gears of gear bronze material. Worm of hardened alloy steel with thread ground and polished. Traveling nut type operator's threaded steel reach rod with internally threaded bronze or ductile iron nut.
  - 2. Exposed Operator:
    - a. Galvanized and painted handwheel.
    - b. Cranks on gear type operator.
    - c. Chain wheel operator with tieback, extension stem, floor stand, and other accessories to permit operation from normal operation level.
    - d. Valve handles to take a padlock, and wheels a chain and padlock.

#### 2.07 ACCESSORIES

A. Tagging: 1-1/2-inch diameter heavy brass or stainless steel tag attached with No. 16 solid brass or stainless steel jack chain for each valve, bearing valve tag number shown on Electric Actuated Valve Schedule.

# PART 3 EXECUTION

#### 3.01 INSTALLATION

- A. Flange Ends:
  - 1. Flanged valve bolt holes shall straddle vertical centerline of pipe.
  - 2. Clean flanged faces, insert gasket and bolts, and tighten nuts progressively and uniformly.
- B. Valve Installation and Orientation:
  - 1. General:
    - a. Install valves so handles operate from fully open to fully closed without encountering obstructions.
    - b. Install valves in location for easy access for routine operation and maintenance.
    - c. Install valves per manufacturer's recommendations.
  - 2. Eccentric Plug Valves:
    - a. Unless otherwise restricted or shown on Drawings, install valve as follows:
      - 1) Liquids with suspended solids service with horizontal flow: Install valve with stem in horizontal position with plug up when valve is open. Install valve with seat end upstream (flow to produce unseating pressure).
      - 2) Liquids with suspended solids service with vertical flow: Install valve with seat in highest portion of valve (seat up).
  - 3. Butterfly Valves:
    - a. Unless otherwise restricted or shown on Drawings, install valve a minimum of 8 diameters downstream of a horizontal elbow or branch tee with shaft in horizontal position.
    - b. For vertical elbow or branch tee immediately upstream of valve, install valve with shaft in vertical position.
    - c. For horizontal elbow or branch tee immediately upstream of valve, install valve with shaft in horizontal position.
    - d. When installed immediately downstream of swing check, install valve with shaft perpendicular to swing check shaft.
    - e. For free inlet or discharge into basins and tanks, install valve with shaft in vertical position.
- C. Install line size ball valve and union upstream of each solenoid valve, in-line
- 3.02 TESTS AND INSPECTION
  - A. Valve may be either tested while testing pipelines, or as a separate step.

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- B. Test that valves open and close smoothly under operating pressure conditions. Test that two-way valves open and close smoothly under operating pressure conditions from both directions.
- C. Inspect air and vacuum valves as pipe is being filled to verify venting and seating is fully functional.
- D. Count and record number of turns to open and close valve; account for discrepancies with manufacturer's data.
- E. Set, verify, and record set pressures for relief and regulating valves.
- F. Automatic valves to be tested in conjunction with control system testing. Set opening and closing speeds, limit switches, as required or recommended by Engineer.
- G. Test hydrostatic relief valve seating; record leakage. Adjust and retest to maximum leakage of 0.1 gpm per foot of seat periphery.

### 3.03 SUPPLEMENTS

- A. The supplements listed below, following "End of Section," are part of this Specification.
  - 1. Electric Actuated Valve Schedule.

# **END OF SECTION**

Electric Actuated Valve Schedule									
Tag Number	Valve Type	Actuator Power Supply	Valve Size (inches)	Process Fluid	Maximum Operating Flow (gpm)	Maximum ΔP (psi)	Service	Travel Time (Seconds)	Control Feature Modifications/ Supplements
Crosstown: FV-110-1 through -8*	V500	120-volt, single -phase	4"	RSD	200	15	Т	<30 sec	B, E, G, M
South Fayette: FV-110-1 through -4*	V500	120-volt, single-phase	4"	RSD	200	15	Т	<30 sec	B, E, G, M

\*: Valve and actuator provided as part of the hoseless sludge collection system package; equipment manufacturer to provide as specified.

Service: O/C = Open-Close, T = Throttling, M = Modulating

Control Feature Modifications/Supplements:

A = Actuator shall open valve upon loss of signal.

B = Actuator shall close valve upon loss of signal.

C = Actuator shall remain in last position upon loss of signal.

D = Local OPEN-CLOSE momentary pushbuttons that must be continuously depressed to initiate/maintain valve travel; travel stops when pushbutton is released or when end of travel limit is reached.

E = Remote OPEN-CLOSE maintained dry contacts; travel stops when remote contact opens, or when end of travel limit is reached.

F = Three 24-volt dc interposing relays for remote OPEN-STOP-CLOSE control. Relays powered externally, thereby permitting valve control from greater distances.

G = Motor and control enclosure(s) NEMA 250, Type 4 with 120-volt space heaters.

H = Motor and control enclosure(s) NEMA 250, Type 6 (IP 68) with 120-volt space heaters.

I = Motor and control enclosure(s) NEMA 250, Type 7 with 120-volt space heaters.

J = Valve position output converter that generates isolated 4 mA to 20 mA dc signal in proportion to valve position, and is capable of driving into loads of up to 500 ohms at 24 volts dc.

K = 120-volt secondary control power transformer.

L = Externally operable power disconnect switch.

M = See P&IDs for signals between valve and control panel.

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### SECTION 40 90 01 INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS

# PART 1 GENERAL

### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. ASTM International (ASTM):
    - a. A182, Standard Specification for Forged or Rolled Alloy-Steel Pipe Flanges, Forged Fittings, and Valves and Parts for High-Temperature Service.
    - b. A276, Standard Specification for Stainless and Heat-Resisting Steel Bars and Shapes.
    - c. A312, Standard Specification for Seamless and Welded Austenitic Stainless Steel Pipes.
    - d. B32, Standard Specification for Solder Metal.
    - e. B88, Standard Specification for Seamless Copper Water Tube.
  - 2. International Society of Automation (ISA):
    - a. S5.1, Instrumentation Symbols and Identification (NRC ADOPTED).
    - b. PR12.6, Installation of Intrinsically Safe Systems for Hazardous (Classified) Locations.
    - c. S5.4, Standard Instrument Loop Diagrams.
    - d. S20, Specification Forms for Process Measurement and Control Instruments, Primary Elements and Control Valves.
    - e. S50.1, Compatibility of Analog Signals for Electronic Industrial Process Instruments.
  - 3. National Electrical Manufacturers Association (NEMA):
    - a. 250, Enclosures for Electrical Equipment (1,000 Volts Maximum).
    - b. ICS 1, General Standards for Industrial Control and Systems.
  - 4. National Institute of Standards and Technology (NIST).
  - 5. NSF International (NSF):
    - a. NSF/ANSI 61, Drinking Water System Components Health Effects.
    - b. NSF/ANSI 372, Drinking Water System Components Lead Content.
  - 6. Underwriters Laboratory, Inc. (UL): 508A, Standard for Safety, Industrial Control Panels.

### 1.02 SUMMARY

- A. Work Includes:
  - 1. Engineering, furnishing, installing, calibrating, adjusting, testing, documenting, starting up, and Owner training for complete Process Instrumentation and Control (PIC) for plant.
  - 2. Major parts are:
    - a. Crosstown
      - 1) Primary elements, transmitters, control devices and control panels.
      - 2) Integration of new Hoseless Sludge Collector System Controls into the existing Allen-Bradley based plant PLC Network system via Ethernet TCP/IP.
      - 3) Integration of new systems and controls into existing Wonderware HMI system. Create displays as required for the process equipment as depicted on the Contract Drawings. Modify existing graphics as required. Contractor shall follow the existing graphics standards to provide consistent look and feel.
      - 4) Application software shall be provided by the Contractor. Work includes but is not limited to, modifications to the existing PLC(s) as required, configuration of the existing servers and PLC networks and additions/modifications to the Wonderware application software.
    - b. South Fayette
      - 1) Primary elements, transmitters, control devices and control panels.
      - 2) Integration of new Hoseless Sludge Collector System Controls into the existing Modicon based plant PLC Network system via Ethernet TCP/IP.
      - 3) Integration of new systems and controls into existing Wonderware HMI system. Create displays as required for the process equipment as depicted on the Contract Drawings. Modify existing graphics as required. Contractor shall follow the existing graphics standards to provide consistent look and feel.
      - 4) Application software shall be provided by the Contractor. Work includes but is not limited to, modifications to the existing PLC(s) as required, configuration of the existing servers and PLC networks and additions/modifications to the Wonderware application software.

- B. Detailed Design: PIC as shown and specified includes functional and performance requirements and component specifications. Complete detailed PIC design.
- C. PIC System Integrator shall be selected from the following list:
  - 1. Kapsch TrafficCom US, Duluth, GA.
  - 2. MR Systems, Norcross, GA.
  - 3. J.K. Duren Company, Roswell, GA.
  - 4. Revere Control Systems, Birmingham, AL.

### 1.03 DEFINITIONS

- A. Abbreviations:
  - 1. CP: Control Panel.
  - 2. FP: Field Panel.
  - 3. HMI: Human Machine Interface.
  - 4. LCP: Local Control Panel.
  - 5. MCC: Motor Control Center.
  - 6. PAT: Performance Acceptance Test.
  - 7. PIC: Process Instrumentation and Control.
  - 8. PLC: Programmable Logic Controller.
  - 9. RIO: Remote Input/Output.
  - 10. RTU: Remote Telemetry Unit.
- B. Rising/Falling: Terms used to define actions of discrete devices about their setpoints.
  - 1. Rising: Contacts close when an increasing process variable rises through setpoint.
  - 2. Falling: Contacts close when a decreasing process variable falls through setpoint.
- C. Signal Types:
  - 1. Analog Signals, Current Type:
    - a. 4 mA to 20 mA dc signals conforming to ISA S50.1.
    - b. Unless otherwise indicated for specific PIC Subsystem components, use the following ISA 50.1 options:
      - 1) Transmitter Type: Number 2, two-wire.
      - 2) Transmitter Load Resistance Capacity: Class L.
      - 3) Fully isolated transmitters and receivers.
  - 2. Analog Signals, Voltage Type: 1 to 5 volts dc within panels where a common high precision dropping resistor is used.

- 3. Discrete signals, two-state logic signals using dc or 120V ac sources as indicated.
- 4. Pulse Frequency Signals:
  - a. Direct current pulses whose repetition rate is linearly proportional to process variable.
  - b. Pulses generated by contact closures or solid state switches as indicated.
  - c. Power source less than 30V dc.
- 5. Special Signals: Other types of signals used to transmit analog and digital information between field elements, transmitters, receivers, controllers, and digital devices.

### 1.04 SUBMITTALS

- A. Action Submittals:
  - 1. General:
    - a. Shop Drawings, full-scaled details, wiring diagrams, catalog cuts, and descriptive literature.
    - b. Identify proposed items and options. Identify installed spares and other provisions for future work (for example, reserved panel space; unused components, wiring, and terminals).
    - c. Legends and Abbreviation Lists: Complete definition of symbols and abbreviations used on this Project (for example, engineering units, flow streams, instruments, structures, and other process items used in nameplates, legends, and data sheets).
  - 2. Bill of Materials: List of required equipment.
    - a. Group equipment items as follows:
      - 1) I&C Components: By component identification code.
      - 2) Other Equipment: By equipment type.
    - b. Data Included:
      - 1) Equipment tag number.
      - 2) Description.
      - 3) Manufacturer, complete model number, and all options not defined by model number.
      - 4) Quantity supplied.
      - 5) Component identification code where applicable.
  - 3. Catalog Cuts: I&C Components, Electrical Devices, and Mechanical Devices:
    - a. Catalog information, mark to identify proposed items and options.
    - b. Descriptive literature.
    - c. External power and signal connections.
    - d. Scaled drawings showing exterior dimensions and locations of electrical and mechanical interfaces.

- 4. Component Data Sheets: Data sheets for I&C components.
  - a. Format and Level of Detail: In accordance with ISA-S20.
  - b. Include component type identification code and tag number on data sheet.
  - c. Specific features and configuration data for each component:
    - 1) Location or service.
    - 2) Manufacturer and complete model number.
    - 3) Size and scale range.
    - 4) Setpoints.
    - 5) Materials of construction.
    - 6) Options included.
  - d. Name, address, and telephone number of manufacturer's local office, representative, distributor, or service facility.
- 5. Sizing and Selection Calculations:
  - a. Primary Elements: Complete calculations plus process data used. Example, for flow elements, minimum and maximum values, permanent head loss, and assumptions made.
  - b. Controlling, Computing and Function Generating Modules: Actual scaling factors with units and how they were computed.
- 6. Panel Construction Drawings:
  - a. Scale Drawings: Show dimensions and location of panel mounted devices, doors, louvers, and subpanels, internal and external.
  - b. Panel Legend: List front of panel devices by tag numbers, nameplate inscriptions, service legends, and annunciator inscriptions.
  - c. Bill of Materials: List devices mounted within panel that are not listed in panel legend. Include tag number, description, manufacturer, and model number.
  - d. Construction Details: NEMA rating, materials, material thickness, structural stiffeners and brackets, lifting lugs, mounting brackets and tabs, door hinges and latches, and welding and other connection callouts and details.
  - e. Construction Notes: Finishes, wire color schemes, wire ratings, wire and terminal block, numbering and labeling scheme.
- 7. Panel Control Diagrams: For discrete control and power circuits.
  - a. Diagram Type: Ladder diagrams. Include devices, related to discrete functions, that are mounted in or on the panel and that require electrical connections. Show unique rung numbers on left side of each rung.
  - b. Item Identification: Identify each item with attributes listed.
    - 1) Wires: Wire number and color. Cable number if part of multiconductor cable.

- 2) Terminals: Location (enclosure number, terminal junction box number, or MCC number), terminal strip number, and terminal block number.
- 3) Discrete Components:
  - a) Tag number, terminal numbers, and location ("FIELD", enclosure number, or MCC number).
  - b) Switching action (open or close on rising or falling process variable), setpoint value and units, and process variable description (for example, Sump Level High).
- 4) Relay Coils:
  - a) Tag number and its function.
  - b) On right side of run where coil is located, list contact location by ladder number and sheet number. Underline normally closed contacts.
- 5) Relay Contacts: Coil tag number, function, and coil location (ladder rung number and sheet number).
- c. Show each circuit individually. No "typical" diagrams or "typical" wire lists will be permitted.
- d. Ground wires, surge protectors, and connections.
- e. Circuit Names: Show names corresponding to Circuit and Raceway Schedule for circuits entering and leaving a panel. Refer to Division 26, Electrical.
- 8. Panel Wiring Diagrams: Show point-to-point and terminal-to-terminal wiring within panel.
- 9. Loop Diagrams: Individual wiring diagram for each analog or pulse frequency loop.
  - a. Conform to the minimum requirements of ISA S5.4.
  - b. Under Paragraph 5.3 of ISA S5.4, include the information listed under subparagraphs 2 and 6.
  - c. Drawing Size: Individual 11-inch by 17-inch sheet for each loop.
  - d. Divide each loop diagram into areas for panel face, back-of-panel, and field.
  - e. Show:
    - 1) Terminal numbers, location of dc power supply, and location of common dropping resistors.
    - 2) Switching contacts in analog loops and output contacts of analog devices. Reference specific control diagrams where functions of these contacts are shown.
    - 3) Tabular summary on each diagram:
      - a) Transmitting Instruments: Output capability.
      - b) Receiving Instruments: Input impedance.

- c) Loop Wiring Impedance: Estimate based on wire sizes and lengths shown.
- d) Total loop impedance.
- e) Reserve output capacity.
- 4) Circuit and raceway schedule names.
- 10. Interconnecting Wiring Diagrams:
  - a. Diagrams, device designations, and symbols in accordance with NEMA ICS 1.
  - b. Diagrams shall bear electrical Subcontractor's signature attesting diagrams have been coordinated with Division 26, Electrical.
  - c. Show:
    - 1) Electrical connections between equipment, consoles, panels, terminal junction boxes, and field mounted components.
    - 2) Component and panel terminal board identification numbers, and external wire and cable numbers.
    - 3) Circuit names matching Circuit and Raceway Schedule.
    - 4) Intermediate terminations between field elements and panels (for example, to terminal junction boxes and pull boxes).
    - 5) Pull boxes.
- B. Informational Submittals: For PIC equipment, provide Manufacturer's Certificate of Proper Installation and readiness for operation.
  - 1. Owner Training Plan. Reference Section 01 43 33, Manufacturers' Field Services.
  - 2. Operation and Maintenance (O&M) Manuals: In accordance with Section 01 78 23, Operation and Maintenance Data, unless otherwise specified in this section.
    - a. Content and Format:
      - 1) Complete sets O&M manuals.
      - 2) Sufficient detail to allow operation, removal, installation, adjustment, calibration, maintenance and purchasing replacements for each PIC component.
      - 3) Final versions of Legend and Abbreviation Lists.
      - 4) Manual format in accordance with Section 01 78 23, Operation and Maintenance Data.
    - b. Include:
      - 1) Process and Instrumentation Diagrams: One reproducible copy of revised P&ID to reflect as-built PIC design.
      - 2) Refer to paragraph Shop Drawings for the following items:
        - a) Bill of Materials.
        - b) Catalog Cuts.
        - c) Component Data Sheets.
        - d) Panel Control Diagrams.

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- e) Panel Wiring Diagrams, one reproducible copy.
- f) Panel Plumbing Diagrams, one reproducible copy.
- g) Loop Diagrams, one reproducible copy.
- h) Interconnecting Wiring Diagrams, one reproducible copy.
- i) Application Software Documentation.
- 3) Device O&M manuals for components, electrical devices, and mechanical devices include:
  - a) Operations procedures.
  - b) Installation requirements and procedures.
  - c) Maintenance requirements and procedures.
  - d) Troubleshooting procedures.
  - e) Calibration procedures.
  - f) Internal schematic and wiring diagrams.
  - g) Component Calibration Sheets from field quality control calibrations.
- 4) List of spares, expendables, test equipment and tools provided.
- 5) List of additional spares, expendables, test equipment and tools recommended.
- 3. Performance Acceptance Tests (PAT) Submittals:
  - a. Preliminary Test Procedures: Outlines of proposed tests, forms, and checklists.
  - b. Final Test Procedures: Proposed test procedures, forms, and checklists.
  - c. Test Documentation: Copy of signed off test procedures when tests are completed.

### 1.05 QUALITY ASSURANCE

- A. Calibration Instruments: Each instrument used for calibrating PIC equipment shall bear the seal of a reputable laboratory certifying that instrument has been calibrated within the previous 12 months to a standard endorsed by the NIST.
- B. Coordination Meetings:
  - 1. In accordance with Section 01 31 13, Project Coordination.
  - 2. Location: Owner's Site.
  - 3. Attended By: Engineer, Owner, and Contractor.
  - 4. Minimum of one is required. Specific dates will be established in Progress Schedule.
  - 5. First Meeting: Within 36 days after Notice to Proceed.

### 1.06 DELIVERY, STORAGE, AND HANDLING

- A. Provide Site and warehouse storage facilities for PIC equipment.
- B. Prior to shipment, include corrosive-inhibitive vapor capsules in shipping containers, and related equipment as recommended by the capsule manufacturer.
- C. Prior to installation, store items in dry indoor locations. Provide heating in storage areas for items subject to corrosion under damp conditions.
- D. Cover panels and other elements that are exposed to dusty construction environments.

#### 1.07 ENVIRONMENTAL REQUIREMENTS

- A. Standard Environmental Requirements: Unless otherwise noted, design equipment for continuous operation in these environments:
  - 1. Freestanding Panel and Consoles:
    - a. Inside, Air Conditioned: NEMA 1.
    - b. Inside: NEMA 12.
    - c. Outside: NEMA 4X.
  - 2. Smaller Panels and Assemblies (that are not Freestanding):
    - a. Inside, Air Conditioned: NEMA 12.
    - b. All Other Locations: NEMA 4X.
  - 3. Field Elements: Outside.
- B. Environmental Design Requirements: Following defines the types of environments referred to in the above.
  - 1. Inside, Air Conditioned:
    - a. Temperature:
      - 1) Normal: 60 to 80 degrees F.
      - 2) With Up to 4-Hour HVAC System Interruptions: 40 to 105 degrees F.
    - b. Relative Humidity:
      - 1) Normal: 10 percent (winter) to 70 percent (summer).
        - 2) With Up to 4-Hour HVAC System Interruption: 10 to 100 percent.
    - c. NEC Classification: Nonhazardous.
  - 2. Inside:
    - a. Temperature: 20 to 104 degrees F.
    - b. Relative Humidity: 10 to 95 percent noncondensing.
    - c. NEC Classification: Nonhazardous.

# 3. Inside, Corrosive:

- a. Temperature: Minus 20 to 104 degrees F.
- b. Relative Humidity: 10 to 95 percent noncondensing.
- c. Corrosive Environment: Chlorine gas.
- d. NEC Classification: Nonhazardous.
- 4. Outside:
  - a. Temperature: Minus 20 to 104 degrees F.
  - b. Relative Humidity: 10 to 95 percent noncondensing, freezing rain.
  - c. NEC Classification: Nonhazardous.
- 5. Outside, Corrosive:
  - a. Temperature: Minus 20 to 104 degrees F.
  - b. Relative Humidity: 10 to 95 percent noncondensing, freezing rain.
  - c. Corrosive Environment: Chlorine gas.
  - d. NEC Classification: Nonhazardous.

### 1.08 SEQUENCING AND SCHEDULING

- A. Activity Completion: The following is a list of key activities and their completion criteria:
  - 1. Shop Drawings: Reviewed and approved.
  - 2. Quality Control Submittals: Reviewed and accepted.
  - 3. Hardware Delivery: Hardware delivered to Site and inventoried by Contractor.
  - 4. PAT: Completed and required test documentation accepted.
- B. PIC Substantial Completion: When Engineer issues Certificate of Substantial Completion.
  - 1. Prerequisites:
    - a. All PIC Submittals have been completed.
    - b. PIC has successfully completed PAT.
    - c. Owner training plan is on schedule.
    - d. All spares, expendables, and test equipment have been delivered to Owner.
- C. PIC Acceptance: When Engineer issues a written notice of Final Payment and Acceptance.
  - 1. Prerequisites:
    - a. Certificate of Substantial Completion issued for PIC.
    - b. Punch-list items completed.
    - c. Final revisions to O&M manuals accepted.
    - d. Maintenance service agreements for PIC accepted by Owner.

D. Prerequisite Activities and Lead Times: Do not start the following key Project activities until the prerequisite activities and lead times listed below have been completed and satisfied:

Activity	Prerequisites and Lead Times
Submittal reviews by Engineer	Engineer acceptance of Submittal breakdown and schedule.
Hardware purchasing, fabrication, and assembly	Associated Shop Drawing Submittals completed.
Shipment	Completion of PIC Shop Drawing Submittals and preliminary O&M manuals.
Owner Training	Owner training plan completed.
PAT	Startup, Owner training, and PAT procedures completed; notice 4 weeks prior to start.

# PART 2 PRODUCTS

- 2.01 GENERAL
  - A. PIC functions as shown on Drawings and as required for each loop. Furnish equipment items as required. Furnish all materials, equipment, and software, necessary to effect required system and loop performance.
  - B. First Named Manufacturer: PIC design is based on first named manufacturers of equipment and materials.
    - 1. If an item is proposed from other than first named manufacturer, obtain approval from Engineer for such changes in accordance with Article Submittals.
    - 2. If using proposed item requires other changes, provide work and equipment to implement these changes. Changes that may be required include, but are not limited to: different installation, wiring, raceway, enclosures, connections, isolators, intrinsically safe barriers, software, and accessories.
  - C. Like Equipment Items:
    - 1. Use products of one manufacturer and of the same series or family of models to achieve standardization for appearance, operation, maintenance, spare parts, and manufacturer's services.

- 2. Implement all same or similar functions in same or similar manner. For example, control logic, sequence controls, and display layouts.
- D. Components and Materials in Contact with Water for Human Consumption: Comply with the requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements. Provide certification by manufacturer or an accredited certification organization recognized by the Authority Having Jurisdiction that components and materials comply with the maximum lead content standard in accordance with NSF/ANSI 61 and NSF/ANSI 372. Use or reuse of components and materials without a traceable certification is prohibited.

### 2.02 LOOP SPECIFICATIONS

- A. Location: Article Supplements.
- B. Organization: By unit process and loop number.
- C. Functional Requirements for Control Loops:
  - 1. Shown on Drawings, in Panel Control Diagrams, and Process and Instrumentation Diagrams (P&ID). P&ID format and symbols are in accordance with ISA S5.1, except as specified or shown on Drawings.
  - 2. Supplemented by Loop Specifications.
- D. Subheadings for Each Loop:
  - 1. Functions: Clarifies functional performance of loop, including abstract of interlocks.
    - a. Components: Lists major components for each loop. Information listed includes tag numbers.
    - b. Component Identification Codes: Alphanumeric codes of required components. Refer to Component Specification referenced in Article Supplements.
    - c. Component Names and Options: Required to tailor general Component Specifications to specific application. For example, special materials, mounting, size, unit range, scale, setpoints, and controller options.

#### 2.03 NAMEPLATES AND TAGS

- A. Panel Nameplates: Enclosure identification located on the enclosure face.
  - 1. Location and Inscription: As shown.

- 2. Materials: Laminated plastic attached to panel with stainless steel screws.
- 3. Letters: 1/2-inch white on black background, unless otherwise noted.
- B. Component Nameplates—Panel Face: Component identification located on panel face under or near component.
  - 1. Location and Inscription: As shown.
  - 2. Materials: Laminated plastic attached to panel with stainless steel screws.
  - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- C. Component Nameplates—Back of Panel: Component identification located on or near component inside of enclosure.
  - 1. Inscription: Component tag number.
  - 2. Materials: Adhesive backed, laminated plastic.
  - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- D. Legend Plates for Panel Mounted Pushbuttons, Lights, and Switches:
  - 1. Inscription: Refer to:
    - a. Table under paragraph Standard Pushbutton Colors and Inscriptions.
    - b. Table under paragraph Standard Light Colors and Inscriptions.
    - c. P&IDs in Drawings.
  - 2. Materials: Stainless steel, keyed legend plates. Secured to panel by mounting nut for pushbutton, light, or switch.
  - 3. Letters: Black on gray or white background.
- E. Service Legends: Component identification nameplate located on face of component.
  - 1. Inscription: As shown.
  - 2. Materials: Adhesive backed, laminated plastic.
  - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- F. Nametags: Component identification for field devices.
  - 1. Inscription: Component tag number.
  - 2. Materials: 16-gauge, Type 304 stainless steel.
  - 3. Letters: 3/16-inch imposed.
  - 4. Mounting: Affix to component with 16- or 18-gauge stainless steel wire or stainless steel screws.

## 2.04 ELECTRICAL REQUIREMENTS

- A. In accordance with Division 26, Electrical.
- B. I&C and electrical components, terminals, wires, and enclosures: UL recognized or UL listed.
- C. Wires Within Enclosures:
  - 1. ac Circuits:
    - a. Type: 300-volt, Type MTW stranded copper.
    - b. Size: For current to be carried, but not less than 18 AWG.
  - 2. Analog Signal Circuits:
    - a. Type: 300-volt stranded copper, twisted shielded pairs.
    - b. Size: 18 AWG, minimum.
  - 3. Other dc Circuits.
    - a. Type: 300-volt, Type MTW stranded copper.
    - b. Size: For current carried, but not less than 18 AWG.
  - 4. Special Signal Circuits: Use manufacturer's standard cables.
  - 5. Wire Identification: Numbered and tagged at each termination.
    - a. Wire Tags: Machine printed, heat shrink.
    - b. Manufacturers:
      - 1) Brady PermaSleeve.
      - 2) Tyco Electronics.
- D. Wires entering or leaving enclosures, terminate and identify as follows:
  - 1. Analog and discrete signal, terminate at numbered terminal blocks.
  - 2. Special signals, terminated using manufacturer's standard connectors.
  - 3. Identify wiring in accordance with Division 26, Electrical.
- E. Terminal Blocks for Enclosures:
  - 1. Quantity:
    - a. Accommodate present and spare indicated needs.
    - b. Wire spare PLC and RTU I/O points to terminal blocks.
    - c. One wire per terminal for field wires entering enclosures.
    - d. Maximum of two wires per terminal for 18-WG wire for internal enclosure wiring.
    - e. Spare Terminals: 20 percent of all connected terminals, but not less than 5 per terminal block.
  - 2. General:
    - a. Connection Type: Screw compression clamp.
    - b. Compression Clamp:
      - 1) Complies with DIN-VDE 0611.

- 2) Hardened steel clamp with transversal groves that penetrate wire strands providing a vibration-proof connection.
- Guides strands of wire into terminal. 3)
- Screws: Hardened steel, captive and self-locking. c.
- Current Bar: Copper or treated brass. d.
- Insulation: e.
  - 1) Thermoplastic rated for minus 55 to plus 110 degree C.
  - 2) Two funneled shaped inputs to facilitate wire entry.
- f. Mounting:
  - Standard DIN rail. 1)
  - 2) Terminal block can be extracted from an assembly without displacing adjacent blocks.
  - End Stops: Minimum of one at each end of rail. 3)
  - Wire preparation: Stripping only permitted.
- g. Jumpers: Allow jumper installation without loss of space on h. terminal or rail.
- i. Marking System:
  - Terminal number shown on both sides of terminal block 1)
  - 2) Allow use of preprinted and field marked tags.
  - 3) Terminal strip numbers shown on end stops.
  - 4) Mark terminal block and terminal strip numbers as shown on Panel Control Diagrams and Loop Diagrams.
  - 5) Fuse Marking for Fused Terminal Blocks: Fuse voltage and amperage rating shown on top of terminal block.
- Terminal Block, General-Purpose: 3.
  - Rated Voltage: 600V ac. a.
  - Rated Current: 30 amp. b.
  - Wire Size: 22 AWG to 10 AWG. c.
  - d. Rated Wire Size: 10 AWG.
  - Color: Grey body. e.
  - f. Spacing: 0.25 inch, maximum.
  - Test Sockets: One screw test socket 0.079-inch diameter. g.
  - Manufacturer and Product: Entrelec; Type M4/6.T. h.
- 4. Terminal Block, Ground:
  - Wire Size: 22 AWG to 12 AWG. a.
  - b. Rated Wire Size: 12 AWG.
  - c. Color: Green and yellow body.
  - Spacing: 0.25 inch, maximum. d.
  - Grounding: Ground terminal blocks electrically grounded to the e. mounting rail.
  - f. Manufacturer and Product: Entrelec; Type M4/6.P.
- Terminal Block, Fused, 24V dc: 5.
  - Rated Voltage: 600V dc. a.
  - b. Rated Current: 16-amp.
  - Wire Size: 22 AWG to 10 AWG. c.

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- d. Rated Wire Size: 10 AWG.
- e. Color: Grey body.
- f. Fuse: 0.25 inch by 1.25 inches.
- g. Indication: LED diode 24V dc.
- h. Spacing: 0.512 inch, maximum.
- i. Manufacturer and Product: Entrelec; Type M10/13T.SFL.
- 6. Terminal Block, Fused, 120V ac:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 16-amp.
  - c. Wire Size: 22 AWG to 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Grey body.
  - f. Fuse: 0.25 inch by 1.25 inches.
  - g. Indication: Neon Lamp 110V ac.
  - h. Leakage Current: 1.8 mA, maximum.
  - i. Spacing: 0.512 inch, maximum
  - j. Manufacturer and Product: Entrelec; Type M10/13T.SFL.
- F. Grounding of Enclosures:
  - 1. Furnish isolated copper grounding bus for signal and shield ground connections.
  - 2. Ground bus grounded at a common signal ground point in accordance with National Electrical Code requirements.
  - 3. Single Point Ground for Each Analog Loop:
    - a. Locate at dc power supply for loop.
    - b. Use to ground wire shields for loop.
  - 4. Ground terminal block rails to ground bus.
- G. Analog Signal Isolators: Furnish signal isolation for analog signals that are sent from one enclosure to another. Do not wire in series instruments on different panels, cabinets, or enclosures.
- H. Power Distribution Within Panels:
  - 1. Feeder Circuits:
    - a. One or more 120V ac, 60-Hz feeder circuits as shown on Drawings.
    - b. Make provisions for feeder circuit conduit entry.
    - c. Furnish terminal board for termination of wires.
  - 2. Power Panel: Furnish main circuit breaker and a circuit breaker on each individual branch circuit distributed from power panel.
    - a. Locate to provide clear view of and access to breakers when door is open.

- b. Breaker Sizes: Coordinate such that fault in branch circuit will blow only branch breaker but not trip the main breaker.
  - 1) Branch Circuit Breaker: 15 amps at 250V ac.
- c. Breaker Manufacturers and Products: Refer to Division 26, Electrical.
- 3. Circuit Wiring: P&IDs and Control Diagrams on Drawings show function only. Use following rules for actual circuit wiring:
  - a. Devices on Single Circuit: 20, maximum.
  - b. Multiple Units Performing Parallel Operations: To prevent failure of any single branch circuit from shutting down entire operation, do not group all units on same branch circuit.
  - c. Branch Circuit Loading: 12 amperes continuous, maximum.
  - d. Panel Lighting and Service Outlets: Put on separate 15-amp, 120V ac branch circuit.
  - e. Provide 120V ac plugmold for panel components with line cords.
- I. Signal Distribution:
  - 1. Within Panels: 4 mA to 20 mA dc signals may be distributed as 1 to 5V dc.
  - 2. Outside Panels: Isolated 4 mA to 20 mA dc only.
  - 3. All signal wiring twisted in shielded pairs.
- J. Relays:
  - 1. General:
    - a. Relay Mounting: Plug-in type socket.
    - b. Relay Enclosure: Furnish dust cover.
    - c. Socket Type: Screw terminal interface with wiring.
    - d. Socket Mounting: Rail.
    - e. Provide holddown clips.
  - 2. Signal Switching Relay:
    - a. Type: Dry circuit.
    - b. Contact Arrangement: 2 Form C contacts.
    - c. Contact Rating: 0 to 5 amps at 28V dc or 120V ac.
    - d. Contact Material: Gold or silver.
    - e. Coil Voltage: As noted or shown.
    - f. Coil Power: 0.9 watts (dc), 1.2VA (ac).
    - g. Expected Mechanical Life: 10,000,000 operations.
    - h. Expected Electrical Life at Rated Load: 100,000 operations.
    - i. Indication Type: Neon or LED indicator lamp.
    - j. Seal Type: Hermetically sealed case.
    - k. Manufacturer and Product: Potter and Brumfield; Series KH/KHA.

- 3. Control Circuit Switching Relay, Nonlatching:
  - a. Type: Compact general-purpose plug-in.
  - b. Contact Arrangement: 3 Form C contacts.
  - c. Contact Rating: 10A at 28V dc or 240V ac.
  - d. Contact Material: Silver cadmium oxide alloy.
  - e. Coil Voltage: As noted or shown.
  - f. Coil Power: 1.8 watts (dc), 2.7VA (ac).
  - g. Expected Mechanical Life: 10,000,000 operations.
  - h. Expected Electrical Life at Rated Load: 100,000 operations.
  - i. Indication Type: Neon or LED indicator lamp.
  - j. Push to test button.
  - k. Manufacturer and Product: Potter and Brumfield; Series KUP.
- K. Power Supplies:
  - 1. Furnish to power instruments requiring external dc power, including two-wire transmitters and dc relays.
  - 2. Convert 120V ac, 60-Hz power to dc power of appropriate voltage(s) with sufficient voltage regulation and ripple control to assure that instruments being supplied can operate within their required tolerances.
  - 3. Provide output over voltage and over current protective devices to:
    - a. Protect instruments from damage due to power supply failure.
    - b. Protect power supply from damage due to external failure.
  - 4. Enclosures: NEMA 1 in accordance with NEMA 250.
  - 5. Mount such that dissipated heat does not adversely affect other components.
  - 6. Fuses: For each dc supply line to each individual two-wire transmitter.
    - a. Type: Indicating.
    - b. Mount so fuses can be easily seen and replaced.
- L. Standard Pushbutton Colors and Inscriptions: Use following color code and inscriptions for pushbuttons, unless otherwise noted on Drawings.

Tag Function	Inscription(s)	Color
00	ON OFF	Black Black
OC	OPEN CLOSE	Black Black
OCA	OPEN CLOSE AUTO	Black Black Black

<b>Tag Function</b>	Inscription(s)	Color
OOA	ON	Black
	OFF	Black
	AUTO	Black
MA	MANUAL	Black
	AUTO	Black
SS	START	Black
	STOP	Black
RESET	RESET	Black
EMERGENCY STOP	EMERGENCY STOP	Red

- 1. Lettering Color:
  - a. Black on white and yellow buttons.
  - b. White on black, red, and green buttons.
- M. Standard Light Colors and Inscriptions: Use following color code and inscriptions for service legends and lens colors for indicating lights, unless otherwise noted on Drawings.

Tag Function	Inscription(s)	Color
ON	ON	Red
OFF	OFF	Green
OPEN	OPEN	Red
CLOSED	CLOSED	Green
LOW	LOW	Green
FAIL	FAIL	Amber
HIGH	HIGH	Red
AUTO	AUTO	White
MANUAL	MANUAL	Yellow
LOCAL	LOCAL	White
REMOTE	REMOTE	Yellow

- 1. Lettering Color:
  - a. Black on white and amber lenses.
  - b. White on red and green lenses.

#### 2.05 SPARE PARTS

Description	Percent of Each Type and Size Used	No Less Than
dc power supplies	20	2
Fuses	20	5
Indicating light bulb	20	10
Relays	20	3
Terminal Blocks	10	10
Hand Switches	10	5

#### 2.06 SOURCE QUALITY CONTROL

- A. Scope: Inspect and test entire PIC to ensure it is ready for shipment, installation, and operation.
- B. Location: Manufacturer's factory or Engineer approved staging Site.
- C. Test: Exercise and test all functions.
- D. Temporary PLC software configuring to allow PLC testing.

### 2.07 ELECTRICAL TRANSIENT PROTECTION

- A. General:
  - 1. Function: Protect elements of PIC against damage due to electrical transients induced in interconnecting lines by lightning and nearby electrical systems.
  - 2. Implementation: Provide, install, coordinate, and inspect grounding of surge suppressors at:
    - a. Connection of ac power to PIC equipment including panels, consoles assembles, and field mounted analog transmitters and receivers.
    - b. At the field and panel, console, or assembly connection of signal circuits that have portions of the circuit extending outside of a protective building.
  - 3. Construction: First-stage high energy metal oxide varistor and secondstage bipolar silicon avalanche device separated by series impedance. Includes grounding wire, stud, or terminal.
  - 4. Response: 5 nanoseconds maximum.
  - 5. Recovery: Automatic.
  - 6. Temperature Range: Minus 20 degrees C to plus 85 degrees C.

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- B. Suppressors on 120V ac Power Supply Connections:
  - 1. Occurrences: Tested and rated for a minimum of 50 occurrences of IEEE 587 Category B test waveform.
  - 2. First-Stage Clamping Voltage: 350 volts or less.
  - 3. Second-Stage Clamping Voltage: 210 volts or less.
  - 4. Continuous Operation: Power supplies for one four-wire transmitter or receiver: 5 amps minimum at 130V ac. All other applications: 30 amps minimum at 130V ac.
- C. Suppressors on Analog Signal Lines:
  - 1. Test Waveform: Linear 8 microsecond rise in current form 0 amps to a peak current value followed by an exponential decay of current reaching one half the peak value in 20 microseconds.
  - 2. Surge Rating: Tested and rated for 50 occurrences of 2,000-amp peak test waveform.
    - a. dc Clamping Voltage: 20 to 40 percent above operating voltage for circuit.
    - b. dc Clamping Voltage Tolerance: Less than plus or minus 10 percent.
    - c. Maximum Loop Resistance: 18 ohms per conductor.
- D. Physical Characteristics:
  - 1. Mounted in Enclosures: Encapsulated inflame retardant epoxy.
  - 2. For Analog Signals Lines: EDCO PC-642 or SRA-64 series.
  - 3. For 120V ac Lines: EDCO HSP-121.
  - 4. Field Mounted at Two-Wire Instruments: Encapsulated in stainless steel pipe nipples. EDCO SS64 series.
  - 5. Field Mounted at Four-Wire Instruments: With 120V ac outlet, ac circuit breaker, and 10-ohm resistors on signal lines, all in enclosure.
    - a. Enclosure: NEMA 4X fiberglass or Type 316 stainless steel with door.
      - 1) Maximum Size: 12 inches by 12 inches by 8 inches deep.
    - b. Manufacturer and Product: EDCO; SLAC series.
- E. Installation and Grounding of Suppressors: As shown. See Surge Suppressor Installation Details. Grounding equipment, installation of grounding equipment, and terminations for field mounted devices are provided under Division 26, Electrical.

## PART 3 EXECUTION

#### 3.01 EXAMINATION

- A. For equipment not provided by PIC, but that directly interfaces with the PIC, verify the following conditions:
  - 1. Proper installation.
  - 2. Calibration and adjustment of positioners and I/P transducers.
  - 3. Correct control action.
  - 4. Switch settings and dead bands.
  - 5. Opening and closing speeds and travel stops.
  - 6. Input and output signals.

#### 3.02 INSTALLATION

- A. Material and Equipment Installation: Retain a copy of manufacturers' instructions at Site, available for review at all times.
- B. Electrical Wiring: As specified in Division 26, Electrical.
- C. Mechanical Systems:
  - 1. Drawings for PIC Mechanical Systems are diagrammatic and not intended to specifically define element locations or piping and tubing run lengths. Base materials and installations on field measurements.
  - 2. Copper and Stainless Steel Tubing Support: Continuously supported by an aluminum tubing raceway system.
  - 3. Plastic Tubing Supports: Except as shown on Drawings, provide continuous support in conduits or by aluminum tubing raceway system.
  - 4. Install tubing conduit for plastic tubing and tubing raceways parallel with, or at right angles to, structural members of buildings. Make vertical runs straight and plumb.
  - 5. Tubing and Conduit Bends:
    - a. Tool-formed without flattening, and all of same radius.
    - b. Bend Radius: Equal to or larger than conduit and tubing manufacturer's recommended minimum bend radius.
    - c. Slope instrument connection tubing in accordance with installation details.
    - d. Do not run liquid filled instrument tubing immediately over or within a 3-foot plan view clearance of electrical panels, motor starters, or mechanical mounting panel without additional protection. Where tubing must be located in these zones, shield electrical device to prevent water access to electrical equipment.

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- e. Straighten coiled tubing by unrolling on flat surface. Do not pull to straighten.
- f. Cut tubing square with sharp tubing cutter. Deburr cuts and remove chips. Do not gouge or scratch surface of tubing.
- g. Blow debris from inside of tubing.
- h. Make up and install fittings in accordance with manufacturer's recommendations. Verify makeup of tube fittings with manufacturer's inspection gauge.
- i. Use lubricating compound or TFE tape on stainless steel threads to prevent seizing or galling.
- j. Run tubing to allow, for example, clear access to doors, controls, and control panels; and to allow for easy removal of equipment.
- k. Provide separate support for components in tubing runs.
- 1. Supply expansion loops and use adapters at pipe, valve, or component connections for proper orientation of fitting.
- m. Keep tubing and conduit runs at least 12 inches from hot pipes.
- n. Locate and install tubing raceways in accordance with manufacturer's recommendations. Locate tubing to prevent spillage, overflow, or dirt from above.
- o. Securely attach tubing raceways to building structural members.
- 6. Enclosure Lifting Rings: Remove rings following installation and plug holes.
- D. Removal or Relocation of Materials and Equipment:
  - 1. Remove from Site materials that were part of the existing facility but are no longer used, unless otherwise directed by Engineer to deliver to Owner.
  - 2. Repair affected surfaces to conform to type, quality, and finish of surrounding surface.

### 3.03 FIELD FINISHING

A. Refer to Section 09 90 00, Painting and Coatings.

## 3.04 FIELD QUALITY CONTROL

- A. Startup and Testing Team:
  - 1. Thoroughly inspect installation, termination, and adjustment for components and systems.
  - 2. Complete onsite tests.
  - 3. Complete onsite training.
  - 4. Provide startup assistance.

- B. Operational Readiness Inspections and Calibrations: Prior to startup, inspect and test to ensure that entire PIC is ready for operation.
  - 1. Loop/Component Inspections and Calibrations:
    - a. Check PIC for proper installation, calibration, and adjustment on a loop-by-loop and component-by-component basis.
    - b. Prepare component calibration sheet for each active component (except simple hand switches, lights, gauges, and similar items).
      - 1) Project name.
      - 2) Loop number.
      - 3) Component tag number.
      - 4) Component code number.
      - 5) Manufacturer for elements.
      - 6) Model number/serial number.
      - 7) Summary of functional requirements, for example:
        - a) Indicators and recorders, scale and chart ranges.
        - b) Transmitters/converters, input and output ranges.
        - c) Computing elements' function.
        - d) Controllers, action (direct/reverse) and control modes (PID).
        - e) Switching elements, unit range, differential (fixed/adjustable), reset (auto/manual).
      - 8) Calibrations, for example:
        - a) Analog Devices: Actual inputs and outputs at 0, 10, 50, and 100 percent of span, rising and falling.
        - b) Discrete Devices: Actual trip points and reset points.
        - c) Controllers: Mode settings (PID).
      - 9) Space for comments.
    - c. These inspections and calibrations will be spot checked by Engineer.
- C. Performance Acceptance Tests (PAT): These are the activities that Section 01 91 14, Equipment Testing and Facility Startup, refers to as Performance Testing.
  - 1. General:
    - a. Test all PIC elements to demonstrate that PIC satisfies all requirements.
    - b. Test Format: Cause and effect.
      - 1) Person conducting test initiates an input (cause).
      - 2) Specific test requirement is satisfied if correct result (effect) occurs.
    - c. Procedures, Forms, and Checklists:
      - 1) Conduct tests in accordance with, and documented on, Engineer accepted procedures, forms, and checklists.

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- 2) Describe each test item to be performed.
- 3) Have space after each test item description for sign off by appropriate party after satisfactory completion.
- d. Required Test Documentation: Test procedures, forms, and checklists. All signed by Engineer and Contractor.
- e. Conducting Tests:
  - 1) Provide special testing materials, equipment, and software.
  - 2) Wherever possible, perform tests using actual process variables, equipment, and data.
  - 3) If it is not practical to test with real process variables, equipment, and data, provide suitable means of simulation.
  - 4) Define simulation techniques in test procedures.
- f. Coordinate PIC testing with Owner and affected Subcontractors.
  - 1) Excessive Test Witnessing: Refer to Supplementary Conditions.
- 2. Test Requirements:
  - a. Once facility has been started up and is operating, perform a witnessed PAT on complete PIC to demonstrate that it is operating as required. Demonstrate each required function on a paragraph-by-paragraph and loop-by-loop basis.
  - b. Perform local and manual tests for each loop before proceeding to remote and automatic modes.
  - c. Where possible, verify test results using visual confirmation of process equipment and actual process variable. Unless otherwise directed, exercise and observe devices supplied by others, as needed to verify correct signals to and from such devices and to confirm overall system functionality. Test verification by means of disconnecting wires or measuring signal levels is acceptable only where direct operation of plant equipment is not possible.
  - d. Make updated versions of documentation required for PAT available to Engineer at Site, both before and during tests.
  - e. Make one copy of O&M manuals available to Engineer at the Site both before and during testing.
  - f. Refer to referenced examples of PAT procedures and forms in Article Supplements.

### 3.05 TRAINING

- A. General:
  - 1. Provide an integrated training program to meet specific needs of Owner's personnel.
  - 2. Include training sessions, classroom and field, for managers, engineers, operators, and maintenance personnel.
  - 3. Provide instruction on one working shift(s) as needed to accommodate the Owner's personnel schedule.

- 4. Owner reserves the right to make and reuse video tapes of training sessions.
- B. Operations and Maintenance Training:
  - 1. Include a review of O&M manuals and survey of spares, expendables, and test equipment.
  - 2. Use equipment similar to that provided or currently owned by Owner.
  - 3. Provide training suitable for instrument technicians with at least a 2-year associate engineering or technical degree, or equivalent education and experience in electronics or instrumentation.
- C. Operations Training:
  - 1. Training Session Duration: One 8-hour instructor days per site.
  - 2. Number of Training Sessions: One per site.
  - 3. Location: Sites.
  - 4. Content: Conduct training on loop-by-loop basis.
    - a. Loop Functions: Understanding of loop functions, including interlocks for each loop.
    - b. Loop Operation: For example, adjusting process variable setpoints, AUTO/MANUAL control transfer, AUTO and MANUAL control, annunciator acknowledgement and resetting.
    - c. Interfaces with other control systems.
- D. Maintenance Training:
  - 1. Training Session Duration: One 8-hour instructor days per site.
  - 2. Number of Training Sessions: One per site.
  - 3. Location: Project Sites.
  - 4. Content: Provide training for each type of component and function provided.
    - a. Loop Functions: Understanding details of each loop and how they function.
    - b. Component calibration.
    - c. Adjustments: For example, controller tuning constants, current switch trip points, and similar items.
    - d. Troubleshooting and diagnosis for components.
    - e. Replacing lamps, chart paper, fuses.
    - f. Component removal and replacement.
    - g. Periodic maintenance.

#### 3.06 CLEANING/ADJUSTING

A. Repair affected surfaces to conform to type, quality, and finish of surrounding surface.

### B. Cleaning:

- 1. Prior to closing system using tubing, clear tubing of interior moisture and debris.
- 2. Upon completion of Work, remove materials, scraps, and debris from interior and exterior of equipment.

#### 3.07 **PROTECTION**

- A. Protect enclosures and other equipment containing electrical, instrumentation and control devices, including spare parts, from corrosion through the use of corrosion-inhibiting vapor capsules.
- B. Periodically replace capsules in accordance with capsule manufacturer's recommendations. Replace capsules just prior to Final Payment and Acceptance.

#### 3.08 SUPPLEMENTS

- A. Supplements listed below, following "End of Section," are part of this Specification.
  - 1. Component Specifications.
  - 2. Instrument and Control Panel List.
  - 3. Loop Specifications.
  - 4. PLC Input and Output List.
  - 5. Instrument Calibration Sheet: Provides detailed information on each instrument (except simple hand switches, lights, and similar items).
  - 6. I&C Valve Adjustment Sheet: Each sheet shows detailed information for installation, adjustment, and calibration of a given valve.
  - 7. Performance Acceptance Test Sheet: Describes the PAT for a given loop. The format is mostly free form.
    - a. Lists the requirements of the loop.
    - b. Briefly describes the test.
    - c. Cites expected results.
    - d. Provides space for check off by witness.

## END OF SECTION

### **COMPONENT SPECIFICATIONS**

- B. F4 Flow Element and Transmitter, Electromagnetic:
  - 1. General:
    - a. Function: Measure, indicate, and transmit the flow of a conductive process liquid in a full pipe.
    - b. Type:
      - 1) Electromagnetic flowmeter, with operation based on Faraday's Law, utilizing the pulsed dc type coil excitation principle with high impedance electrodes.
      - 2) Full bore meter with magnetic field traversing entire flow-tube cross section.
      - 3) Unacceptable are insert magmeters or multiple single point probes inserted into a spool piece.
    - c. Parts: Flow element, transmitter, interconnecting cables, and mounting hardware. Other parts as noted.
  - 2. Service:
    - a. Stream Fluid:
      - 1) As noted.
      - 2) Suitable for liquids with a minimum conductivity of 20 microS/cm.
    - b. Flow Stream Descriptions: If and as described below.
  - 3. Operating Temperature:
    - a. Element:
      - 1) Ambient: Minus 4 to 158 degrees F, typical, unless otherwise noted.
      - 2) Process: 33 to 122 degrees F, typical, unless otherwise noted.
    - b. Transmitter:
      - 1) Ambient: Minus 4 to 140 degrees F, typical, unless otherwise noted.
  - 4. Performance:
    - a. Flow Range: As noted.
    - b. Accuracy: Plus or minus 0.4 percent of rate for all flows resulting from pipe velocities of 2 to 30 feet per second.
    - c. Turndown Ratio: Minimum of 10 to 1 when flow velocity at minimum flow is at least 1 foot per second.
  - 5. Features:
    - a. Zero stability feature to eliminate the need to stop flow to check zero alignment.
    - b. No obstructions to flow.
    - c. Very low pressure loss.
    - d. Measures bi-directional flow.

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### 6. Process Connection:

- a. Meter Size (diameter inches): As noted.
- b. Connection Type: 150-pound ANSI raised-face flanges; AWWA C207, Table 2 Class D; or wafer style depending on meter size, unless otherwise noted.
- c. Flange Material: Carbon steel, unless otherwise noted.
- 7. Power (Transmitter): 120V ac, 60-Hz, unless otherwise noted.
- 8. Element:
  - a. Meter Tube Material: Type 316 stainless steel, unless otherwise noted.
  - b. Liner Material:
    - 1) Teflon, unless otherwise noted.
    - 2) For potable water service, must have appropriate approvals.
  - c. Liner Protectors: Covers (or grounding rings) on each end to protect liner during shipment.
  - d. Electrode Type: Flush or bullet nose as recommended by the manufacturer for the noted stream fluid.
  - e. Electrode Material: Type 316 stainless steel, unless otherwise noted.
  - f. Grounding Ring:
    - 1) Required, unless otherwise noted.
    - 2) Quantity: Two, unless otherwise noted.
    - 3) Material: Type 316 stainless steel, unless otherwise noted.
  - g. Enclosure: NEMA 4X, minimum, unless otherwise noted.
  - h. Submergence:
    - 1) Temporary: If noted.
    - 2) Continuous (up to 10 feet depth), NEMA 6P/IP68: If noted.
  - i. Direct Buried (3 to 10 feet): If noted.
  - j. Straight Pipe Length Installation Requirements:
    - 1) 5 x DN Upstream.
    - 2) 2 x DN Downstream.
  - k. Hazardous Area Certification:
    - 1) Class 1, Division 2, Groups A, B, C, D: If noted.
    - 2) Class 1, Division 1, Groups A, B, C, D, and FM approved: If noted.
    - 3) Class 1, Division 1, Groups C, D, and FM approved: If noted.
- 9. Transmitter:
  - a. Installation: Remote from sensor, unless otherwise noted.
  - b. Mounting: Surface (wall), unless otherwise noted.
  - c. Display: Required, unless otherwise noted.
    - 1) Digital LCD display, indicating flow rate and total.
    - 2) Bi-directional Flow Display: Required, unless otherwise noted.
      - a) Forward and reverse flow rate.
      - b) Forward, reverse and net totalization.

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 1 - 2

- d. Parameter Adjustments: By keypad or non-intrusive means.
- e. Enclosure: NEMA 4X, minimum, unless otherwise noted.
- f. Empty Pipe Detection:
  - 1) If noted.
  - 2) Drives display and outputs to zero when empty pipe detected.
- 10. Signal Interface (at Transmitter):
  - a. Analog Output:
    - 1) Isolated 4 mA to 20 mA dc for load impedance from 0 ohm to at least 500 ohms minimum for 24V dc supply.
    - 2) Supports Superimposed Digital HART protocol: If noted.
  - b. Discrete Outputs: If noted.
    - 1) Two discrete outputs, typical, rated for up to 30 volts, typical.
    - 2) Programmable as noted for the following typical parameters:
      - a) Totalizer pulse, high/low flow rates, percent of range, empty pipe zero, fault conditions, forward/reverse, etc.
  - c. Discrete Input: If noted.
    - 1) Contact closure, configured as noted for the following typical parameters: reset totalizer, change range, hold output constant, drive output to zero, and low flow cutoff, etc.
  - d. Other: As noted.
- 11. Cables:
  - a. Types: As recommended by manufacturer.
  - b. Lengths: As required to accommodate device locations.
- 12. Built-in Diagnostic System:
  - a. Features:
    - 1) Field programmable electronics.
    - 2) Self-diagnostics with troubleshooting codes.
    - 3) Ability to program electronics with full scale flow, engineering units, meter size, zero flow cutoff, desired signal damping, totalizer unit digit value, etc.
    - 4) Initial flow tube calibration and subsequent calibration checks.
- 13. Factory Calibration:
  - a. Calibrated in an ISO 9001 and NIST certified factory.
  - b. Factory flow calibration system must be certified by volume or weight certified calibration devices.
  - c. Factory flow calibration system shall be able to maintain calibration flow rate for at least 5 minutes for repeatability point checks.

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 1 - 3

### 14. Manufacturers:

- a. ABB, WaterMaster FEW Series.
- b. Emerson Process Management, Rosemount Division:
  - 1) Model 8705 (flanged) flow tube.
  - 2) Model 8712 (surface) transmitters.
- c. Krohne (Sole source).
  - 1) EnviroMag, 2000 Flowmeter (Includes IF100 signal converter).

### END OF COMPONENT SPECIFICATIONS

		INSTRUMENT A	ND CONTROL PANE	L LIST		
Tag Number	Comp Code	Component Title	Options	P&ID	Inst. Detail	Panel No.
FE/FIT-112-A	F4	Flow Element	Line Size: 8"	08-N-001	4091-	CP-
		and Transmitter: Electromagnetic	Meter Size: 8"		220	CHEM1
		6	Scale Range: 0-250 GPM			
			Fluid: Sludge			
			Integral Transmitter			
FE/FIT-112-B	F4	Flow Element	Line Size: 8"	08-N-001	4091-	CP-
		and Transmitter: Electromagnetic	Meter Size: 8"		220	CHEM1
		Electromagnetic	Scale Range: 0-250 GPM			
			Fluid: Sludge			
			Integral Transmitter			
FE/FIT-112-C	F4	Flow Element and Transmitter: Electromagnetic	Line Size: 8"	08-N-001	4091-	CP-
			Meter Size: 8"		220	CHEM1
			Scale Range: 0-250 GPM			
			Fluid: Sludge			
			Integral Transmitter			
FE/FIT-112-D	F4	Flow Element	Line Size: 8"	08-N-001	4091-	CP-
		and Transmitter: Electromagnetic	Meter Size: 8"		220	CHEM1
		Licencenagier	Scale Range: 0-250 GPM			
			Fluid: Sludge			
			Integral Transmitter			
FE/FIT-112-A	F4	Flow Element	Line Size: 4"	08-N-002	4091-	LCP-1
		and Transmitter: Electromagnetic	Meter Size: 4"		220	
			Scale Range: 0-250 GPM			
			Fluid: Sludge			
			Integral Transmitter			

# Crosstown and South Fayette WTPs Hoseless Settled Solids Collection System

	INSTRUMENT AND CONTROL PANEL LIST										
Tag Number	Comp Code	Component Title	Options	P&ID	Inst. Detail	Panel No.					
FE/FIT-112-B	F4	Flow Element	Line Size: 4"	08-N-002	4091-	LCP-1					
		and Transmitter: Electromagnetic	Meter Size: 4"		220						
		Lieonomagnotio	Scale Range: 0-250 GPM								
			Fluid: Sludge								
			Integral Transmitter								

# LOOP SPECIFICATION

### Note(s):

- 1. This document does not describe every function required by the Contract Documents. Rather, it supplements and clarifies the functions required by the P&IDs.
- 2. The PIC Systems Integrator shall incorporate the new PLCs the new process equipment and functions into the existing plant PLCs and SCADA servers.

### **OVERVIEW**

The PICS System Integrator shall program and configure the following devices:

PLC-CHEM1 (@ Crosstown).

LCP-1 (@ South Fayette).

HMI graphics and SCADA server databases.

## ALARMS

The PICS System Integrator shall display on the computer HMI graphics all alarms shown on the P&IDs and I/O List.

The PICS System Integrator shall also display calculated alarms such as Low Level in the each Storage Tank. The PIC System Integrator shall program the PLC to calculate these alarms.

The PIC System Integrator shall store all alarms in data registers.

### STATUS MONITORING

The PICS System Integrator shall display on the computer HMI graphics all status signals shown on the P&IDs and I/O List. Examples include ON status of each Chlorine Dioxide Generation System.

The PIC System Integrator shall store all status signals in data registers.

### ANALOG DISPLAYS

The PICS System Integrator shall display all analog input variables shown on the P&IDs and I/O List. Examples include storage tank levels.

The PIC System Integrator shall store these analog input variables in data registers.

### TRENDS

The PIC System Integrator shall create and display trends of all analog inputs and outputs that are part of this project. This includes field analog inputs and software created analog outputs. Create and display additional trends as noted in these Loop Specifications.

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INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 3 - 1

### Unit Process: Crosstown Sedimentation Basins 1 thru 8

This process is controlled by the vendor provided control system. However, certain functions must be performed from the plant PLCs and CS workstations. Data exchange requirements and system functionality are specified in Section 44 42 63, HOSE-LESS SLUDGE COLLECTION SYSTEM. The PICS subcontractor must carefully read the functional requirement for the SLUDGE COLLECTION SYSTEM control system and work closely with the supplier to implement the data exchange and SCADA requirements specified herein and shown on drawings.

The sludge collection system will operate on a sequenced basis. Only 1 sludge collector can operate at a time. The operator will select the order in which the collectors will run. When a collector is ready to run, the PMCS will issue a RUN command to the vendor supplied control system via the plant SCADA Ethernet network. When confirmation from that collector is received that the run sequence has concluded, a RUN command will be issued to the next collector in the sequence. The collectors will continue to cycle through until stopped by the operator. When not in AUTO sequence, the operator may select any collector to run. Should another collector be in service, a message will appear indicating that the operator must wait until that collector is finished before starting another.

### Unit Process: South Fayette Sedimentation Basins 1 thru 4

This process is controlled by the vendor provided control system. However, certain functions must be performed from the plant PLCs and CS workstations. Data exchange requirements and system functionality are specified in Section 44 42 63, HOSE-LESS SLUDGE COLLECTION SYSTEM. The PICS subcontractor must carefully read the functional requirement for the SLUDGE COLLECTION SYSTEM control system and work closely with the supplier to implement the data exchange and SCADA requirements specified herein and shown on drawings.

The sludge collection system will operate on a sequenced basis. Only 1 sludge collector can operate at a time. The operator will select the order in which the collectors will run. When a collector is ready to run, the PMCS will issue a RUN command to the vendor supplied control system via the plant SCADA Ethernet network. When confirmation from that collector is received that the run sequence has concluded, a RUN command will be issued to the next collector in the sequence. The collectors will continue to cycle through until stopped by the operator. When not in AUTO sequence, the operator may select any collector to run. Should another collector be in service, a message will appear indicating that the operator must wait

## **END OF LOOP DESCRIPTIONS**

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 3 - 2

			PLC INPUT/OUTPUT LISTS					
PLC	Dwg	Tag No.	Function/Description	DI	DO	AI	AO	Remarks
CP-CHEM1	08-N-001	FI-112-A	FLOW, SEDIMENTATION BASIN 1/2 SLUDGE			1		
CP-CHEM1	08-N-001	FI-112-B	FLOW, SEDIMENTATION BASIN 3/4 SLUDGE			1		
CP-CHEM1	08-N-001	FI-112-C	FLOW, SEDIMENTATION BASIN 5/6 SLUDGE			1		
CP-CHEM1	08-N-001	FI-112-D	FLOW, SEDIMENTATION BASIN 17/8 SLUDGE			1		
LCP-1	08-N-002	FI-112-A	FLOW, SEDIMENTATION BASIN 1/2 SLUDGE			1		
LCP-1	08-N-002	FI-112-B	FLOW, SEDIMENTATION BASIN 3/4 SLUDGE			1		
			CHEM SCADA TOTALS	0	0	6	0	

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 4 - 1

# Crosstown and South Fayette WTPs Hoseless Settled Solids Collection System

CH2M HIL	L				INSTI	RUM	ENT CAI	LIBRATI	ON SHEE	ET					Rev.06.05	.92
		COMPONE	ENT				MA	NUFACTU	RER					PROJECT		
Code:					Na	ame:					Numł	ber:				
Name:						[odel:					Name	e:				
					Se	erial #:										
								FUNCT	IONS							
		RANGE	VALU	UE U	NITS	CON	MPUTING I	FUNCTIONS	S? Y / N		COl	NTROL	?Y/N			
Indicate? Y	/ N	Chart:				Desc	cribe:						ect / reve	rse		
											_	des? P /				
Record? Y	/ N	Scale:										ITCH? Y				
		-				_						it Range		~	.,	
Transmit/		Input:										ferential			ed/adjustable	
Convert? Y	′ / N	Output:							11				matic / n		;	
			NALOC	G CALIBR								ETE C.	ALIBRA			Note
	REQU						RATED		27.1	REQUI		D . D		AS CALIBR	1	No.
Input	Indica	ated Outp			ing Input			ing Input	Number	Trip Poi		Reset Pt		Trip Point	Reset Pt.	
				Indicated	Output	t .	Indicated	Output	1	(note risi	ng or t	alling)	(no	te rising or fall	ing)	
					-				1.							l
									2.						ļļ	
									3.						<u> </u>	
									4.							
									5.							
CONTROL			G	D	T		D		6.							
		E SETTING	S:	P:	I:		D:		7.			<u> </u>	~			1
# NOT	ES:												Compo for Star	nent Calibrate rtup	ed and Ready	
													By:			
													Date:			
													Tag No.	.:		

CH2M HILL

#### INSTRUMENT CALIBRATION SHEET EXAMPLE - ANALYZER/TRANSMITTER

Rev.06.05.92

					EAAM	PLE - ANALY				IEN					
	CO	MPON	ENT			MA	NUFACT	URER					PR	ROJECT	
Code: A7					Na	ame: Leeds & Nor	rthrup				1	Number: <i>V</i>	WDC30715.B2		
Name: pH	Element & 1	Analyzei	r/Transi	mitter	М	Model: 12429-3-2-1-7				1	Name: UC	DSA AWT PHASE .	3		
-					Se	erial #: 11553322									
							FUN	TIONS	5						
	R	ANGE	VAI	UE	UNITS	COMPUTING	FUNCTIC	NS? N				CONTRO	OL? N		
Indicate? Y					01110	Describe:		1.0111					direct / reverse		
Record? N						Debenice						Modes?			
	Scale: 1-14 pH uni			Iunits							SWITCH				
												Unit Rar			
Transmit/	Ing	out:	1-14	pH	I units							Differen		xed/adjustable	
Convert? Y		tput:	4-20	m	1 dc							Reset? a	utomatic / manual	5	
	I	AN	ALOG	CALIBR	ATIONS	1				DI	SCR	ETE CAI	IBRATIONS		Note
	REQUIRE					CALIBRATED REQUIRED						AS CALIB	RATED	No	
Input	Indicated	Out	out	Increa	asing Input Decreasing Input			Numb	er	Trip Poir	nt I	Reset Pt.	Trip Point	Reset Pt.	
		-		Indicated	Output	t Indicated	Output	(note rising or fal		r falli	ling) (note rising or falling)		lling)		
1.0	1.0	4.0		1.0	4.0	1.0	3.9	1.	N.A.				N.A.		
2.3	2.3	5.6		2.2	5.5	2.3	5.6	2.							1.
7.5	7.5	12.0		7.5	11.9	7.5	12.0	3.							
12.7	12.7	18.4		12.7	18.3	12.6	18.3	4.							
14.0	14.0	20.0		14.0	20.0	14.0	20.0	5.							
								6.							
CONTROL	L MODE SE	ETTING	S:	P: <i>N</i> . <i>A</i> .	I:	D:		7.							
# NOT	'ES:												Component C	Calibrated and	d Ready for
1. Ne	ed to reched	ck low p	H calib	ration solı	tions.								Startup		·
													By: J.D. Sewe	11	
													Date: Jun-6-9.		
													Tag No.: AIT-	-12-6[pH]	

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 5 - 2

PARTS	Project Na	me:		Project Numbe	r:						
Body	Туре:				Mfr:						
	Size:			Model:							
	Line Conne	ection:		Serial #:	Serial #:						
Operator	Type:			Mfr:							
- <b>I</b>	Action:			Model:							
	Travel:			Serial #:							
Positioner	Input Signa	ıl:		Mfr:							
	Action:			Model:							
	Cam:			Serial #:							
Pilot	Action:			Mfr:							
Solenoid	Rating:			Model:							
				Serial #:							
I/P	Input:			Mfr:	Mfr:						
Converter	Output:			Model:	Model:						
	Action:			Serial #:	Serial #:						
Position	Settings:			Mfr:	Mfr:						
Switch	Contacts:			Model:	Model:						
				Serial #:	Serial #:						
Power	Туре:			Air Set Mfr:							
Supply	Potential:			Model:							
				Serial #:	Serial #:						
ADJUSTME	ENTS	Initial	Date	VERIFICAT	ION	Initial	Date				
Air Set				Valve Action							
Positioner				Installation							
Position Swit	ches			Wire Connecti	on						
I/P Converter				Tube Connecti	on						
Actual Speed											
REMARKS:					Valv	ve Ready fo	r Startup				
					By:						
					Date	:					
					Tag	No.:					

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 6 - 1

CH2M HIL	L	I&	C VALVE AD. EXAMP	IUSTMENT SHEF LE	ET		Rev.06.05.92		
PARTS	Project	Name: SFO S	EWPCP	Project Number: SFO10145.G2					
Body	Type: V	ee-Ball		Mfr: Fisher Control	ls				
·	Size: 4-1	inch		Model: 1049763-2					
	Line Co	nnection: 159	) # ANSI Flanges	Serial #: 1003220					
Operator	Type: P	neumatic Dia	phragm	Mfr: Fisher Control	ls				
-	Action:	Linear - Mod	lulated	Model: 4060D					
	Travel:	3-inch		Serial #: 2007330					
Positioner	Input Si	gnal: 3-15 ps	i	Mfr: Fisher Control	ls				
	Action:	Direct - air to	o open	Model: 20472T					
	Cam: Ed	qual percenta	ge	Serial #: 102010					
Pilot	Action:			Mfr:					
Solenoid	Rating:	None		Model:					
				Serial #:					
I/P	Input: 4-	-20 mA dc		Mfr: Taylor	Mfr: Taylor				
Converter	Output:	3-15 psi		Model: 10-T-576-3	Model: 10-T-576-3				
	Action:	Direct		Serial #: 1057-330	Serial #: 1057-330				
Position	Settings	: Closed / Op	en 5 deg, rising	Mfr: National Switc	ch				
Switch	Contacts	s: Close / Clo	ose	Model: 1049-67-3					
				Serial #: 156 &157					
Power	Type: P	neumatic		Air Set Mfr: Air Products					
Supply	Potentia	l: 40 psi		Model: 3210D					
				Serial #: 1107063					
ADJUSTME	ENTS	Initial	Date	VERIFICATION		Initial	Date		
Air Set		JDS	Jun-06-92	Valve Action		JDS	Jun-03-92		
Positioner		JDS	Jun-06-92	Installation		JDS	Jun-03-92		
Position Swit	tches	JDS	Jun-06-92	Wire Connection		JDS	Jun-04-92		
I/P Converter	ſ	JDS	Jun-07-92	Tube Connection		JDS	Jun-04-92		
Actual Speed	l	JDS	Jun-07-92						
REMARKS	: Valve was	s initially insta	alled backwards.		V٤	alve Ready	y for Startup		
Observed to l	be correctly	v installed Ma	ay-25-92		Ву	г: J.D. Sew	vell		
					Date: Jun-07-92				
					Tag No.: FCV-10-2-1				

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 6 - 2

H2M HILL PERFO	PERFORMANCE ACCEPTANCE TEST SHEET         Rev.06.05.92									
Project Name:			Project No.:							
<b>Demonstration Test(s): For each</b> (a) List and number the requirement (c) Cite the results that will verify	nt. (b) Briefly des	cribe the demonstr	ation test.							
_										
				_						
Forms/Sheets Verified	Ву	Date	Loop Accepted	By Owner						
Loop Status Report	Dy		By:	by Owner						
Instrument Calibration Sheet			Date:							
I&C Valve Calibration Sheet										
Performance Acceptance Test	Ву	Date								
Performed		Duiv								
Witnessed			Loop No.:							

INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS 40 90 01 SUPPLEMENT 7 - 1

#### CH2M HILL PERFORMANCE ACCEPTANCE TEST SHEET EXAMPLE

Rev.06.05.92

Project Name: SFO SEWPCP Plant Expansion Project No.: SFO12345.C1			
Demonstration Test(s): For each functional requirement of the loop:         (a) List and number the requirement. (b) Briefly describe the demonstration test.         (c) Cite the results that will verify the required performance. (d) Provide space for signoff.			
1. MEASURE EFFLUENT FLOW			
1.a With no flow, water level over weir should be zero and			
FIT indicator should read zero.			Jun-20-92 BDG
2. FLOW INDICATION AND TRANSMISSION TO LP & CCS			
With flow, water level and FIT indicator should be related by expression			
Q(MGD) = 429*H**(2/3) (H = height in inches of water over weir).			
Vary H and observe that following.			
2.a Reading of FIT indicator. Jun-6-92 BDG			
2.b Reading is transmitted to FI on LP-521-1. Jun-6-92 BDG			
2.c Reading is transmitted and displayed to CCS. Jun-6-92 BDG			
<i>H(measured)</i> 0 5 10 15			
Q(computed) 0 47.96 135.7 251.7			
<i>Q(FIT indicator)</i> 0 48.1 137 253			
Q(LI  on  LP-521-1)  0  48.2  138  254			
Q(display by CCS) 0 48.1 136.2 252.4			
	1		
Forms/Sheets Verified	Ву	Date	Loop Accepted By Owner
Loop Status Report	J.D. Sewell	May-18-92	By: J.D. Smith
Instrument Calibration Sheet	J.D. Sewell	May-18-92	Date: Jun-6-92
I&C Valve Calibration Sheet	N.A.	1	
Performance Acceptance Test	Ву	Date	
Performed	J. Blow MPSDC Co.	Jun-6-92	
Witnessed	B.deGlanville	Jun-6-92	Loop No.: 30-12

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#### SECTION 40 91 00 INSTRUMENTATION AND CONTROL COMPONENTS

### PART 1 GENERAL

#### 1.01 SUMMARY

A. This section gives general requirements for instrumentation and control components.

#### 1.02 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. NSF International (NSF):
    - a. NSF/ANSI 61, Drinking Water System Components Health Effects.
    - b. NSF/ANSI 372, Drinking Water System Components Lead Content.

#### PART 2 PRODUCTS

- 2.01 GENERAL
  - A. Article Mechanical Systems Components covers requirements of mechanical PIC components that are not specifically referenced by Section 40 90 00, Instrumentation and Control for Process Systems, Instrument Lists or Data Sheets.
  - B. Article Electrical Components covers requirements for electrical PIC components that are not specifically referenced by Section 40 90 00, Instrumentation and Control for Process Systems, Instrument Lists or Data Sheets.
  - C. All other Part 2 articles cover components that are referenced by Instrument Lists or Data Sheets in Section 40 90 00, Instrumentation and Control for Process Systems, or by specific component numbers in other PIC subsections.
  - D. Components and Materials in Contact with Water for Human Consumption: Comply with the requirements of the Safe Drinking Water Act and other applicable federal, state, and local requirements. Provide certification by manufacturer or an accredited certification organization recognized by the Authority Having Jurisdiction that components and materials comply with the maximum lead content standard in accordance with NSF/ANSI 61 and NSF/ANSI 372.
    - 1. Use or reuse of components and materials without a traceable certification is prohibited.

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### 2.02 MECHANICAL SYSTEMS COMPONENTS

- A. Flow Element, Rotameter, Purge:
  - 1. For air or water service, unless otherwise noted.
  - 2. Materials: Glass tube, fiberglass body, stainless steel float, nylon ball check valve.
  - 3. Direct-Reading Scale Length: 2-1/2 inches, minimum.
  - 4. Scale Ranges: 0 scfh to 2.5 scfh for air service or 0 gph to 10 gph for water service.
  - 5. Integral inlet needle valves.
  - 6. Integral differential pressure regulators:
    - a. For water service.
    - b. For air service for level ranges greater than 10 feet of water.
  - 7. Rotameters for water service.
  - 8. Manufacturers and Products:
    - a. Fischer & Porter; Series 10A3130.
    - b. Brooks; Series DS-1350.
- B. Manifold, Three-Valve Equalizing:
  - 1. Type: For isolation and equalization of differential pressure transducers.
  - 2. Materials: Stainless steel.
  - 3. Manufacturers and Products:
    - a. Anderson, Greenwood and Co.; Type M1.
    - b. Evans.
- C. Pressure Gauge: For other than process variable measurement.
  - 1. Dial Size: Nominal 2-inch dial size.
  - 2. Accuracy: 2 percent of span.
  - 3. Scale Range: Such that normal operating pressure lies between 50 percent and 80 percent of scale range.
  - 4. Connection: 1/4-inch NPT through bottom, unless otherwise noted.
  - 5. Manufacturers and Products:
    - a. Ashcroft Utility; Gauge Series 1000.
    - b. Marsh; Standard Gauge Series.
    - c. Ametek U.S.; Gauge Series P500.
    - d. Acculite; Series 2000.
- D. Valve, Needle:
  - 1. Materials: Brass, stainless steel, PVC, or CPCV, as recommended by manufacturer for designated service, unless otherwise shown on Drawings.

- 2. Size: 0.020-inch orifice.
- 3. Manufacturers and Products:
  - a. Whitey; Model 21RF2.
  - b. Hoke; 3700 Series.
- E. ON/OFF Valves:
  - 1. Type: Ball valve.
  - 2. Materials: Brass, stainless steel, PVC, or CPCV, as recommended by manufacturer for designated service, unless otherwise shown on Drawings.
  - 3. Manufacturers and Products:
    - a. Whitey; Series 41 through Series 43.
    - b. Hoke; Flomite 7100 Series.
- F. Regulating Valves:
  - 1. Type: Needle valves, with regulating stems and screwed bonnets.
  - 2. Materials: Brass, stainless steel, PVC, or CPCV, as recommended by manufacturer for designated service, unless otherwise shown on Drawings.
  - 3. Manufacturers and Products:
    - a. Whitey; Catalog No. RF or No. RS.
    - b. Hoke; 3100 through 3300 Series.
- G. Valve, Three-Way:
  - 1. Type: Ball valve.
  - 2. Materials: Brass or stainless steel with nylon handle as recommended by manufacturer for designated service, unless otherwise shown on Drawings.
  - 3. Manufacturers and Products:
    - a. Whitey; Series 41 through Series 43.
    - b. Hoke; Selecto-Mite Series.
- H. Valve, Four-Way:
  - 1. Type: Four-way, two-position ball valve.
  - 2. Materials:
    - a. Body and Stem: Type 316 stainless steel.
    - b. Handle: Black nylon.
    - c. Packing Gland: Teflon.
  - 3. Ball and stem bed, one-piece assembly.
  - 4. Machined handle stops and directional nameplates.

- 5. Manufacturers and Products:
  - a. Whitey; Series 457.
  - b. Hoke; Multi-Mite Series.
- I. Spool Valve:
  - 1. Type: Five-port arrangement as shown, two-position, push-to-operate knob attached to the spool stem, and spring return.
  - 2. Materials: Aluminum construction with Teflon impregnated aluminum spool, stainless steel spring, and Buna-N O-rings.
  - 3. Port Connection: 1/4-inch outside diameter tube fittings.
  - 4. Manufacturer and Product: Norgren; T71DAOO-TSO-TKO.
- J. Solenoid Valve, Two-Way:
  - 1. Type: Globe valve directly actuated by solenoid and not requiring minimum pressure differential for operation.
  - 2. Materials:
    - a. Body: Brassed or stainless steel globe valves as recommended by manufacturer for designated service, unless otherwise shown on Drawings.
    - b. Valve Seat: Buna-N.
  - 3. Size: Normally closed or opened, as noted.
  - 4. Coil: 115V ac, unless noted otherwise.
  - 5. Solenoid Enclosure: NEMA 4.
  - 6. Manufacturer and Product: ASCO; Red Hat Series 8260.
- K. Pressure Regulator, Air:
  - 1. Provide air at reduced pressures, as shown, constant to within plus or minus 10 percent for flows from 0 scfh to 300 scfh with 100 psi supply pressure.
  - 2. Setscrew for outlet pressure adjustment.
  - 3. Integral filter and relief valve.
  - 4. Manufacturers and Products:
    - a. Masoneilan; Series 77-4.
    - b. Fisher; Series 67FR.
- L. Pressure Regulator, Water:
  - 1. Materials:
    - a. Body: Bronze.
    - b. Spring Case: Cast iron.
    - c. Seat Rings: Brass.

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- d. Valve Disk and Holder: Buna-N and bronze.
- e. Diaphragm: Buna-N diaphragm.
- 2. Sizing: For maximum of 7 psi offset pressure.
- 3. Manufacturers and Products:
  - a. Fisher; Controls Type 95H or 95L.
  - b. Masoneilan; Series 17.
- M. Test Tap:
  - 1. Manufacturers and Products:
    - a. Imperial-Eastman; quick-disconnect couplings No. 292-P and caps No. 259-P.
    - b. Crawford Fitting Co.; Swagelok quick-connects Series QC4 and caps QC4-DC.
    - c. Parker; CPI Series precision quick couplings.
- N. Copper Tubing and Fittings:
  - 1. Type K hard copper, ASTM B88, with commercially pure wrought copper solder joint fittings. Make joints with 95-5 wire solder, ASTM B32, Grade 95 TA. Do not use cored solder.
  - 2. Alternatively, Type K, soft temper copper tubing, ASTM B88, with brass compression type fittings may be used where shown on Drawings.
  - 3. Manufacturers:
    - a. Parker-Hannifin.
    - b. Swagelok tube fittings.
- O. Plastic Tubing and Fittings:
  - 1. Tubing:
    - a. Polyethylene capable of withstanding 190 psig at 175 degrees F.
    - b. Manufacturers and Products:
      - 1) Dekoron; Type P.
      - 2) Imperial Eastman; Poly-Flo black instrument tubing.
  - 2. Fittings:
    - a. Type: Brass compression.
    - b. Manufacturers and Products:
      - 1) Imperial Eastman; Poly-Flo tube fittings.
      - 2) Dekoron; E-Z fittings.
- P. Stainless Steel Tubing: ASTM A312/A312M, Type 316, 0.065-inch wall, seamless, soft annealed, as shown on Drawings.

- Q. Stainless Steel Fittings:
  - 1. Compression Type:
    - a. Materials: Type 316 stainless steel, ASTM A182/A182M forged bodies or ASTM A276 barstock bodies, flareless.
    - b. Manufacturers and Products:
      - 1) Parker Flodar; BA Series.
      - 2) Swagelok tube fittings.
      - 3) Parker CPI tube fittings; Parker A-LOK dual ferrule tube fittings.
  - 2. Socket Weld Type:
    - a. Materials: Type 316 stainless steel, ASTM A182/A182M forged bodies or ASTM A276 barstock bodies, 3,000 psi maximum working pressure, safety factor 4:1.
    - b. Manufacturers:
      - 1) Cajon.
      - 2) Swagelok.
      - 3) Parker WELDLOK.
- R. Air Set: Consists of a shutoff valve, pressure regulator, discharge pressure gauge, and interconnecting tubing.
- S. Purge Set:
  - 1. Parts: Purge rotameter flow element, pressure regulator, pressure gauge, test tap, shutoff valve, spool valve, and interconnecting tubing as shown on Drawings and as required in this section.
  - 2. Pressure Gauge Scale Range: 150 percent of the process variable.
  - 3. Mounting:
    - a. Within consoles, panels, or a separate enclosure as shown.
    - b. For separate enclosure mounted purge sets, refer to paragraphs Nonfreestanding Panel Construction and Factory Finishing for enclosure requirements.
- T. Tubing Raceways:
  - 1. Cable tray systems complete with tees, elbows, reducers, and covers.
  - 2. Size in accordance with manufacturer's recommendations for intended service.
  - 3. Materials: Galvanized steel or aluminum brass as recommended by manufacturer for designated service, unless otherwise shown on Drawings.
  - 4. Manufacturers:
    - a. Globetray.
    - b. Cope.

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- U. Air Supply Sets:
  - 1. Parts: Integrally Mounted:
    - a. Pressure Controls: Automatic START/STOP, factory set at 30 psig to 50 psig.
    - b. Valves: Manual drain, manual shutoff, pressure relief, and check valve.
    - c. Pressure gauge.
    - d. Inlet filter muffler.
    - e. Power: 120V ac.
    - f. Compressor: Oilless, single cylinder, rated for at least 1 scfm at 50 psig.
    - g. Manufacturers and Products:
      - 1) ITT Pneumotive; GH Series.
      - 2) Gast.
  - 2. Simplex Air Supply Sets:
    - a. Air Receiver: 2 gallons.
    - b. Compressors: One.
  - 3. Duplex Air Supply Sets:
    - a. Air Receiver: 20 gallons.
    - b. Compressors: Two.
    - c. Automatic Failover Control: Factory set at 20 psig.

### 2.03 ELECTRICAL COMPONENTS

- A. Terminal Blocks for Enclosures:
  - 1. General:
    - a. Connection Type: Screw compression clamp.
    - b. Compression Clamp:
      - 1) Complies with DIN-VDE 0611.
      - 2) Hardened steel clamp with transversal grooves that penetrate wire strands providing a vibration-proof connection.
      - 3) Guides strands of wire into terminal.
    - c. Screws: Hardened steel, captive, and self-locking.
    - d. Current Bar: Copper or treated brass.
    - e. Insulation:
      - 1) Thermoplastic rated for minus 55 degrees C to plus 110 degrees C.
      - 2) Two funneled shaped inputs to facilitate wire entry.

- f. Mounting:
  - 1) Standard DIN rail.
  - 2) Terminal block can be extracted from an assembly without displacing adjacent blocks.
  - 3) End Stops: Minimum of one at each end of rail.
- g. Wire Preparation: Stripping only permitted.
- h. Jumpers: Allow jumper installation without loss of space on terminal or rail.
- i. Marking System:
  - 1) Terminal number shown on both sides of terminal block.
  - 2) Allow use of preprinted and field marked tags.
  - 3) Terminal strip numbers shown on end stops.
  - 4) Mark terminal block and terminal strip numbers as shown on panel control diagrams and loop diagrams.
  - 5) Fuse Marking for Fused Terminal Blocks: Fuse voltage and amperage rating shown on top of terminal block.
- j. Test Plugs: Soldered connections for 18 AWG wire.
  - 1) Pin Diameter: 0.079 inch.
  - 2) Quantity: 10, 20, 40 (need two plugs per test meter).
  - 3) Manufacturer and Product: Entrelec; Type FC2.
- 2. Terminal Block, General Purpose:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 30 amp.
  - c. Wire Size: 24 AWG to 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Gray body.
  - f. Spacing: 0.25 inch, maximum.
  - g. Test Sockets: One screw test socket 0.079-inch diameter.
  - h. Manufacturer and Product: Entrelec; Type M4/6.T.
- 3. Terminal Block, Ground:
  - a. Wire Size: 24 AWG to 10 AWG.
  - b. Rated Wire Size: 10 AWG.
  - c. Color: Green and yellow body.
  - d. Spacing: 0.25 inch, maximum.
  - e. Grounding: Electrically grounded to mounting rail.
  - f. Manufacturer and Product: Entrelec; Type M4/6.P.
- 4. Terminal Block, Blade Disconnect Switch:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 10 amp.
  - c. Wire Size: 22 AWG to 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Gray body, orange switch.
  - f. Spacing: 0.25 inch, maximum.
  - g. Manufacturer and Product: Entrelec; Type M4/6.SNT.

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- 5. Terminal Block Diode:
  - a. Rated Voltage: 24V dc.
  - b. Rated Current: 30 ma.
  - c. Wire Size: 16 AWG.
  - d. Manufacturer and Product: Phoenix Contact ST-IN.
- 6. Terminal Block, Fused, 24V dc:
  - a. Rated Voltage: 600V dc.
  - b. Rated Current: 25 amp.
  - c. Wire Size: 22 AWG to 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Gray body.
  - f. Fuse: 0.25 inch by 1.25 inches.
  - g. Indication: LED diode 24V dc.
  - h. Spacing: 0.512 inch, maximum.
  - i. Manufacturer and Product: Entrelec; Type ML10/13.SFD.
- 7. Terminal Block, Fused, 120V ac:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 25 amp.
  - c. Wire Size: 22 AWG to 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Gray body.
  - f. Fuse: 0.25 inch by 1.25 inches.
  - g. Indication: Neon lamp, 110V ac.
  - h. Leakage Current: 1.8 mA, maximum.
  - i. Spacing: 0.512 inch, maximum.
  - j. Manufacturer and Product: Entrelec; Type ML10/13.SFL.
- 8. Terminal Block, Fused, 120V ac, High Current:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 35 amps.
  - c. Wire Size: 18 AWG to 8 AWG.
  - d. Rated Wire Size: 8 AWG.
  - e. Color: Gray.
  - f. Fuse: 13/32 inch by 1.5 inches.
  - g. Spacing: 0.95 inch, maximum.
- 9. Manufacturer and Product: Entrelec; Type MB10/24.SF.
- B. Relays:
  - 1. General:
    - a. Relay Mounting: Plug-in type socket.
    - b. Relay Enclosure: Furnish dust cover.
    - c. Socket Type: Screw terminal interface with wiring.
    - d. Socket Mounting: Rail.
    - e. Provide holddown clips.

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# 2. Signal Switching Relay:

- a. Type: Dry circuit.
- b. Contact Arrangement: 2 Form C contacts.
- c. Contact Rating: 5 amps at 28V dc or 120V ac.
- d. Contact Material: Gold or silver.
- e. Coil Voltage: As noted or shown.
- f. Coil Power: 0.9 watt (dc), 1.2VA (ac).
- g. Expected Mechanical Life: 10,000,000 operations.
- h. Expected Electrical Life at Rated Load: 100,000 operations.
- i. Indication Type: Neon or LED indicator lamp.
- j. Seal Type: Hermetically sealed case.
- k. Manufacturer and Product: Potter and Brumfield; Series KH/KHA.
- 3. Control Circuit Switching Relay, Nonlatching:
  - a. Type: Compact general purpose plug-in.
  - b. Contact Arrangement: 3 Form C contacts.
  - c. Contact Rating: 10A at 28V dc or 120V ac, and 6.6A at 240V ac.
  - d. Contact Material: Silver cadmium oxide alloy.
  - e. Coil Voltage: As noted or shown.
  - f. Coil Power: 1.8 watts (dc), 2.7VA (ac).
  - g. Expected Mechanical Life: 10,000,000 operations.
  - h. Expected Electrical Life at Rated Load: 100,000 operations.
  - i. Indication Type: Neon or LED indicator lamp.
  - j. Push-to-test button.
  - k. Manufacturer and Product: Potter and Brumfield; Series KUP.
- 4. Control Circuit Switching Relay, Latching:
  - a. Type: Dual coil mechanical latching relay.
  - b. Contact Arrangement: 2 Form C contacts.
  - c. Contact Rating: 10A at 28V dc or 120V ac.
  - d. Contact Material: Silver cadmium oxide alloy.
  - e. Coil Voltage: As noted or shown.
  - f. Coil Power: 2.7 watts (dc), 5.3VA (ac).
  - g. Expected Mechanical Life: 500,000 operations.
  - h. Expected Electrical Life at Rated Load: 50,000 operations.
  - i. Manufacturer and Product: Potter and Brumfield; Series KB/KBP.
- 5. Control Circuit Switching Relay, Time Delay:
  - a. Type: Adjustable time delay relay.
  - b. Contact Arrangement: 2 Form C contacts.
  - c. Contact Rating: 10A at 30V dc or 277V ac.
  - d. Contact Material: Silver cadmium oxide alloy.
  - e. Coil Voltage: As noted or shown.
  - f. Operating Temperature: Minus 10 degrees C to 55 degrees C.
  - g. Repeatability: Plus or minus 2 percent.

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- h. Delay Time Range: Select range such that time delay setpoint fall between 20 percent to 80 percent of range.
- i. Time Delay Setpoint: As noted or shown.
- j. Mode of Operation: As noted or shown.
- k. Adjustment Type: Integral potentiometer with knob external to dust cover.
- Manufacturer and Products: Potter and Brumfield; Series CB for 0.1-second to 100-minute delay time ranges, Series CK for 0.1-second to 120-second delay time ranges.
- C. Surge Suppressors:
  - 1. General:
    - a. Construction: First-stage, high-energy metal oxide varistor and second-stage, bipolar silicon avalanche device separated by series impedance; includes grounding wire, stud, or terminal.
    - b. Response: 5 nanoseconds maximum.
    - c. Recovery: Automatic.
    - d. Temperature Range: Minus 20 degrees C to plus 85 degrees C.
    - e. Enclosure Mounted: Encapsulated inflame retardant epoxy.
  - 2. Suppressors on 120V ac Power Supply Connections:
    - a. Occurrences: Tested and rated for a minimum of 50 occurrences of IEEE C62.41 Category B test waveform.
    - b. First-Stage Clamping Voltage: 350 volts or less.
    - c. Second-Stage Clamping Voltage: 210 volts or less.
    - d. Power Supplies for Continuous Operation:
      - 1) Four-Wire Transmitter or Receiver: Minimum 5 amps at 130V ac.
      - 2) All Other Applications: Minimum 30 amps at 130V ac.
  - 3. Suppressors on Analog Signal Lines:
    - a. Test Waveform: Linear 8-microsecond rise in current from 0 amps to a peak current value followed by an exponential decay of current reaching one-half the peak value in 20 microseconds.
    - b. Surge Rating: Tested and rated for 50 occurrences of 2,000-amp peak test waveform.
      - 1) dc Clamping Voltage: 20 percent to 40 percent above operating voltage for circuit.
      - 2) dc Clamping Voltage Tolerance: Plus or minus 10 percent.
      - 3) Maximum Loop Resistance: 18 ohms per conductor.
  - 4. Manufacturers and Products:
    - a. Analog Signals Lines: Emerson Edco PC-642 or SRA-64 series.
    - b. 120V ac Lines: Emerson Edco HSP-121.

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- c. Field Mounted at Two-Wire Instruments:
  - 1) Encapsulated in stainless steel pipe nipples.
  - 2) Emerson Edco SS64 series.
- d. Field Mounted at Four-Wire Instruments: With 120V ac outlet, ac circuit breaker, and 10-ohm resistors on signal lines, all in enclosure.
  - 1) Enclosure:
    - a) NEMA 4X Type 316 stainless steel with door.
    - b) Maximum Size: 12 inches by 12 inches by 8 inches deep.
  - 2) Emerson Edco; SLAC series.
- D. Power Supplies:
  - 1. Furnish as required to power instruments requiring external dc power, including two-wire transmitters and dc relays. Provide dual power supplies with diode auctioneered outputs.
  - 2. Convert 120V ac, 60-Hz power to dc power of appropriate voltage(s) with sufficient voltage regulation and ripple control to assure that instruments being supplied can operate within their required tolerances.
  - 3. Provide output over voltage and over current protective devices to:
    - a. Protect instruments from damage due to power supply failure.
    - b. Protect power supply from damage due to external failure.
  - 4. Enclosures: NEMA 1.
  - 5. Mount such that dissipated heat does not adversely affect other components.
  - 6. Fuses: For each dc supply line to each individual two-wire transmitter.
    - a. Type: Indicating.
    - b. Mount so fuses can be easily seen and replaced.
- E. Intrinsic Safety Barriers:
  - 1. Intrinsically Safe Relays: Monitor discrete signals that originate in hazardous area and are used in a safe area.
    - a. Manufacturer and Product: MTL, Inc.; Series MTL 5000.
  - 2. Intrinsically Safe Barriers: Interface analog signals as they pass from hazardous area to safe area.
    - a. Manufacturer and Product: MTL, Inc.; Series MTL 5000.

# PART 3 EXECUTION (NOT USED)

# **END OF SECTION**

#### SECTION 40 99 90 PACKAGE CONTROL SYSTEMS

# PART 1 GENERAL

#### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. Instrumentation, Systems, Automation Society (ISA): S50.1, Compatibility of Analog Signals for Electronic Process Instruments.
  - 2. National Electrical Manufacturers Association (NEMA):
    - a. 250, Enclosures for Electrical Equipment (1,000 Volts Maximum).
    - b. AB 1, Molded Case Circuit Breakers and Molded Case Switches.
    - c. ICS 2, Industrial Control Devices, Controllers and Assemblies.
  - 3. National Fire Protection Association (NFPA): 70, National Electrical Code (NEC).
  - 4. Underwriters Laboratories Inc. (UL): 508A, Standards for Safety, Industrial Control Panels.

#### 1.02 SYSTEM DESCRIPTION

- A. Assemble panels and install instruments, plumbing, and wiring in equipment manufacturer's factories.
- B. Test panels and panel assemblies for proper operation prior to shipment from equipment manufacturer's factory.

## 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Bill of material, catalog information, descriptive literature, wiring diagrams, and Shop Drawings for components of control system.
  - 2. Catalog information on electrical devices furnished with system.
  - 3. Shop Drawings, catalog material, and dimensional layout drawings for control panels and enclosures.
  - 4. Panel elementary diagrams of prewired panels. Include in diagrams control devices and auxiliary devices, for example, relays, alarms, fuses, lights, fans, and heaters.
  - 5. Plumbing diagrams of preplumbed panels and interconnecting plumbing diagrams.
  - 6. Interconnection wiring diagrams that include numbered terminal designations showing external interfaces.

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- B. Informational Submittals:
  - 1. Programmable Controller Submittals:
    - a. Complete set of user manuals.
    - b. Fully documented ladder logic listings.
    - c. Function listing for function blocks not fully documented by ladder logic listings.
    - d. Cross-reference listing.
  - 2. Manufacturer's list of proposed spares, expendables, and test equipment.
  - 3. Manufacturer's Certificate of Proper Installation in accordance with Section 01 43 33, Manufacturers' Field Services.

# 1.04 DELIVERY, STORAGE, AND HANDLING

A. Prior to shipment, include corrosive-inhibitive vapor capsules in shipping containers and related equipment as recommended by capsule manufacturer.

## 1.05 EXTRA MATERIALS

- A. Spares, Expendables, and Test Equipment:
  - 1. Selector Switch, Pushbutton, and Indicating Light: 20 percent, one minimum, of each type used.
  - 2. Light Bulb: 100 percent, 2 minimum, of each type used.
  - 3. Fuse: 100 percent, 5 minimum, of each type used.
  - 4. Surge Suppressors: 20 percent, one minimum, of each type used.

# PART 2 PRODUCTS

- 2.01 GENERAL
  - A. Section 40 90 01, Instrumentation and Control for Process Systems.
- 2.02 SIGNAL CHARACTERISTICS
  - A. As defined in Section 40 90 01, Instrumentation and Control for Process Systems.
- 2.03 CORROSION PROTECTION
  - A. Corrosion-Inhibiting Vapor Capsule Manufacturers:
    - 1. Northern Instruments; Model Zerust VC.
    - 2. Hoffmann Engineering; Model A-HCI.

#### 2.04 CONTROL PANEL

- A. Panel Construction and Interior Wiring: In accordance with the National Electrical Code (NEC), UL 508, state and local codes, and applicable sections of NEMA, ANSI, and ICECA.
- B. Conform to NEMA ratings as specified in individual equipment sections.
- C. Minimum Metal Thickness: 14-gauge.
- D. NEMA 250, Type 4X Panels: Type 316 stainless steel construction unless otherwise specified.
- E. Doors:
  - 1. Three-point latching mechanisms in accordance with NEMA 250 Type 1 and 12 panels with doors higher than 18 inches.
  - 2. For other doors, stainless steel quick release clamps.
- F. Cutouts shall be cut, punched, or drilled and finished smoothly with rounded edges.
- G. Access: Front, suitable for installation with back and sides adjacent to or in contact with other surfaces, unless otherwise specified.
- H. Temperature Control:
  - 1. Size panels to adequately dissipate heat generated by equipment mounted on or in the panel.
  - 2. Furnish cooling fans with air filters if required to dissipate heat.
  - 3. For panels outdoors or in unheated areas, furnish thermostatically controlled heaters to maintain temperature above 40 degrees F.
- I. Push-to-Test Circuitry: For each push-to-test indicating light, provide a fused push-to-test circuit.
- J. Lighting: Minimum of one hand switch controlled internal 100-watt LED light for panels 12 cubic feet and larger.
- K. Minimum of one 120-volt GFCI duplex receptacle for panels 12 cubic feet and larger.
- L. Finish:
  - 1. Metallic External Surfaces (Excluding Aluminum and Stainless Steel): Manufacturer's standard gray unless otherwise specified.
  - 2. Internal Surfaces: White enamel.

- M. Panel Manufacturers:
  - 1. Hoffman.
  - 2. H.F. Cox.
- N. Breather and Drains: Furnish with NEMA 250, Type 4 and 4X panels.
  - 1. Manufacturer and Product: Cooper Crouse-Hinds; ECD Type 4X Drain and Breather; Drain Model ECD1-N4D, Breather Model ECD1-N4B.
- 2.05 CONTROL PANEL ELECTRICAL
  - A. UL Listing Mark for Enclosures: Mark stating "Listed Enclosed Industrial Control Panel" per UL 508A.
  - B. I&C and electrical components, terminals, wires, and enclosures UL recognized or UL listed.
  - C. Control Panels without Motor Starters:
    - 1. Furnish main circuit breaker and a circuit breaker on each individual branch circuit distributed from power panel. All breakers shall have minimum short circuit rating for 10,000 AIC.
    - 2. Locate to provide clear view of and access to breakers when door is open. Group on single subpanel. Provide typed directory.
    - 3. Circuit Breakers:
      - a. Coordinate for fault in branch circuit trips, branch breaker, and not main breaker.
      - b. Branch Circuit Breakers: 15 amps at 250V ac.
      - c. Breaker Manufacturers and Products:
        - 1) Heineman Electric Co.; Series AM.
        - 2) Airpax/North American Philips Controls Corp.; Series 205.
  - D. Wiring:
    - 1. ac Circuits:
      - a. Type: 600-volt, Type MTW stranded copper.
      - b. Size: For current to be carried, but not less than 14 AWG.
    - 2. Analog Signal Circuits:
      - a. Type: 300-volt, Type 2 stranded copper, twisted shielded pairs.
      - b. Size: 18 AWG, minimum.
    - 3. Other dc Circuits.
      - a. Type: 600-volt, Type MTW stranded copper.
      - b. Size: 18 AWG, minimum.
    - 4. Separate analog and other dc circuits at least 6 inches from any ac power and control wiring.

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- 5. Enclose wiring in sheet metal raceways or plastic wiring ducts.
- 6. Wire Identification: Numbered and tagged at each termination.
  - a. Wire Tags: Machine printed, heat shrink.
    - b. Manufacturers:
      - 1) Brady PermaSleeve.
      - 2) Tyco Electronics.
- E. Wiring Interface:
  - 1. For analog and discrete signal, terminate at numbered terminal blocks.
  - 2. For special signals, terminate power (240 volts or greater) at manufacturer's standard connectors.
  - 3. For panel, terminate at equipment on/with which it is mounted.
- F. Terminal Blocks:
  - 1. Quantity:
    - a. For external connections.
    - b. Wire spare or unused panel mounted elements to their panels' terminal blocks.
    - c. Spare Terminals: 20 percent of connected terminals, but not less than 10.
  - 2. General: Group to keep 120V ac circuits separate from 24V dc circuits.
    - a. Connection Type: Screw connection clamp.
      - b. Compression Clamp:
        - 1) Hardened steel clamp with transversal grooves penetrating wire strands providing a vibration-proof connection.
        - 2) Guides strands of wire into terminal.
      - c. Screws: Hardened steel, captive, and self-locking.
      - d. Current Bar: Copper or treated brass.
    - e. Insulation:
      - 1) Thermoplastic rated for minus 55 to plus 110 degrees C.
      - 2) Two funnel shaped inputs to facilitate wire entry.
    - f. Mounting:
      - 1) Rail.
      - 2) Terminal block can be extracted from an assembly without displacing adjacent blocks.
      - 3) End Stops: One at each end of rail, minimum.
    - g. Wire Preparation: Stripping only.
    - h. Jumpers: Allow jumper installation without loss of space on terminal or rail.
    - i. Marking System:
      - 1) Terminal number shown on both sides of terminal block.
      - 2) Allow use of preprinted and field marked tags.

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- 3) Terminal strip numbers shown on end stops.
- 4) Mark terminal block and terminal strip numbers as shown.
- 3. Terminal Block, 120-Volt Power:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 30 amp.
  - c. Wire Size: 22 through 10 AWG.
  - d. Rated Wire Size: 10 AWG.
  - e. Color: Gray body.
  - f. Spacing: 0.25 inch, maximum.
  - g. Manufacturer and Product: Entrelec; Type M4/6.
- 4. Terminal Block, Ground:
  - a. Wire Size: 22 through 12 AWG.
  - b. Rated Wire Size: 12 AWG.
  - c. Color: Green and yellow body.
  - d. Spacing: 0.25 inch, maximum.
  - e. Grounding: Ground terminal blocks electrically grounded to the mounting rail.
  - f. Manufacturer and Product: Entrelec; Type M4/6.P.
- 5. Terminal Block, Blade Disconnect Switch:
  - a. Use: Provide one for each discrete input and output field interface wire.
  - b. Rated Voltage: 600V ac.
  - c. Rated Current: 10 amp.
  - d. Wire Size: 22 through 12 AWG.
  - e. Rated Wire Size: 12 AWG.
  - f. Color: Gray body, orange switch.
  - g. Spacing: 0.25 inch, maximum.
  - h. Manufacturer and Product: Entrelec; Type M4/6.SN.
- 6. Terminal Block, Fused, 24V dc:
  - a. Rated Voltage: 600V dc.
  - b. Rated Current: 6.3 amp.
  - c. Wire Size: 22 through 12 AWG.
  - d. Rated Wire Size: 12 AWG.
  - e. Color: Gray body.
  - f. Fuse: 5 by 20 GMA fuses.
  - g. Fuse Marking: Fuse amperage rating shown on top of terminal block.
  - h. Indication: LED diode 24V dc.
  - i. Leakage Current: 5.2 mA, maximum.
  - j. Spacing: 0.32 inch, maximum.
  - k. Manufacturer and Product: Entrelec; Type M4/6.SFD.
- 7. Terminal Block, Fused, 120V ac:
  - a. Rated Voltage: 600V ac.
  - b. Rated Current: 6.3 amp.

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- c. Wire Size: 22 through 12 AWG
- d. Rated Wire Size: 12 AWG.
- e. Color: Gray body.
- f. Fuse: 5 by 20 GMA fuses.
- g. Fuse Marking: Fuse amperage rating shown on top of terminal block.
- h. Indication: Neon lamp 110V ac.
- i. Leakage Current: 1.8 mA, maximum.
- j. Spacing: 0.32 inch, maximum
- k. Manufacturer and Product: Entrelec; Type M4/6.SFL.
- G. Grounding: Internal copper grounding bus for ground connections on panels, consoles, racks, and cabinets.
- H. Relays:
  - 1. General:
    - a. Relay Mounting: Plug-in type socket.
    - b. Relay Enclosure: Provide dust cover.
    - c. Socket Type: Screw terminal interface with wiring.
    - d. Socket Mounting: Rail.
    - e. Furnish holddown clips.
  - 2. Control Circuit Switching Relay, Nonlatching:
    - a. Type: Compact general purpose plug-in.
    - b. Contact Arrangement: 3 Form C contacts.
    - c. Contact Rating: 10A at 28V dc or 240V ac.
    - d. Contact Material: Silver cadmium oxide alloy.
    - e. Coil Voltage: As noted or shown.
    - f. Coil Power: 1.8 watts (dc), 2.7VA (ac).
    - g. Expected Mechanical Life: 10,000,000 operations.
    - h. Expected Electrical Life at Rated Load: 100,000 operations.
    - i. Indication Type: Neon or LED indicator lamp.
    - j. Push-to-test button.
    - k. Manufacturer and Product: Potter and Brumfield; Series KUP.
  - 3. Control Circuit Switching Relay, Latching:
    - a. Type: Dual coil mechanical latching relay.
    - b. Contact Arrangement: 2 Form C contacts.
    - c. Contact Rating: 10A at 28V dc or 120V ac.
    - d. Contact Material: Silver cadmium oxide alloy.
    - e. Coil Voltage: As noted or shown.
    - f. Coil Power: 2.7 watts (dc), 5.3VA (ac).
    - g. Expected Mechanical Life: 500,000 operations.
    - h. Expected Electrical Life at Rated Load: 50,000 operations.
    - i. Manufacturer and Product: Potter and Brumfield; Series KB/KBP.

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## 4. Control Circuit Switching Relay, Time Delay:

- a. Type: Adjustable time delay relay.
- b. Contact Arrangement: 2 Form C contacts.
- c. Contact Rating: 10A at 240V ac.
- d. Contact Material: Silver cadmium oxide alloy.
- e. Coil Voltage: As specified or shown.
- f. Operating Temperature: Minus 10 to 55 degrees C.
- g. Repeatability: Plus or minus 2 percent.
- h. Delay Time Range: Select range such that time delay set point fall between 20 to 80 percent or range.
- i. Time Delay Set Point: As specified or shown.
- j. Mode of Operation: As specified or shown.
- k. Adjustment Type: Integral potentiometer with knob external to dust cover.
- 1. Manufacturer and Products: Potter and Brumfield.
  - 1) Series CB for 0.1-second to 100-minute delay time ranges.
  - 2) Series CK for 0.1- to 120-second delay time ranges.
- I. Intrinsic Safety Barriers:
  - 1. Intrinsically Safe Relays: Monitor discrete signals that originate in hazardous area and are used in a safe area.
    - a. Manufacturer and Product: MTL, Inc.; Series MTL 5000.
  - 2. Intrinsically Safe Barriers: Interface analog signals as they pass from hazardous area to safe area.
    - a. Manufacturer and Product: MTL, Inc.; Series MTL 5000.
- J. Programmable Controllers:
  - 1. Solid state units capable of performing same function as conventional relays, timers, counters, drum sequencers, arithmetic, and other special functions necessary to perform required control functions.
  - 2. Ethernet TCP/IP Communication Capability.
  - Minimum of 64 internal control relays, 16 timer/counters, and four, 16 stop drum sequencers. Furnish minimum of 256 words of nonvolatile memory.
  - 4. Minimum of 12 discrete inputs and 8 discrete outputs, optical isolations rated at 2,500-volt rms. Discrete inputs shall be 120V ac. Discrete outputs shall be rated for 2 amps at 120V ac. Each input and output shall have an LED ON/OFF status indicator.
  - 5. Minimum of 25 percent excess capacity for inputs, outputs, internal coils, registers, and other necessary functions.

- 6. Capable of operating in a hostile industrial environment (for example, heat, electrical transients, RFI, and vibration) without fans, air conditioning, or electrical filtering. Units operate from 0 to 60 degrees C and up to 95 percent humidity, noncondensing.
- 7. Furnish with a handheld, CRT, or personal computer programmer that plugs into controller. Program using conventional relay ladder diagram notation and drum sequencer chart notation. Programmer shall provide a force function to set inputs or outputs to a given state regardless of program or input conditions. Programmer shall indicate power flow through internal elements.
- K. Front-of-Panel Devices in Conjunction with NEMA 250, Type 1 and 12 Panels:
  - 1. Potentiometer Units:
    - a. Three-terminal, oiltight construction, resolution of 1 percent and linearity of plus or minus 5 percent.
    - b. Single-hole, panel mounting accommodating panel thicknesses between 1/8 and 1/4 inch.
    - c. Include legend plates with service markings.
    - d. Manufacturers and Products:
      - 1) Allen-Bradley; Model 800T.
      - 2) Eaton/Cutler-Hammer; Model 10250T.
  - 2. Indicating Lights:
    - a. Heavy-duty, push-to-test type, oiltight, industrial type with integral transformer for 120V ac applications.
    - b. Screwed on prismatic glass lenses in colors noted and factory engraved legend plates for service legend.
    - c. Manufacturers and Products:
      - 1) Eaton/Cutler-Hammer; Type 10250T.
      - 2) General Electric; CR2940U.
  - 3. Pushbutton, Momentary:
    - a. Heavy-duty, oiltight, industrial type with full guard and momentary contacts rated for 10 amperes continuous at 120V ac.
    - b. Standard size legend plates with black field and white markings for service legend.
    - c. Manufacturers and Products:
      - 1) Square D; Class 9001, Type K.
      - 2) Eaton/Cutler-Hammer; Type T.
      - 3) General Electric; Type CR-2940.
  - 4. Selector Switch:
    - a. Heavy-duty, oiltight, industrial type with contacts rated for 120V ac service at 10 amperes continuous.

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- b. Standard size, black field, legend plates with white markings, for service legend.
- c. Operators: Black knob type.
- d. Single-hole mounting, accommodating panel thicknesses from 1/16 inch to 1/4 inch.
- e. Manufacturers and Products for Units with up to Four Selection Positions:
  - 1) Eaton/Cutler-Hammer; Type T.
  - 2) Square D; Type K.
- f. Manufacturers and Products for Units with up to 12 Selection Positions:
  - 1) Rundel-Idec; Standard Cam Switch.
  - 2) Electroswitch; 31.
- L. Front-of-Panel Devices Used in Conjunction with NEMA 250, Type 4X Panels:
  - 1. Potentiometer, Watertight:
    - a. Three-terminal, heavy-duty NEMA 250, Type 4X watertight construction, resolution of 1 percent and linearity of plus or minus 5 percent.
    - b. Single-hole, panel mounting accommodating panel thicknesses between 1/8 and 1/4 inch.
    - c. Include engraved legend plates with service markings.
    - d. Manufacturer and Product: Allen-Bradley; Bulletin 800H.
  - 2. Indicating Lights, Watertight:
    - a. Heavy-duty, push-to-test type, NEMA 250, Type 4X watertight, industrial type with integral transformer for 120V ac applications and corrosion-resistant service.
    - b. Screwed on prismatic lenses and factory engraved legend plates for service legend.
    - c. Manufacturers and Products:
      - 1) Square D; Type SK.
      - 2) Allen-Bradley; Type 800H.
  - 3. Pushbutton, Momentary, Watertight:
    - a. Heavy-duty, NEMA 250, Type 4X watertight, industrial type with momentary contacts rated for 120V ac service at 10 amperes continuous and corrosion-resistant service.
    - b. Standard size, black field, legend plates with white markings for service legend.
    - c. Manufacturers and Products:
      - 1) Square D; Type SK.
      - 2) Allen-Bradley; Type 800H.

- 4. Selector Switch, Watertight:
  - a. Heavy-duty, NEMA 250, Type 4X watertight, industrial type with contacts rated for 120V ac service at 10 amperes continuous and corrosion-resistant service.
  - b. Standard size, black field, legend plates with white markings, for service legend.
  - c. Operators: Black knob type.
  - d. Single-hole mounting, accommodating panel thicknesses from 1/16 to 1/4 inch.
  - e. Manufacturer and Products:
    - 1) Square D; Class 9001, Type SK.
    - 2) Allen-Bradley; Type 800H.

## 2.06 HARDWARE DOCUMENTATION

- A. Provide the following for all elements of the PLC:
  - 1. Block Diagram: A diagram showing all major system components. Identify components by manufacturer and model number. Show interconnecting cables diagrammatically.
  - 2. Bill-of-Materials: A list of all PLC components. Group components by type and include:
    - a. Component manufacturer, model number and part number.
    - b. Component description.
    - c. Quantity supplied.
    - d. Reference to component catalog information.
  - 3. Descriptive Information: Catalog information, descriptive literature, performance specifications, internal wiring diagrams, power and grounding requirements, power consumption, and heat dissipation of all elements of the PLC system. Clearly mark all options and features proposed for this Project.
  - 4. Interconnecting Wiring Diagrams: Diagrams shall show all PLC elements, their interconnecting cables and wiring terminations, and all terminations to all interacting elements and subsystems. Terminations shall be numbered. Terminations for circuits extending outside PLC assemblies and/or leaving panels shall be labeled with circuit names corresponding to the Circuit and Raceway Schedule. The external circuit portion of this diagram shall be coordinated with the Electrical Subcontractor and shall bear his mark showing that this work has been done.
  - 5. Outline Drawings: Equipment envelope drawings showing: External dimensions, enclosure materials, conduit connections and installation requirements.

- 6. Installation Details: Any modifications or further details as may be required to supplement the Contact Documents and adequately define the installation of the PLC elements.
- 7. Input/Output List: For each I/O point, list point type, tag number of the source or final control element, equipment description, PLC number, PLC terminal identification, rack number, module slot number and PLC address.
- 8. Provide documentation on the type of Operator Interface being used.

#### 2.07 INSTRUMENT TAG NUMBERS

A. Tag numbers shall match those shown on P&IDs.

#### 2.08 NAMEPLATES, NAMETAGS, AND SERVICE LEGENDS

- A. Nametags: Permanently mounted bearing entire ISA tag number.
  - 1. Panel Mounted: Plastic, mounted to instrument behind panel face.
  - 2. Field Mounted: Engraved Type 316 stainless steel, 22-gauge minimum thickness, attached with stainless steel.
- B. Service Legends (Integrally Mounted with Instrument) and Nameplates:
  - 1. Engraved, rigid, laminated plastic type with adhesive back. Furnish service legends and nameplates to adequately describe functions of panel face mounted instruments.
  - 2. Color: White with black letters.
  - 3. Letter Height: 3/16 inch.
  - 4. For each panel, face mounted laminated nameplate inscribed with the panel name and tag number. Color shall be white with black letters 1/2-inch high.
- C. Standard Light Colors and Inscriptions: Unless otherwise specified in individual equipment specifications, use the following color code and inscriptions:

Tag	Inscription(s)	Color
ON	ON	Red
OFF	OFF	Green
OPEN	OPEN	Red
CLOSED	CLOSED	Green
LOW	LOW	Amber

Tag	Inscription(s)	Color
FAIL	FAIL	Amber
HIGH	HIGH	Amber
AUTO	AUTO	White
MANUAL	MANUAL	Yellow
LOCAL	LOCAL	White
REMOTE	REMOTE	Yellow
FORWARD	FORWARD	Red
REVERSE	REVERSE	Blue

- D. Standard Pushbutton Colors and Inscriptions:
  - 1. Use following unless otherwise noted in:

<b>Tag Function</b>	Inscription(s)	Color
00	ON OFF	Red Green
OC	OPEN CLOSE	Red Green
OOR	ON OFF REMOTE	Red Green White
SS	START STOP	Red Green
RESET	RESET	Black
EMERGENCY STOP	EMERGENCY STOP	Red

# 2.09 ELECTRICAL SURGE AND TRANSIENT PROTECTION

- A. General: Equip control panels with surge-arresting devices to protect equipment from damage due to electrical transients induced in interconnecting lines from lightning discharges and nearby electrical devices.
- B. Suppressor Locations:
  - 1. At point of connection between each equipment item, including ac powered transmitters and its power supply conductors (direct wired equipment).
  - 2. On analog pairs at each end when the pair travels outside of building.

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- 3. In other locations where equipment sensitivity to surges and transients requires additional protection beyond that inherent to design of equipment.
- C. Power Supply Suppressor Assemblies:
  - 1. Suitable for connection to 120-volt, single-phase power supplies EDCO "HSP SERIES."
  - 2. Suitable for connection to 480-volt, three-phase power supplies; Square D J9200-9A.
- D. Analog Signal Cable Suppressor Assemblies:
  - 1. Epoxy encapsulated within a phenolic enclosure.
  - 2. Flame retardant.
  - 3. Four lead devices; include a threaded mounting/grounding stud.
  - 4. Manufacturer and Product: EDCO; SRA-64 Series.
- E. Grounding: Coordinate surge suppressor grounding in field panels and field instrumentation as specified in Division 26, Electrical, and suppressor manufacturer's requirements. Furnish control panels with an integral copper grounding bus for connection of suppressors and other required instrumentation.

## PART 3 EXECUTION

#### 3.01 FACTORY TEST

- A. Submit a test for the Contractor's approval. Approval of the test plan is a prerequisite to actual factory test.
- B. Test all non loop-specific functions including, but not limited to, the following:
  - 1. Failure Mode and Backup Procedures: Power failure, redundant operation, auto restart, disk backup and reload, retentive outputs.
  - 2. Communication with PLC programmer.
  - 3. Man-Machine Interface: Operation of PLC with the specified industrially hardened operator interface.
  - 4. Programming and documentation methods and features.
- C. Test and debug all application programs to prove that each system works as specified.
- D. Test shall be unwitnessed.

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## 3.02 OWNER TRAINING

- A. Provide a minimum of 2-days of training at the jobsite for the Owner's personnel in the operation of the PLC and for onsite hardware training for the Owner's instrument technicians in the maintenance of the OI and PLC hardware.
- B. Operations:
  - 1. Training shall include:
    - a. Standard operational features of system equipment provided.
    - b. Specific Features Provided for this Project Including:
      - 1) Loop functions.
      - 2) Operation of Each Loop: For example, AUTO/MANUAL control, control set point settings, control mode selection, alarm acknowledgment, use of operator interface.
      - 3) Interfaces with other loops and subsystems.
- C. Hardware Maintenance:
  - 1. Training shall Include:
    - a. Standard hardware features of the PLC and operator interface.
    - b. Specific training for the actual hardware configuration provided.
    - c. Test, adjustment, and calibration procedures.
    - d. Troubleshooting, component removal and replacement, and periodic maintenance.

## 3.03 O&M MANUALS

- A. Hardware:
  - 1. Provide the Following:
    - a. Updated versions of all material described under Paragraph Hardware Documentation.
    - b. Component Manufacturers' O&M Manuals: Include manuals to cover installation, operation, maintenance, troubleshooting, and calibration.
    - c. List of spare parts and expendables provided and list of spare parts recommended.
- B. Software:
  - 1. Provide the Following:
    - a. Programming Manuals: Component manufacturers' standard programming manuals.

b. Software Documentation: Provide a final version of the material called for under Paragraph Software Design Submittal.

## 3.04 ELECTRICAL POWER AND SIGNAL WIRING

- A. Restrain control and signal wiring in control panels by plastic ties or ducts. Secure hinge wiring at each end so bending or twisting will occur around the longitudinal axis of wire. Protect bend area with a sleeve.
- B. Arrange wiring neatly, cut to proper length, and remove surplus wire. Install abrasion protection for wire bundles passing through holes or across edges of sheet metal.
- C. Use manufacturer's recommended tool with sized anvil for crimp terminations. No more than one wire may be terminated in a single crimp lug. No more than two lugs may be installed on a single screw terminal.
- D. Do not splice or tap wiring except at device terminals or terminal blocks.

## 3.05 PROTECTION

- A. Protect enclosures and other equipment containing electrical, instrumentation and control devices, including spare parts, from corrosion through the use of corrosion-inhibiting vapor capsules.
- B. During Work, periodically replace capsules in accordance with capsule manufacturer's recommendations. Replace capsules at Substantial Completion.

# **END OF SECTION**

#### SECTION 44 42 63 HOSE-LESS SOLIDS COLLECTION SYSTEM

## PART 1 GENERAL

#### 1.01 SCOPE AND RESPONSIBILITIES

- A. Traveling submerged solids collection systems shall be furnished and installed in existing sedimentation basins (eight sedimentation basins at the Crosstown WTP and four sedimentation basins at the South Fayette WTP) as shown in the Contract Drawings and as described herein.
- B. Each solids collector system shall include tandem collector assemblies, electric drive assemblies, drive cables, rigid conduit for settled solids discharge, cable pulleys, automated butterfly valves, control system for fully automatic operation, and all other miscellaneous accessories and hardware as required for a complete installation. This equipment shall be provided as an integral package, manufactured by a single manufacturer.
- C. Each solids collector shall remove the settled solids from basin floors by means of differential head. The collected solids shall be discharged through rigid solids conduits. Flexible hoses shall not be used for solids removal.

#### 1.02 GENERAL

A. It is the intent of the Owner that the traveling solids equipment manufacturer shall furnish a complete and engineered system suitable for removal of coagulated solids settled onto basin floors.

#### 1.03 SUBMITTALS

- A. Action Submittals: Shop Drawings shall be submitted for review and approval by Engineer. Shop Drawings shall include, at a minimum, the following:
  - 1. Engineering drawings showing dimensional data, equipment details, materials of construction, weights, and component connections.
  - 2. Scaled plan view drawings of the aforementioned basins showing basin features (e.g., dimensions, walls, etc.) and proposed equipment.
  - 3. Catalog cut sheets and specifications.
  - 4. Data and design computations upon which the design is based, including hydraulic calculations used to determine head loss through equipment at a flow rate of 200 gallons per minute (gpm).
  - 5. Drive assembly details and traveling speeds.

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- 6. Recommended control panel dimensional data, layouts, component descriptions, functional descriptions, ladder logic, and interface with Plant Process Control System (PICS) and adjustable speed drive unit.
- 7. Complete Bill of Materials for control panels.
- 8. Power and control wiring diagrams, including terminals and numbers.
- 9. Heat calculations verifying that panel can maintain temperature below rating of control panel components.
- 10. Complete motor nameplate data, as defined by NEMA, motor manufacturer, and including any motor modifications.
- 11. Motor data sheet.
- 12. Certification that submerged materials are compatible with the settled solids when the water in sedimentation basins is dosed with up to 60 mg/L of aluminum sulfate, up to 1 mg/L of chlorine dioxide, up to 3 mg/L of intermittently added sodium hypochlorite, and up to 10 mg/L of intermittently added calcium hydroxide.
- 13. Manufacturer shall be responsible for anchorage and bracing calculations, drawings and cut sheets, as required by Section 01 88 15, Anchorage and Bracing. Provide calculations, signed and sealed by a Professional Engineer registered in the state of Georgia, for the cable drives support stand and for anchorage requirements for the cable drive support stand and the pulleys at the base of the unit.
- 14. NSF Certificate with NSF seal proving the equipment meets ANSI Standard 61.
- B. Quality Control Submittals:
  - 1. Operation and Maintenance Manual, in accordance with Section 01 33 00, Contractor Submittals and 01 78 23, Operation and Maintenance Data. Separate O&M Manuals shall be submitted for each of the two water treatment plants.
  - 2. Manufacturer's Warranty.
  - 3. Certificate of Proper Installation for each water treatment plant.

## 1.04 STORAGE AND PROTECTION

A. Equipment and accessories shall be stored and protected in accordance with the manufacturer's recommendations.

#### 1.05 WARRANTY

A. Provide manufacturer's standard 1-year warranty. Warranty period for each pair of hoseless sludge collection units shall begin at their respective Substantial Completion.

## PART 2 PRODUCTS

#### 2.01 SYSTEM REQUIREMENTS

- A. Each traveling solids collection system shall be designed to uniformly collect settled solids from basin floor.
- B. Coagulated settled solids (by aluminum sulfate) will be approximately 1.5 percent dry solids (maximum).
- C. The equipment shall be designed to operate intermittently or continuously.
- D. Chemical doses to the raw water upstream of the sedimentation basins will be up to 60 mg/L of aluminum sulfate, up to 1 mg/L of chlorine dioxide, up to 3 mg/L of intermittently added sodium hypochlorite, and up to 10 mg/L of intermittently added calcium hydroxide. Submerged components of the solids collector systems may be exposed to different doses than those listed above due to the settled solids.

#### 2.02 MANUFACTURERS AND PRODUCTS

- A. Manufacturer and Model:
  - 1. Meurer Research Inc.; Hoseless Cable-Vac.
  - 2. Jim Meyers and Sons; Mega-VAC
  - 3. "Or equal" or substitute products will be considered as an Alternate Deduct as indicated in the Bid Form.

#### 2.03 DESIGN REQUIREMENTS

A. Basin Configuration and Dimensions: As indicated below.

Basin	Dimensions, ft (L x W x H)		
Crosstown WTP, Basins 1-4	100 x 24.5 x 16.5		
Crosstown WTP Basins 5-8	130 x 23 x 16.5		
South Fayette WTP Basins 1-4	130 x 27.375 x 16.5		

B. Maximum Flow from Each Collector System: 200 gpm or per manufacturer's recommendation. Flow will be controlled by an electrically-actuated butterfly valve dedicated for each collector.

## Crosstown and South Fayette WTPs Hoseless Settled Solids Collection System

- C. Available Hydraulics: Available hydraulics for each installation are listed below. The frictional headlosses indicated do not include losses through the sludge collection mechanism itself; the frictional losses are assumed to start at the point of connection between the outlet from the hoseless sludge collection unit to the point at which the sludge piping passes through the wall of the sedimentation bain and to the point of free discharge into the nearest manhole. Refer to drawings for piping layouts and locations of respective manholes. The frictional headlosses indicated are based on a fully-open flow control valve, and will need to be adjusted to account for the valve being partially throttled to control the settled solids discharge flow rate:
  - 1. Crosstown WTP Sedimentation Basins(1-4):
    - a. Sedimentation basin water surface: 861.0.
    - b. 4-inch wall pipe centerline elevation: 856.0.
    - c. Available head to wall pipe: 5 feet.
    - d. Headloss to wall pipe, excluding headloss through 100-foot long collector: 1.28 foot.
    - e. IE of 8-inch discharge pipe into closest manhole: 847.42.
    - f. Available head from wall pipe to manhole discharge elevation: 8.58 feet.
    - g. Headloss from wall pipe to manhole: 2.7 feet.
  - 2. Crosstown WTP Sedimentation Basins 5-8:
    - a. Sedimentation basin water surface: 861.0.
    - b. 4-inch wall pipe centerline elevation: 856.0.
    - c. Available head to wall pipe: 5 feet.
    - d. Headloss to wall pipe, excluding headloss through 130-foot long collector: 1.30 foot.
    - e. IE of 8-inch discharge pipe into closest manhole: 847.8.
    - f. Available head from wall pipe to manhole discharge elevation: 8.2 feet.
    - g. Headloss from wall pipe to manhole: 2.7 feet.
  - 3. South Fayette WTP Sedimentation Basins 1 and 4 (outer basins):
    - a. Sedimentation basin water surface: 835.94.
    - b. 4-inch wall pipe centerline elevation: 830.5.
    - c. Available head to wall pipe: 5.44 feet.
    - d. Headloss to wall pipe, excluding headloss through 130-foot long collector: 1.38 foot.
    - e. IE of 12-inch discharge pipe into closest manhole: 816.5.
    - f. Available head from wall pipe to manhole discharge elevation: 14 feet.
    - g. Headloss from wall pipe to manhole: 1.71 foot.
  - 4. South Fayette WTP Sedimentation Basins 2 and 3 (inner basins):
    - a. Sedimentation basin water surface: 835.94.
    - b. 4-inch wall pipe centerline elevation: 830.5.

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- c. Available head to wall pipe: 5.44 feet.
- d. Headloss to wall pipe, excluding headloss through 130-foot long collector: 2.19 feet.
- e. IE of 12-inch discharge pipe into closest manhole: 816.5.
- f. Available head from wall pipe to manhole discharge elevation: 14 feet.
- D. Headloss from wall pipe to manhole: 1.71 feet.
- E. Water Temperature: 40 to 90 degrees Fahrenheit.

#### 2.04 EQUIPMENT DESCRIPTION

- A. General:
  - 1. Each traveling solids collection system shall consist of a drive mechanism, drive support platform, solids removal suction header piping, , rigid conduit for settled solids, end scrapers, local control panel, automated butterfly valve, and appurtenances.
  - 2. Solids collectors shall be of a hose-less design. Equipment with hoses will not be considered.
  - 3. Each traveling solids collection system shall be designed, constructed, and installed in the aforementioned basins for the collection and removal of settled solids accumulated during the settling process.
- B. Each traveling solids collection system shall be programmable to accomplish, at the operator's option, all of the following:
  - 1. Variation of traveling speed. Traveling speeds shall be defined per manufacturer's recommendation.
  - 2. One way travel for the full length of the basin.
  - 3. Operator flexibility feature to allow programming capability for specific collection mechanism distance.

#### 2.05 DRIVE ASSEMBLY

- A. Drive System: Each solids collection system shall be towed along the longitudinal length of the basin by a stainless steel multiple-stranded wire cable.
- B. Reel Drive:
  - 1. Each drive assembly shall consist of a variable speed electric AC motor, which shall be coupled to a rotating drum for manipulation of the cable attached to the tandem solids collector assembly. Motor drive shall be housed in a box located above each solids collector on top of the facility. Each motor shall be 1/4 horsepower rated for 120 volts and powered from control panel as specified and provided by Manufacturer.

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- 2. Cable shall be firmly attached to rotating grooved drum to prevent slippage.
- 3. Drive cable shall be stored on the reel in a single layer, the placement of which shall be organized by the drive mechanism.
- 4. Complete drive mechanism shall be provided with a two-piece powder coated aluminum safety cover with handles.
- 5. Drive assembly shall include a mechanical overload protection device (shear pin) that will not allow excessive loads to be transmitted to the drive cable.
- 6. Drive cable shall be Type 304 stainless steel with a minimum diameter of 1/4 inch.
- 7. Drive assembly shall be capable of enduring an indefinite stall without damage, and without the need to replace sheer pins or other replacement devices. Upon removal of the obstruction or excessive load, the drive mechanism shall automatically resume full operation.
- 8. Drive assembly shall have integral position sensors, which determine the collector location within the basin. No external or under-water position sensors shall be required or allowed.
- 9. Drive shall have an emergency stop button, which shall be a large, red palm-operated single button mounted on the drive assembly junction box and readily accessible outside the drive cover.
- C. Elevated Drive Stand
  - 1. Drive assembly shall be mounted on a drive stand manufactured entirely of Type 304 stainless steel.
  - 2. Drive assembly shall be mounted to drive stand using 1/2 inch by 1-1/2 inch bolts manufactured of Type 304 stainless steel.
  - 3. Drive stand shall be anchored to the concrete headwall located below the drive stand using adhesive anchor bolts.
  - 4. Drive stand shall extend 3 feet 4 inches above concrete headwall.

## 2.06 TANDEM SOLIDS COLLECTOR ASSEMBLY

- A. Solids Removal Header Pipe:
  - 1. Each tandem solids collector assembly shall be manufactured entirely of Type 304 stainless steel, with the exception of non-metallic parts such as casters, bushings, orifices, etc., which shall be manufactured of plastic, non-metallic materials.
  - 2. Each tandem solids collector assembly shall consist of two 3-inch solids collection pipes with helical flow orifices which shall, in turn, connect to a 6-inch center pipe which shall carry the solids to the horizontal telescoping pipe solids conduit. The 6-inch collection chamber shall "telescope" over the smaller 4-inch fixed sludge exit conduit.

Articulating pipes, flexible sludge hoses, flexible hose joints, or swivel joints are not allowed. All fixed piping, valves (with the exception of the electrically-actuated valve to open/close flow from the sludge collector mechanism) and supports beyond the 4-inch fixed exit conduit pipe flange at basin centerline shall be supplied by the Contractor. End caps shall be used to seal the ends of the solids collection pipes. These shall be easily removed for internal inspections, should the need arise.

- 3. The sludge exit conduit shall utilize either an internal UHMWPE flow balancing ring or flow-balancing diagonals designed by computational fluid dynamics (CFD) analysis to assure equal flow from the front and back sludge collection headers. Use of a smaller orifice size to create headloss and promote flow distribution shall not be an acceptable alternate flow balancing method due to potential differential solids load within the basin and the possibility for orifice plugging. Lateral piping from sludge headers to the collection chamber shall are an acceptable alternative to the flow balancing ring.
- 4. Design using flow-balancing rings shall have orifices on each solids collector designed to allow the flow to enter tangentially into the solids collection pipes for efficient solids removal. The manufacturer shall determine the proper number, spacing and angle of the orifices. Orifices shall be <sup>3</sup>/<sub>4</sub> -inch in diameter and cause a spiral flow inside the header pipe to prevent solids from settling. Openings shall point forward to remove the settled solids ahead of the pipe as it travels down the basin. Designs utilizing flow-balancing diagonals may have holes drilled in the bottom or side of the header pipe
- 5. Operation of each solids collector shall be controlled by an electrically actuated butterfly valve as directed by the control panel furnished under this Section. Valve actuator shall be powered locally by the Contractor and controlled by the collector panel(s). Actual collector flow shall be determined by throttling flow with a butterfly valve. Valves, actuators, and valve stems shall be located as shown on the Drawings.
- 6. Each header pipe shall be equipped with a triangular shaped "plow" blade which is equal in length to the header pipe. These "plows" shall remove the settled solids that have accumulated at the ends of the basins.
- 7. The hoseless collector assembly shall be guided by means of horizontal casters on the ends of the header pipes. Guide rails are not allowed.
- 8. Each tandem solids collector assembly shall be complete with polyurethane rolling casters, side casters, and all necessary mounting hardware.
  - a. For Crosstown WTP Basins 5 through 8 and for South Fayette WTP Basins 1 through 4: Spacing of casters shall be as necessary to span existing 3-foot wide drain sumps located along the centerline of each sedimentation basin. Manufacturer shall include a stainless u-channel spanning the sump at the caster location.

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- b. For Crosstown WTP Basins 1 through 4: Spacing of casters shall be as necessary to avoid 3-foot wide drain sumps located under the dividing walls separating basins 1 from 2 and 3 from 4. The drain sump extends 12 inches beyond the face of the separating wall. Manufacturer shall include a stainless u-channel spanning the sump at the caster location.
- 9. All welds shall be continuous and brushed clean per ASTM A380.
- 10. All underwater bearings shall be specifically designed for underwater use.
- 11. Include all floor supports, mounting hardware and stainless-steel end stops with properly sized adhesive anchors.
- 12. The header pipe shall be fitted at the ends of the pipe with antilocking casters, manufactured from urethane. These casters shall be designed to minimize motion of the header pipe in the vertical plane.

## 2.07 SPARES

- A. At a minimum, provide the following spare parts for each of the two water treatment plants:
  - 1. Five shear pins for the cable drive.
  - 2. 8-inch polyurethane v-groove pulleys for one complete unit.
  - 3. One set of UHMW Delrin wheel bushings for one complete unit.

# 2.08 ELECTRICAL AND INSTRUMENTATION

- A. General: Provide control devices, instrumentation, panels, electrical components and wiring, and all ancillary devices for a complete functional system in accordance with general control requirements specified in Section 40 99 90, Package Control Systems (PCS).
- B. Motors: Cable drive motor shall be a 1/4-horsepower motor.
- C. Wiring: The Drawings and Specifications indicate the anticipated wiring for the equipment provided under this section. If additional wiring is required, or if required wiring does not match what is indicated, the Contractor shall make necessary modifications to the electrical wiring and documentation as part of the lump sum price. All wiring shall meet the requirements of Section 26 05 01, Electrical, and NFPA 70. All insulation shall be rated 600 volts, minimum.

D. Control Panels and Instrumentation: Provide control panels and instruments with power supply, external interfaces, and operator controls and indicators as shown on the P&IDs. Field panels and instruments that are provided under this section are identified on the P&IDs either with an asterisk, noted as such, or are shown within Package Equipment boundaries. Construct panels in accordance with Section 40 99 90, Package Control Systems, its supplementary figures, and as follows:

Panel Tag	Panel Name	NEMA Rating	Enclosure Materials	Power Supply
CP-110-A	Crosstown WTP: Sedimentation Basins 1 and 2 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac
СР-110-В	Crosstown WTP: Sedimentation Basins 3 and 4 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac
CP-110-C	Crosstown WTP: Sedimentation Basins 5 and 6 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac
CP-110-D	Crosstown WTP: Sedimentation Basins 7 and 8 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac
CP-110-A	South Fayette WTP: Sedimentation Basins 1 and 2 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac
СР-110-В	South Fayette WTP: Sedimentation Basins 3 and 4 Solids Collection Systems	4X	Marine- grade aluminum	120-volt ac

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- E. Supplier shall provide a single panel-mounted Operator Interface Unit (OIU) for local monitoring, alarming, and control functions.
  - 1. Touch screen operator interface shall be an industrial graphic workstation with the following features as a minimum:
    - a. Full color LCD touch screen.
    - b. Ethernet communication port.
    - c. Suitable for outdoor installation.
  - 2. Touch screen operator interface, as a minimum, shall be provided with the following for each of the two solids collector systems per panel:
    - a. Overview screen displaying equipment run status, valve status, and solids collector position.
    - b. Automatic Operation: Provide solids collector cycle initiation based on a real-time clock.
    - c. Manual Operation: Provide FORWARD-REVERSE control of the solids collector drive mechanism and OPEN/CLOSE control of the solids collector isolation valves.
    - d. Alarm summary display.
  - 3. External Interfaces: As a minimum, the supplier shall provide the following signal interfaces for each of the two solids collection systems per panel. All signals shall be wired to terminals within the solids control panel for wiring interface.
    - a. Adjustable Speed Drive (ASD) Interface: Provide a RUN command to the ASD as described in the functional requirements above and receive a speed signal.
    - b. Plant SCADA System Interface: See Section 40 90 01, Instrumentation and Controls for Process Systems:
      - 1) System LOCAL-REMOTE status.
      - 2) Operational mode selection.
      - 3) Solids collector FORWARD-REVERSE status.
      - 4) Solids collector Position.
      - 5) Isolation valve OPEN-CLOSED status.
      - 6) EMERGENCY STOP status.
      - 7) General FAIL alarm.
    - c. The plant SCADA system will serve as the Master for the sequencing of the collection systems.
    - d. Provide all pertinent system information to plant SCADA system via Ethernet interface. Vendor to coordinate with PICS subcontractor for communication data exchange requirements.
  - 4. Accept remote collector RUN COMMAND via Ethernet interface for each of the two solids collector systems per panel.
  - 5. The control panel shall be provided with necessary means of Ethernet termination and distribution.

- 6. The control panel shall be provided with a white powder-coated aluminum sun shield and necessary means of cooling to maintain internal temperature within the recommended limits of all components mounted inside the panel.
- 7. Functional Requirements for each of the two solids collectors systems per panel:
  - a. Adjustable Speed Drive Unit Interface: Solids control panels shall function with adjustable speed drives (ASDs) providing a RUN signal when the ASD is selected for either REMOTE or LOCAL operation. Operators shall have the ability to vary solids collector speed locally. When the ASD is selected for REMOTE operation, it is intended that solids collector drive control panel shall be selected for AUTOMATIC mode and function on a timed cycle at operator set speed. When the ASD is selected for LOCAL operation it is intended that solids collector panel shall be selected for MANUAL mode and function based on operator selected FORWARD or REVERSE control and RUN at selected speed.
  - b. Provide both MANUAL and AUTOMATIC control functions.
    - Automatic Operation: Provide solids collector INITIATION of cycle operation based on a real-time clock. Timer settings shall be locally operator adjustable. Automated butterfly valve shall be selected for REMOTE operation at the valve. Initiation of timed cycle shall OPEN the automated butterfly valve during the cycle and CLOSE the valve at the end of the cycle. Each collector shall travel one basin length per cycle and return to the initial position at the end of the collection cycle.
    - 2) Manual Operation: Provide FORWARD-OFF-REVERSE control of solids collector drive mechanisms and OPEN-CLOSE control of solids collector butterfly valves. Butterfly valve shall be selected for REMOTE operation and shall OPEN with either a FORWARD or REVERSE command to the solids collector.
  - c. Provide EMERGENCY STOP control that shall function whether in MANUAL or AUTOMATIC mode.
  - d. Pre-set Operational Mode: Six pre-set operation cycles shall be programmed by Manufacturer at startup. These programs shall cover various modes of operation (based on water quality and chemical doses) and can be re-programmed as operational requirements change in the future.
  - e. Hand operation shall be independent of the Programmable Logic Controller (PLC) furnished in the panel. If the PLC is out-of-service, the solids collector can still run in hand via Manual Start on drive.

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- 8. Special Requirements for each panel:
  - a. Within panel, provide a surge suppressor on the incoming 120-volt power.
  - b. Provide a surge suppressor on each 4-20 mA signal entering or leaving the panel.
  - c. Provide 120-volt ac power to the sheave heater located at the drive assembly.
  - d. Use of an Allen-Bradley Micro 850 PLC only is permitted.

## 2.09 ACCESSORIES

- A. Butterfly Valves (Crosstown: FV-111-1 through -8; South Fayette: FV-111-1 through -4): For each solids collector, provide an electrically actuated 4-inch butterfly valve and venting extension pipe compatible with the solids collector control unit. Actuator enclosure shall be NEMA 4X. Actuator power supply shall be 120V AC provided by vendor's panel. Valve body and cap shall be cast iron. Working pressure of the valves shall be 125 psi. Flanges shall be Class 125 drilled in accordance with ANSI 16.1. Valves shall comply with Section 40 27 02, Process Valves and Operators, unless specified otherwise under this section.
- B. Provide end of travel limit proximity switches and wire back to the local control panels. Provide two proximity sensors for each mechanism.
- C. Anchors: Anchors shall be sized and provided by Manufacturer and shall be constructed of Type 304 stainless steel and at least 1/2 inch in diameter. Contact of anchors with concrete reinforcing steel will not be accepted.
- D. Supports for drive assemblies and control panels shall be designed and provided by Manufacturer.

# PART 3 EXECUTION

## 3.01 GENERAL

A. All parts of the solids collection system shall be amply proportioned for all stresses that may occur during fabrication, shipment, erection, and intermittent or continuous operation.

## 3.02 ASSEMBLY AND DELIVERY

A. All drive assemblies shall be shop tested prior to shipment.

- B. All parts and components shall be factory-assembled in sections convenient for field handling and installation but requiring the minimum amount of work for field assembly. Any field assembly work shall be bolted. No cutting or welding shall be required on either field assembly or erection, except for welding of telescoping pipe sections that exceed 20 feet in length.
- C. All assembled parts and components ready for shipment shall be securely bundled, coiled, or crated and adequately protected from damage and corrosion during shipment and storage.
- D. Contractor shall provide for covered storage of equipment in a dry area prior to installation.

#### 3.03 INSTALLATION

- A. Solids collection equipment shall be installed as indicated on the Contract Drawings and in accordance with the manufacturer's recommendations.
- B. Provide factory certified service technician to inspect the installation, and supervise startup and initial operation of the first pair of solids collector systems to be installed at each water treatment plant.
- C. Factory certified service technician shall provide field support certifying that the equipment is properly installed, fully operational and ready for to the Engineer.

#### 3.04 FIELD QUALITY CONTROL

- A. A manufacturer's representative for the equipment specified herein shall be present at the jobsite and/or classroom designated by the Owner for the minimum person-days listed for the services herein under, travel time excluded:
  - 1. 2 person-days per WTP for installation assistance, inspection, certification of the installation, and functional testing for the first pair of installed solids collection systems at each water treatment plant.
  - 2. 1 person-day per WTP for inspection, certification of the installation and functional testing for each of the subsequent pair of solids collection systems installed at each water treatment plant.
  - 3. 1 person-day per WTP for classroom or jobsite training at each water treatment plant in conjunction with startup of the first pair of installed solids collection systems. Training shall not commence until an accepted detailed lesson plan has been reviewed and approved by the Engineer and/or Owner.

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- B. Functional Testing and Commissioning:
  - 1. Contractor shall verify that all components are installed, basins are clear of all debris, control panels are wired to valves, drives and SCADA, and panel is powered and ready to turn on.
  - 2. Following installation inspection by a factory representative, each collector shall be run to verify proper length of travel over the entire basin length under dry conditions with basin empty.
  - 3. Verify PLC operating sequence in both Hand and Auto start, confirm automatic valve actuation and all feedback functions to the local control panel.
  - 4. Check all control features including auto start timers, alarm and alarm reset features, and communications with plant SCADA.
  - 5. Retest each collector with basin filled and verify adequate discharge flow when sludge valve is open. The position of the respective effluent flow control valve shall be set during the functional testing for the desired discharge rate from the hoseless sludge collection system for each basin (preliminary target of 200 gpm per basin, to be confirmed with Owner).
  - 6. Provide startup report and checklist verifying that equipment installation has been inspected, collector and controls have been tested, and system is ready for operation.
- C. Startup services and training of Owner's personnel shall be at such times as requested by the Owner.
- D. In the event of unforeseen installation difficulty or problems, Manufacturer shall provide a qualified technical representative to the jobsite within 48 hours of notification that such a situation exists.

# 3.05 MANUFACTURER'S CERTIFICATE

A. The following certificates shall be provided: Certificate of Proper Installation.

# **END OF SECTION**

# PART 4

# DRAWINGS (BOUND SEPARATELY)